



The Supreme Court of Texas

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CLERK
BLAKE A. HAWTHORNE

GENERAL COUNSEL
NINA HESS HSU

ADMINISTRATIVE ASSISTANT
NADINE SCHNEIDER

PUBLIC INFORMATION OFFICER
OSLER MCCARTHY

I, **Blake A. Hawthorne**, Clerk of the Supreme Court of Texas, as custodian of the roll of attorneys for the State of Texas, do hereby certify that upon searching the records in my custody, find said records show **Mario A. Mata** (State Bar of Texas Bar #13184400) was licensed to practice as an attorney and counselor at law in the State of Texas on November 06, 1978.

According to the records, Mario A. Mata was suspended from the active rolls for non-payment of dues and reinstatement from the date dues were paid to inception of suspension (Article III, Section 7(A), State Bar Rules), on the following dates respectively:

SUSPENDED

September 01, 2019
May 29, 2019
September 01, 2016
September 01, 2010
September 01, 2005
September 01, 2004
September 04, 2002
September 01, 1982

REINSTATEMENT GRANTED

NOT REINSTATED
May 31, 2019
September 29, 2016
October 18, 2010
March 31, 2006
December 17, 2004
October 08, 2002
September 15, 1982

The records further show, Mario A. Mata was suspended from the active rolls for non-payment of the Texas Attorney Occupation Tax and/or associated penalties or interest and was reinstated on the following dates respectively:

SUSPENDED

September 01, 2010
September 01, 2005
September 01, 2004
September 02, 2002

REINSTATEMENT GRANTED

October 18, 2010
March 31, 2006
December 17, 2004
October 02, 2002

The records further show, Mario A. Mata was suspended from the active rolls for non-compliance with the Minimum Continuing Legal Education requirements and was reinstated retroactively from the date reinstatement was granted to the original date of suspension on the following dates respectively:

SUSPENDED

July 31, 2018

REINSTATEMENT GRANTED

April 15, 2019



The records further show, Mario A. Mata was suspended from the active rolls due to non-compliance with Supreme Court rules concerning repayment of Texas Guaranteed Student Loans and was reinstated on the following dates respectively :

SUSPENDED

September 27, 2010
January 31, 2007


REINSTATEMENT GRANTED

January 24, 2011
February 07, 2007

As of this date, this person is NOT currently authorized to practice as an attorney and counselor at law in the State of Texas . This certification expires 30 days from this date, unless sooner revoked or rendered invalid by operation or rule or law.

IN TESTIMONY WHEREOF, witness my hand and the

SEAL OF THE SUPREME COURT OF TEXAS
at the city of Austin,
this the 10th day of February, 2020.



Blake A. Hawthorne
Clerk of the Supreme Court

Mario A. Mata LLP
ATTORNEY AT LAW
Austin ♦ Dallas ♦ Houston

Telephone: 214-800-2363
Facsimile: 214-276-7305

**CLIENT MEMORANDUM REGARDING INSTRUCTIONS FOR
PROPOSED WIRE TRANSFER TO BANK VONTOBEL AG
for the account of
ASIATRUST LIMITED as Trustee of THE JOEL A. MOSER FAMILY TRUST**

To: Dr. Joel A. Moser
From: Mario A. Mata, Esq., Mario A. Mata, LLP
Date: 8 August 2013
Our File#: M94475
Matter: The Joel A. Moser Family Trust (the "Moser Trust")
Subject: Additional Funding of the Moser Trust

*STRICTLY PRIVATE, PERSONAL & CONFIDENTIAL COMMUNICATION
PROTECTED BY STATUTORY PRIVACY LAWS*

Dear Dr. Moser:

I was in communication all late last night and until 4:15 am this morning, Texas time, while I spoke and confirmed with all involved that everything was in order for your proposed additional contribution of **USD \$270,000.00 (Two Hundred Seventy and No/100 Dollars)** to your personal retirement trust, **The Joel A. Moser Family Trust**. I also provided the trustee with a copy of my "**Source of Funds Attorney Comfort Letter**" dated 4 June 2013 previously provided to the recipient bank. Regrettably, all banks in Europe will be closed by the time you commence the transfer, thus if you or your bank have any questions or need additional information, I recommend that they contact me at my Dallas Texas number **214-800-2363**. Below is all the information your bank should require:

BANK: Bank Vontobel AG
Gotthardstrasse 43
CH-8022
Zurich, Switzerland

SWIFT/BIC: VONTCHZZ

ACCOUNT HOLDER: Asiatrust Limited as trustee of the Joel A. Moser Family Trust

IBAN USD ACCOUNT: CH8008757000012411918

Petitioner's
Exhibit
2

I intend to be at my office well before you might arrive at your to insure I am available to answer any questions. I have kept my calendar open and should be easy to reach unless I should step out for a few minutes. Recall that my cell phone is **214-686-4442**.

AUSTIN OFFICE
One Congress Plaza
111 Congress Avenue, Suite 400
Austin, Texas 78701

DALLAS OFFICE
Dallas-Uptown Office
1717 McKinney Ave., Suite 700
Dallas, Texas 75202

HOUSTON OFFICE
Galleria/Post Oak Area
1330 Post Oak Blvd., Suite 1600
Houston, Texas 77056

THE MOSER FAMILY TRUST
1506 OAK KNOLL
DALLAS, TX 75208

88-2323,
1119
1456407

MA 1132

DATE 8/8/13

PAY TO THE
ORDER OF

Mesa A. Mata LLP IOLTA Trust Acct \$ 270,000.00
Two hundred seventy thousand and 00/100 DOLLARS



LONGVIEW BANK & TRUST

300 E. WHALEY, P.O. BOX 3188
LONGVIEW, TEXAS 75808
903-237-5500

MEMO _____

J. Moser

⑆111923238⑆ 1132 64 5840 7⑈

ENDORSE HERE

Petitioner's
Exhibit

3

Deposit Ticket



DOLLARS
CENTS

Date: 8/19/13 *MF*

Check your type of account below

Deposit to: Checking Money Market Account

Deposit to: Savings Certificate of Deposit
 Passbook Savings Market Rate Account

Checks and other items are received for deposit subject to the applicable account rules and regulations. Deposits may not be available for immediate withdrawal.

Account Name: Mario Mata LLP / OLT Trust A.
 Address: 2404 Cedar Spring Rd

Sign here for cash received* (Not required for ATM deposits)

Account Number * 9786201289

TOTAL \$ 270.00 X

⑈856068415 ⑆5777⑈ 10281⑆

Subtotal

Less Cash Received

TOTAL \$

WRITE NUMBERS IN SHADED BOXES

Transaction Receipt / Funds Availability Notice

CKG: DEPOSIT 08/09/13 17:03 FC#00148FA# 002 *MF*
 065-01 Acct# xxxxxxxx289 \$270.00 ONL

Funds from your deposit/check/cashing transaction will be delayed. Reasons and funds availability schedule follow.

| | |
|-----------|--|
| \$250.00 | Available Today |
| \$99.800 | 08/10/13 Standard delay |
| \$169.950 | 08/13/13 Total check(s) deposited/cashed on this day exceed \$100.000. |

Thank you for banking with Citibank

X Customer Signature(s)

Petitioner's Exhibit
4

Petitioner's
Exhibit

5

Statement of assets as of September 30, 2013

001

Client Information

Name

Asiatrust Limited as trustee of The Joel A. Moser

Client Investment profile

Reference currency

USD

Service type

Account/custody account

Client advisor

Name

EAM Desk

Telephone

+41 58 283 51 10

Fax

+41 58 283 53 53

E-Mail

eaminternational@vontobel.ch

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Performance Overview of asset performance

Statement of assets as of December 31, 2013
 created on January 01, 2014

Performance from 11.07.2013 until 31.12.2013

USD

Starting value of assets as of 11.07.2013

0

| | |
|---|-----------|
| Net inflows, adjusted | |
| Net inflows (Cash deposits/withdrawals and securities deliveries) | 1 032 622 |
| Withholding tax | 0 |

Total Net inflows, adjusted

1 032 622

Adjusted assets

1 032 622

| | |
|---|---|
| Current earnings | |
| Net income credited | 0 |
| Accrued interest on purchases and sales | 0 |
| Change in accrued interest | 0 |
| Withholding tax paid | 0 |

Total current earnings

0

Changes in value

- 817

Total return

- 817

Ending value of assets as of 31.12.2013

1 031 805

Net performance, time-weighted (TWR)
 Net performance, money-weighted (MWR)

- 0.08%

- 0.08%



Asset overview

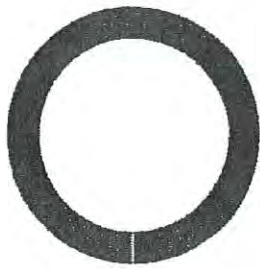
Total assets

Statement of assets as of December 31, 2013
 created on January 01, 2014

Total assets by investment category and currency, valued in USD

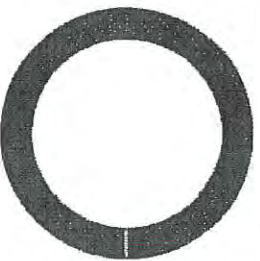
| | USD | Total |
|---------------------------|-------------------------|-------------------------|
| Short-term investments | 1 031 805 100.0% | 1 031 805 100.0% |
| Bonds | | |
| Equities | | |
| Alternative Investments | | |
| Miscellaneous | | |
| Total in USD | 1 031 805 100.0% | 1 031 805 100.0% |
| Total in foreign currency | 1 031 805 | |
| Exchange rate | | |

Allocation by investment category



- Short-term investments
- Bonds
- Equities
- Alternative Investments
- Miscellaneous

Allocation by currency



- USD



Detailed positions

Short-term investments

Statement of assets as of December 31, 2013
 created on January 01, 2014

| Curr. | Quantity | Description | Maturity | Risk curr. | Cost price | Valuation | Market price | Market value USD | % of net |
|--|--------------|-----------------------------------|---------------|------------|---------------|---------------|---------------|------------------|----------|
| | | | Yield to mat. | | Exchange rate | Exchange rate | Exchange rate | Accrued interest | assets |
| | | | | | | | | in USD | |
| Account investments | | | | | | | | | |
| USD | 1 031 805.49 | Current account USD (USD) | | USD | | | | 1 031 805 | 100.00% |
| | | IBAN CH80 0875 7000 0124 1191 8 | | | | | | | |
| CHF | 0.00 | Current account Swiss Franc (CHF) | | CHF | | | 0.8894 | 0 | |
| | | IBAN CH53 0875 7000 0124 1191 9 | | | | | | | |
| EUR | 0.00 | Current account Euro (EUR) | | EUR | | | 1.3780 | 0 | |
| | | IBAN CH10 0875 7000 0124 1191 7 | | | | | | | |
| Short-term investments | | | | | | | | | |
| Accrued interest | | | | | | | | | |
| Total short-term investments | | | | | | | | | |
| Total assets (excluding accrued interest) | | | | | | | | | |
| Accrued interest | | | | | | | | | |
| Total assets | | | | | | | | | |



Important information

Statement of assets as of December 31, 2013
 created on January 01, 2014

Performance analysis

Current earnings Earnings from interest and dividends less any withholding tax paid (credited net earnings), balance of accrued interest on purchases and sales and changes in accrued interest.

Changes in value Realised and unrealised gains arising from changes in value. Unrealised gains consist of changes in value affecting existing positions at the time of valuation.

Total return Total return equals the sum of current earnings plus changes in value.

Ending value of assets The assets shown in the performance overview can differ from the assets shown in the asset overview due to differences in the evaluation method (reference date).

Performance Performance measures the total income of the portfolio relative to the portfolio value. Inflows and outflows (withdrawals and deposits) are considered using the money and time-weighted calculation method. Performance relates to the reporting period.

Net performance Performance after deduction of all transaction and administrative costs and net of non-reclaimable withholding taxes.

Time-weighted The reporting period is divided into sub-periods. Performance is calculated for each sub-period and then combined for the entire reporting period. This neutralises inflows and outflows (withdrawals and deposits) meaning they do not have any impact on performance.

Money-weighted Performance is calculated by dividing the sum of current earnings and changes in value by the average invested capital. Inflows and outflows (withdrawals and deposits) impact the amount of invested capital and thus performance.

Asset overview and detailed positions

Short-term investments Account assets and liabilities, accrued interest of short-term investments, foreign exchange transactions, money market investments, money market investment funds and structured products with money market characteristics

Bonds and bond-like investments Bonds and similar investments, accrued interest of bonds and bond-like investments, bond investment funds and structured products with bond characteristics

Equities and equity-like investments Equities and equity-like investments, equity investment funds and structured products with equity characteristics

Alternative investments Units in hedge funds, private equity investments, real estate investments and commodities





Important information

Statement of assets as of December 31, 2013

created on January 01, 2014

Miscellaneous Precious metals and others

Vontobel bases the assessment of securities held in custody accounts on representative sources of information commonly used by banks. Derivative financial products issued by Vontobel are assessed using the latest bid price available at the point of valuation from internal Vontobel sources. The valuations serve as a guidance only and are not binding to the bank. The bank accepts no liability for the correctness of the valuation or for other information or calculations in connection with the assets entered into the account.

If no valuation is indicated, this means that no current price could be determined or that the instrument carries no value. The prices displayed do not commit the bank to buy or sell at this price or rate.

We kindly ask you to check this statement of assets and, in the event of discrepancies, to object in writing within four weeks from the mailing date.





Bank Vontobel AG
 Gotthardstrasse 43, CH-8022 Zürich
 Telephone +41 58 283 71 11
 www.vontobel.com
 Clearing: 8757, BIC: VONTCHZZXXX, CHE-116.300.767 VAT

Client advisor
 EAM Desk
 Telephone +41 58 283 51 10

Current account USD (USD)
 Name Asiitrust Limited as trustee of The Joel A. Moser Family Trust

Mandate [REDACTED]
 Account number [REDACTED]
 IBAN [REDACTED]

Account statement

01.07.2013 until 30.09.2013

September 30, 2013

| Overview | Debit | Credit | Account balance |
|-----------------|--------|--------------|-----------------|
| Opening balance | | | 0.00 |
| Total credits | | 1 039 292.96 | |
| Total debits | 480.24 | | |
| Closing balance | | | 1 038 812.72 |

| Date | Information | Debit | Credit | Value date | Account balance |
|------------|--|--------|--------------|------------|-----------------|
| 30.06.2013 | Opening balance | | | | 0.00 |
| 17.07.2013 | Credit ZKB, ZÜRICH Order number 205984036 | | 1 039 292.96 | 16.07.2013 | 1 039 292.96 |
| 20.09.2013 | Periodic fees [REDACTED] Order number 211848028 | 480.24 | | 30.09.2013 | 1 038 812.72 |
| | Total turnover | 480.24 | 1 039 292.96 | | |
| 30.09.2013 | Closing balance | | | | 1 038 812.72 |

We kindly ask you to check this account statement and, in the event of discrepancies, to send any objections in writing within four weeks of the mailing date.



1.24.971.346



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 Gotthardstrasse 43, CH-8022 Zürich
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 Clearing: 8757, BIC: VONTCHZZXXX, CHE-116.300.767 VAT

Client advisor
 EAM Desk
 Telephone +41 58 283 51 10

Current account USD (USD)
 Name Asiabrust Limited as trustee of The Joel A. Moser Family Trust

Mandate [REDACTED]
 Account number [REDACTED]
 IBAN [REDACTED]

Account statement

01.10.2013 until 31.12.2013

December 31, 2013

| Overview | Debit | Credit | Account balance |
|-----------------|----------|--------|-----------------|
| Opening balance | | | 1 038 812.72 |
| Total credits | | | |
| Total debits | 7 007.23 | | |
| Closing balance | | | 1 031 805.49 |

| Date | Information | Debit | Credit | Value date | Account balance |
|------------|---|----------|--------|------------|-----------------|
| 30.09.2013 | Opening balance | | | | 1 038 812.72 |
| 02.10.2013 | MANAGEMENT FEE THIRD QUARTER 2013 3RD PARTY Order number 212768150 | 2 351.35 | | 02.10.2013 | 1 036 461.37 |
| 13.12.2013 | Periodic fees [REDACTED] Order number 219164682 | 336.51 | | 31.12.2013 | 1 036 124.86 |
| 24.12.2013 | Transfer Australia & New Zealand Banking Order number 220114422 | 4 319.37 | | 24.12.2013 | 1 031 805.49 |
| | Total turnover | 7 007.23 | | | |
| 31.12.2013 | Closing balance | | | | 1 031 805.49 |

We kindly ask you to check this account statement and, in the event of discrepancies, to send any objections in writing within four weeks of the mailing date.





Statement of assets as of September 30, 2013

Client information

Name Asiatrust Limited as trustee of The Joel A. Moser Family Trust
Mandate [REDACTED]

Client investment profile

Reference currency USD
Service type Account/custody account

Client advisor

| Name | EAM Desk |
|-----------|------------------------------|
| Telephone | +41 58 283 51 10 |
| Fax | +41 58 283 53 53 |
| E-Mail | eaminternational@vontobel.ch |

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Performance
Overview of asset performance

Statement of assets as of September 30, 2013
created on October 01, 2013

Performance from 11.07.2013 until 30.09.2013

USD

Starting value of assets as of 11.07.2013

0

| | |
|---|------------------|
| Net inflows, adjusted | |
| Net inflows (Cash deposits/withdrawals and securities deliveries) | 1 039 293 |
| Withholding tax | 0 |
| Total Net inflows, adjusted | 1 039 293 |

Adjusted assets

1 039 293

| | |
|---|---|
| Current earnings | |
| Net income credited | 0 |
| Accrued interest on purchases and sales | 0 |
| Change in accrued interest | 0 |
| Withholding tax paid | 0 |

| | |
|-------------------------------|--------------|
| Total current earnings | 0 |
| Changes in value | - 480 |
| Total return | - 480 |

Ending value of assets as of 30.09.2013

1 038 813

| | |
|---------------------------------------|---------|
| Net performance, time-weighted (TWR) | - 0.05% |
| Net performance, money-weighted (MWR) | - 0.05% |

Asset overview Total assets

Statement of assets as of September 30, 2013
created on October 01, 2013

Total assets by investment category and currency, valued in USD

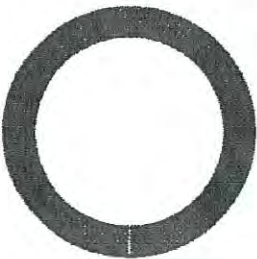
| | USD | Total |
|---------------------------|-------------------------|-------------------------|
| Short-term investments | 1 038 813 100.0% | 1 038 813 100.0% |
| Bonds | | |
| Equities | | |
| Alternative investments | | |
| Miscellaneous | | |
| Total in USD | 1 038 813 100.0% | 1 038 813 100.0% |
| Total in foreign currency | 1 038 813 | |
| Exchange rate | | |

Allocation by investment category



- Short-term investments
- Bonds
- Equities
- Alternative investments
- Miscellaneous

Allocation by currency



- USD

Detailed positions

Short-term investments

Statement of assets as of September 30, 2013
 created on October 01, 2013

| Curr. | Quantity | Description | Maturity yield to mat. | Risk curr. | Cost price Exchange rate | Valuation Exchange rate | Market price Exchange rate | Market value USD Accrued interest in USD | % of net assets |
|--|--------------|--|------------------------|------------|--------------------------|-------------------------|----------------------------|--|--------------------------|
| Account investments | | | | | | | | | |
| USD | 1 038 812.72 | Current account USD (USD) IBAN CH80 0875 7000 0124 1191 8 | | USD | | | | 1 038 813 | 100.00% |
| CHF | 0.00 | Current account Swiss Franc (CHF) IBAN CH53 0875 7000 0124 1191 9 | | CHF | | | 0.9042 | 0 | |
| EUR | 0.00 | Current account Euro (EUR) IBAN CH10 0875 7000 0124 1191 7 | | EUR | | | 1.3537 | 0 | |
| Short-term investments | | | | | | | | | |
| Accrued interest | | | | | | | | | |
| | | | | | | | | | 0 |
| Total short-term investments | | | | | | | | | 1 038 813 100.00% |
| Total assets (excluding accrued interest) | | | | | | | | | |
| | | | | | | | | | 1 038 813 100.00% |
| Accrued interest | | | | | | | | | 0 |
| Total assets | | | | | | | | | 1 038 813 100.00% |



Important information

Statement of assets as of September 30, 2013
created on October 01, 2013

Performance analysis

Current earnings

Earnings from interest and dividends less any withholding tax paid (credited net earnings), balance of accrued interest on purchases and sales and changes in accrued interest.

Changes in value

Realised and unrealised gains arising from changes in value. Unrealised gains consist of changes in value affecting existing positions at the time of valuation.

Total return

Total return equals the sum of current earnings plus changes in value.

Ending value of assets

The assets shown in the performance overview can differ from the assets shown in the asset overview due to differences in the evaluation method (reference date).

Performance

Performance measures the total income of the portfolio relative to the portfolio value. Inflows and outflows (withdrawals and deposits) are considered using the money and time-weighted calculation method. Performance relates to the reporting period.

Net performance

Performance after deduction of all transaction and administrative costs and net of non-reclaimable withholding taxes.

Time-weighted

The reporting period is divided into sub-periods. Performance is calculated for each sub-period and then combined for the entire reporting period. This neutralises inflows and outflows (withdrawals and deposits) meaning they do not have any impact on performance.

Money-weighted

Performance is calculated by dividing the sum of current earnings and changes in value by the average invested capital. Inflows and outflows (withdrawals and deposits) impact the amount of invested capital and thus performance.

Asset overview and detailed positions

Short-term investments

Account assets and liabilities, accrued interest of short-term investments, foreign exchange transactions, money market investments, money market investment funds and structured products with money market characteristics

Bonds and bond-like investments

Bonds and similar investments, accrued interest of bonds and bond-like investments, bond investment funds and structured products with bond characteristics

Equities and equity-like investments

Equities and equity-like investments, equity investment funds and structured products with equity characteristics

Alternative investments

Units in hedge funds, private equity investments, real estate investments and commodities



Important information

1593995.001

Statement of assets as of September 30, 2013
created on October 01, 2013

016

Miscellaneous

Precious metals and others

Vontobel bases the assessment of securities held in custody accounts on representative sources of information commonly used by banks. Derivative financial products issued by Vontobel are assessed using the latest bid price available at the point of valuation from internal Vontobel sources. The valuations serve as a guidance only and are not binding to the bank. The bank accepts no liability for the correctness of the valuation or for other information or calculations in connection with the assets entered into the account.

If no valuation is indicated, this means that no current price could be determined or that the instrument carries no value. The prices displayed do not commit the bank to buy or sell at this price or rate. We kindly ask you to check this statement of assets and, in the event of discrepancies, to object in writing within four weeks from the mailing date.



1.2.973.659 (Copy)
7.002.7131.249.261

| Performance

| Asset overview

| Detailed positions

| Appendix

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Statement of assets as of March 31, 2014

017

Client information

Name Asiastrust Limited as trustee of The Joel A. Moser Family Trust

Mandate information

Mandate [REDACTED]
Service type Asset Management for third parties
Reference currency USD

Client advisor

| | |
|-----------|------------------------------|
| Name | EAM Desk |
| Telephone | +41 58 283 51 10 |
| Fax | +41 58 283 53 53 |
| E-Mail | eaminternational@vontobel.ch |

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CH-8022 Zürich

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Performance Overview of asset performance

1593995.001

Statement of assets as of March 31, 2014
created on April 01, 2014

Performance from 01.01.2014 until 31.03.2014

USD

Starting value of assets as of 01.01.2014

1 031 805

| | |
|---|----------------|
| Net inflows, adjusted | |
| Net inflows (Cash deposits/withdrawals and securities deliveries) | - 2 580 |
| Withholding tax | 0 |
| Total Net Inflows, adjusted | - 2 580 |

Adjusted assets

1 029 226

| | |
|---|---|
| Current earnings | |
| Net income credited | 0 |
| Accrued interest on purchases and sales | 0 |
| Change in accrued interest | 0 |
| Withholding tax paid | 0 |

| | | |
|------------------------|--|--------------|
| Total current earnings | | 0 |
| Changes in value | | - 600 |
| Total return | | - 600 |

Ending value of assets as of 31.03.2014

1 028 626

| | |
|---------------------------------------|---------|
| Net performance, time-weighted (TWR) | - 0.06% |
| Net performance, money-weighted (MWR) | - 0.06% |

Asset overview Total assets

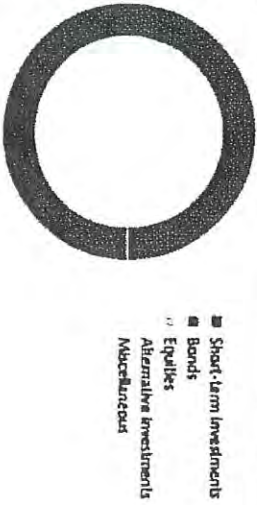
1593995,001

Statement of assets as of March 31, 2014
created on April 01, 2014

Total assets by investment category and currency, valued in USD

| | USD | Total |
|---------------------------|-------------------------|-------------------------|
| Short-term investments | 1 028 626 100,0% | 1 028 626 100,0% |
| Bonds | | |
| Equities | | |
| Alternative investments | | |
| Miscellaneous | | |
| Total in USD | 1 028 626 100,0% | 1 028 626 100,0% |
| Total in foreign currency | 1 028 626 | |
| Exchange rate | | |

Allocation by investment category



Allocation by currency





Detailed positions

Short-term investments

Statement of assets as of March 31, 2014
created on April 01, 2014

021

| Curr. | Quantity | Description | Maturity | Risk curr. | Cost price | Valuation | Market price | Market value USD | % of net |
|--|--------------|--|---------------|------------|---------------|---------------|---------------|----------------------------|----------|
| | | | Yield to mat. | | Exchange rate | Exchange rate | Exchange rate | Accrued interest in USD | assets |
| Account investments | | | | | | | | | |
| USD | 1 028 626.37 | Current account USD (USD) IBAN CH80 0875 7000 0124 1191 8 | | USD | | | | 1 028 626 | 100.00% |
| CHF | 0.00 | Current account Swiss Franc (CHF) IBAN CH53 0875 7000 0124 1191 9 | | CHF | | | 0.8834 | 0 | |
| EUR | 0.00 | Current account Euro (EUR) IBAN CH10 0875 7000 0124 1191 7 | | EUR | | | 1.3783 | 0 | |
| Short-term investments | | | | | | | | | |
| Accrued interest | | | | | | | | | |
| Total short-term investments | | | | | | | | | |
| Total assets (excluding accrued interest) | | | | | | | | | |
| Accrued interest | | | | | | | | | |
| Total assets | | | | | | | | | |
| | | | | | | | | 1 028 626 | 100.00% |
| | | | | | | | | 0 | |
| | | | | | | | | 1 028 626 | 100.00% |





Important information

Statement of assets as of March 31, 2014
created on April 01, 2014

Performance analysis

Current earnings Earnings from interest and dividends less any withholding tax paid (credited net earnings), balance of accrued interest on purchases and sales and changes in accrued interest.

Changes in value Realised and unrealised gains arising from changes in value. Unrealised gains consist of changes in value affecting existing positions at the time of valuation.

Total return Total return equals the sum of current earnings plus changes in value.

Ending value of assets The assets shown in the performance overview can differ from the assets shown in the asset overview due to differences in the evaluation method (reference date).

Performance Performance measures the total income of the portfolio relative to the portfolio value. Inflows and outflows (withdrawals and deposits) are considered using the money and time-weighted calculation method. Performance relates to the reporting period.

Net performance Performance after deduction of all transaction and administrative costs and net of non-reclaimable withholding taxes.

Time-weighted The reporting period is divided into sub-periods. Performance is calculated for each sub-period and then combined for the entire reporting period. This neutralises inflows and outflows (withdrawals and deposits) meaning they do not have any impact on performance.

Money-weighted Performance is calculated by dividing the sum of current earnings and changes in value by the average invested capital. Inflows and outflows (withdrawals and deposits) impact the amount of invested capital and thus performance.

Asset overview and detailed positions

Short-term investments Account assets and liabilities, accrued interest of short-term investments, foreign exchange transactions, money market investments, money market investment funds and structured products with money market characteristics

Bonds and bond-like investments Bonds and similar investments, accrued interest of bonds and bond-like investments, bond investment funds and structured products with bond characteristics

Equities and equity-like investments Equities and equity-like investments, equity investment funds and structured products with equity characteristics

Alternative investments Units in hedge funds, private equity investments, real estate investments and commodities





Important information

Statement of assets as of March 31, 2014
created on April 01, 2014

023

Miscellaneous

Precious metals and others

Vontobel bases the assessment of securities held in custody accounts on representative sources of information commonly used by banks. Derivative financial products issued by Vontobel are assessed using the latest bid price available at the point of valuation from internal Vontobel sources. The valuations serve as a guidance only and are not binding to the bank. The bank accepts no liability for the correctness of the valuation or for other information or calculations in connection with the assets entered into the account.

If no valuation is indicated, this means that no current price could be determined or that the instrument carries no value. The prices displayed do not commit the bank to buy or sell at this price or rate. We kindly ask you to check this statement of assets and, in the event of discrepancies, to object in writing within four weeks from the mailing date.



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[Performance](#)

[Asset overview](#)

[Detailed positions](#)

[Appendix](#)

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Bank Vontobel AG
 Gotthardstrasse 43, CH-8022 Zürich
 Telephone +41 58 283 71 11
 www.vontobel.com
 Clearing: 8757, BIC: VONTCHZZXXX, CHE-116.300.767 VAT

Client advisor
 EAM Desk
 Telephone +41 58 283 51 10

Current account USD (USD)
 Name Asiastrust Limited as trustee of The Joel A. Moser Family Trust

Mandate [REDACTED]
 Account number [REDACTED]
 IBAN [REDACTED]

Account statement

01.01.2014 until 31.03.2014

March 31, 2014

| Overview | Debit | Credit | Account balance |
|-----------------|----------|--------|-----------------|
| Opening balance | | | 1 031 805.49 |
| Total credits | | | |
| Total debits | 3 179.12 | | |
| Closing balance | | | 1 028 626.37 |

| Date | Information | Debit | Credit | Value date | Account balance |
|------------|--|----------|--------|------------|-----------------|
| 31.12.2013 | Opening balance | | | | 1 031 805.49 |
| 09.01.2014 | MANAGEMENT FEE FOURTH QUARTER 2013 3RD PARTY Order number 220908131 | 2 579.51 | | 09.01.2014 | 1 029 225.98 |
| 28.03.2014 | Periodic fees [REDACTED] Order number 227626867 | 599.61 | | 31.03.2014 | 1 028 626.37 |
| | Total turnover | 3 179.12 | | | |
| 31.03.2014 | Closing balance | | | | 1 028 626.37 |

We kindly ask you to check this account statement and, in the event of discrepancies, to send any objections in writing within four weeks of the mailing date.





Statement of assets as of June 30, 2014

025

Client information

Name Asiastrust Limited as trustee of The Joel A. Moser Family Trust

Mandate information

Mandate [REDACTED]
Service type Asset Management for third parties
Reference currency USD

Client advisor

Name EAM Desk
Telephone +41 58 283 51 10
Fax +41 58 283 53 53
E-Mail eaminternational@vontobel.ch

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Performance

Overview of asset performance

Statement of assets as of June 30, 2014
 created on July 01, 2014

Performance from 01.01.2014 until 30.06.2014

USD

Starting value of assets as of 01.01.2014

1 031 805

| | |
|---|----------------|
| Net inflows, adjusted | |
| Net inflows (Cash deposits/withdrawals and securities deliveries) | - 5 237 |
| Withholding tax | 0 |
| Total Net inflows, adjusted | - 5 237 |

Adjusted assets

1 026 569

| | |
|---|---|
| Current earnings | |
| Net income credited | 0 |
| Accrued interest on purchases and sales | 0 |
| Change in accrued interest | 0 |
| Withholding tax paid | 0 |

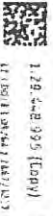
| | |
|------------------------|---------|
| Total current earnings | 0 |
| Changes in value | - 1 191 |

| | |
|---------------------|----------------|
| Total return | - 1 191 |
|---------------------|----------------|

Ending value of assets as of 30.06.2014

1 025 378

| | |
|---------------------------------------|---------|
| Net performance, time-weighted (TWR) | - 0.12% |
| Net performance, money-weighted (MWR) | - 0.12% |



Asset overview

Total assets

Statement of assets as of June 30, 2014
 created on July 01, 2014

Total assets by investment category and currency, valued in USD

| | USD | Total |
|---------------------------|-------------------------|-------------------------|
| Short-term investments | 1 025 378 100.0% | 1 025 378 100.0% |
| Bonds | | |
| Equities | | |
| Alternative investments | | |
| Miscellaneous | | |
| Total in USD | 1 025 378 100.0% | 1 025 378 100.0% |
| Total in foreign currency | | |
| Exchange rate | 1 025 378 | |

Allocation by investment category



- Short-term investments
- Bonds
- Equities
- Alternative investments
- Miscellaneous

Allocation by currency



- USD



Detailed positions

Short-term investments

Statement of assets as of June 30, 2014
 created on July 01, 2014

| Curr. | Quantity | Description | Maturity | Risk curr. | Cost price | Valuation | Market price | Market value USD | % of net |
|--|--------------|--|---------------|------------|---------------|---------------|---------------|----------------------------|----------|
| | | | Yield to mat. | | Exchange rate | Exchange rate | Exchange rate | Accrued interest in USD | assets |
| Account investments | | | | | | | | | |
| USD | 1 025 377.79 | Current account USD (USD) IBAN CH80 0875 7000 0124 1191 8 | | USD | | | | 1 025 378 | 100.00% |
| CHF | 0.00 | Current account Swiss Franc (CHF) IBAN CH53 0875 7000 0124 1191 9 | | CHF | | | 0.8868 | 0 | |
| EUR | 0.00 | Current account Euro (EUR) IBAN CH10 0875 7000 0124 1191 7 | | EUR | | | 1.3691 | 0 | |
| Short-term investments | | | | | | | | | |
| Accrued interest | | | | | | | | | |
| | | | | | | | | 1 025 378 | 100.00% |
| Total short-term investments | | | | | | | | | |
| | | | | | | | | 1 025 378 | 100.00% |
| Total assets (excluding accrued interest) | | | | | | | | | |
| | | | | | | | | 0 | |
| Total assets | | | | | | | | | |
| | | | | | | | | 1 025 378 | 100.00% |



Important information

Statement of assets as of June 30, 2014
created on July 01, 2014

030

Performance analysis

Current earnings Earnings from interest and dividends (less any withholding tax paid (credited net earnings)), balance of accrued interest on purchases and sales and changes in accrued interest.

Changes in value Realised and unrealised gains arising from changes in value. Unrealised gains consist of changes in value affecting existing positions at the time of valuation.

Total return Total return equals the sum of current earnings plus changes in value.

Ending value of assets The assets shown in the performance overview can differ from the assets shown in the asset overview due to differences in the valuation method (reference date).

Performance Performance measures the total income of the portfolio relative to the portfolio value. Inflows and outflows (withdrawals and deposits) are considered using the money and time-weighted calculation method. Performance relates to the reporting period.

Net performance Performance after deduction of all transaction and administrative costs and net of non-reclaimable withholding taxes.

Time-weighted The reporting period is divided into sub-periods. Performance is calculated for each sub-period and then combined for the entire reporting period. This neutralises inflows and outflows (withdrawals and deposits) meaning they do not have any impact on performance.

Money-weighted Performance is calculated by dividing the sum of current earnings and changes in value by the average invested capital. Inflows and outflows (withdrawals and deposits) impact the amount of invested capital and thus performance.

Asset overview and detailed positions

Short-term investments Account assets and liabilities, accrued interest of short-term investments, foreign exchange transactions, money market investments, money market investment funds and structured products with money market characteristics

Bonds and bond-like investments Bonds and similar investments, accrued interest of bonds and bond-like investments, bond investment funds and structured products with bond characteristics

Equities and equity-like investments Equities and equity-like investments, equity investment funds and structured products with equity characteristics

Alternative investments Units in hedge funds, private equity investments, real estate investments and commodities



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| Performance

| Asset overview

| Detailed positions

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Important information

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Statement of assets as of June 30, 2014
created on July 01, 2014

031

Miscellaneous

Precious metals and others

Vontobel bases the assessment of securities held in custody accounts on representative sources of information commonly used by banks. Derivative financial products issued by Vontobel are assessed using the latest bid price available at the point of valuation from internal Vontobel sources. The valuations serve as a guidance only and are not binding to the bank. The bank accepts no liability for the correctness of the valuation or for other information or calculations in connection with the assets entered into the account.

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We kindly ask you to check this statement of assets and, in the event of discrepancies, to object in writing within four weeks from the mailing date.



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Performance

Asset overview

Detailed positions

Appendix

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 www.vontobel.com
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Client advisor
 EAM Desk
 Telephone +41 58 283 51 10

Current account USD (USD)
 Name Asiabrust Limited as trustee of The Joel A. Moser Family Trust

Mandate [REDACTED]
 Account number [REDACTED]
 IBAN [REDACTED]

Account statement

01.04.2014 until 30.06.2014

June 30, 2014

| Overview | Debit | Credit | Account balance |
|-----------------|----------|--------|-----------------|
| Opening balance | | | 1 028 626.37 |
| Total credits | | | |
| Total debits | 3 248.58 | | |
| Closing balance | | | 1 025 377.79 |

| Date | Information | Debit | Credit | Value date | Account balance |
|------------|--|----------|--------|------------|-----------------|
| 31.03.2014 | Opening balance | | | | 1 028 626.37 |
| 02.04.2014 | MANAGEMENT FEE FIRST QUARTER 2014, THIRD PARTY Order number 228610129 | 2 571.57 | | 02.04.2014 | 1 026 054.80 |
| 13.05.2014 | Tax services Order number 231823613 | 85.52 | | 21.05.2014 | 1 025 969.28 |
| 24.06.2014 | Periodic fees [REDACTED] Order number 235967945 | 591.49 | | 30.06.2014 | 1 025 377.79 |
| | Total turnover | 3 248.58 | | | |
| 30.06.2014 | Closing balance | | | | 1 025 377.79 |

We kindly ask you to check this account statement and, in the event of discrepancies, to send any objections in writing within four weeks of the mailing date.





Statement of assets as of September 30, 2014

Client information

Name Asiastrust Limited as trustee of The Joel A. Maser Family Trust

Mandate information

Mandate [REDACTED]
Service type Asset Management for third parties
Reference currency USD

Client advisor

| | |
|-----------|------------------------------|
| Name | EAM Desk |
| Telephone | +41 58 283 51 10 |
| Fax | +41 58 283 53 53 |
| E-Mail | eaminternational@vontobel.ch |

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Gotthardstrasse 43
CH-8022 Zürich

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Fax +41 58 283 76 50
www.vontobel.com



1. Auflage 12/14 (engl.)
4. Auflage 01/14 (fr.)



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Performance Overview of asset performance

1593995.001

Statement of assets as of September 30, 2014
created on October 01, 2014

Performance from 01.01.2014 until 30.09.2014

USD

Starting value of assets as of 01.01.2014

1 031 805

| | |
|---|----------|
| Net inflows, adjusted | |
| Net inflows (Cash deposits/withdrawals and securities deliveries) | - 11 302 |
| Withholding tax | 0 |

Total Net inflows, adjusted

- 11 302

Adjusted assets

1 020 504

| | |
|---|---|
| Current earnings | |
| Net income credited | 0 |
| Accrued interest on purchases and sales | 0 |
| Change in accrued interest | 0 |
| Withholding tax paid | 0 |

Total current earnings

0

Changes in value

- 1 756

Total return

- 1 756

Ending value of assets as of 30.09.2014

1 018 748

Net performance, time-weighted (TWR)
Net performance, money-weighted (MWR)

- 0.17%

- 0.17%

Asset overview

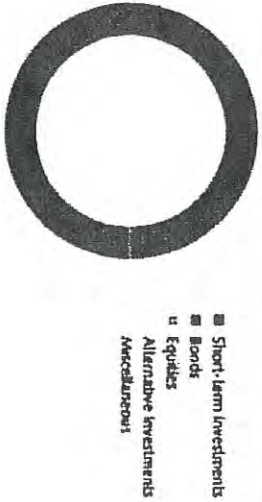
Total assets

Statement of assets as of September 30, 2014
 created on October 01, 2014

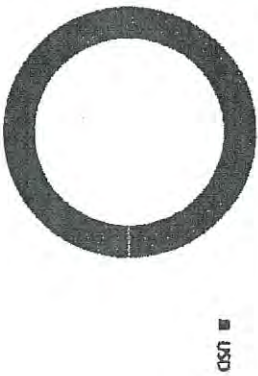
Total assets by investment category and currency, valued in USD

| | USD | Total |
|---------------------------|-------------------------|-------------------------|
| Short-term investments | 1 018 748 100.0% | 1 018 748 100.0% |
| Bonds | | |
| Equities | | |
| Alternative investments | | |
| Miscellaneous | | |
| Total in USD | 1 018 748 100.0% | 1 018 748 100.0% |
| Total in foreign currency | 1 018 748 | |
| Exchange rate | | |

Allocation by investment category



Allocation by currency



Detailed positions

Short-term investments

Statement of assets as of September 30, 2014
created on October 01, 2014

| Curr. | Quantity | Description | Maturity | Risk curr. | Cost price | Valuation | Market price | Market value USD | % of net |
|--|--------------|--|---------------|------------|---------------|---------------|---------------|------------------|----------|
| | | | Yield to mat. | | Exchange rate | Exchange rate | Exchange rate | in USD | assets |
| Account investments | | | | | | | | | |
| USD | 1 018 747,51 | Current account USD (USD) IBAN CH80 0875 7000 0124 1191 8 | | USD | | | | 1 018 748 | 100,00% |
| CHF | 0,00 | Current account Swiss Franc (CHF) IBAN CH53 0875 7080 0124 1191 9 | | CHF | | | 0,9555 | 0 | 0 |
| EUR | 0,00 | Current account Euro (EUR) IBAN CH10 0875 7000 0124 1191 7 | | EUR | | | 1,2633 | 0 | 0 |
| Short-term investments | | | | | | | | | |
| Accrued interest | | | | | | | | | |
| Total short-term investments | | | | | | | | | |
| Total assets (excluding accrued interest) | | | | | | | | | |
| Accrued interest | | | | | | | | | |
| Total assets | | | | | | | | | |

Important information

1593995.001

Statement of assets as of September 30, 2014
created on October 01, 2014

Performance analysis

| | |
|------------------------|---|
| Current earnings | Earnings from interest and dividends less any withholding tax paid (credited net earnings), balance of accrued interest on purchases and sales and changes in accrued interest. |
| Changes in value | Realised and unrealised gains arising from changes in value. Unrealised gains consist of changes in value affecting existing positions at the time of valuation. |
| Total return | Total return equals the sum of current earnings plus changes in value. |
| Ending value of assets | The assets shown in the performance overview can differ from the assets shown in the asset overview due to differences in the evaluation method (reference date). |
| Performance | Performance measures the total income of the portfolio relative to the portfolio value. Inflows and outflows (withdrawals and deposits) are considered using the money and time-weighted calculation method. Performance relates to the reporting period. |
| Net performance | Performance after deduction of all transaction and administrative costs and net of non-reclaimable withholding taxes. |
| Time-weighted | The reporting period is divided into sub-periods. Performance is calculated for each sub-period and then combined for the entire reporting period. This neutralises inflows and outflows (withdrawals and deposits) meaning they do not have any impact on performance. |
| Money-weighted | Performance is calculated by dividing the sum of current earnings and changes in value by the average invested capital. Inflows and outflows (withdrawals and deposits) impact the amount of invested capital and thus performance. |

Asset overview and detailed positions

| | |
|--------------------------------------|--|
| Short-term investments | Account assets and liabilities, accrued interest of short-term investments, foreign exchange transactions, money market investments, money market investment funds and structured products with money market characteristics |
| Bonds and bond-like investments | Bonds and similar investments, accrued interest of bonds and bond-like investments, bond investment funds and structured products with bond characteristics |
| Equities and equity-like investments | Equities and equity-like investments, equity investment funds and structured products with equity characteristics |
| Alternative investments | Units in hedge funds, private equity investments, real estate investments and commodities |



Important information

Statement of assets as of September 30, 2014
created on October 01, 2014

Miscellaneous

Precious metals and others

Vontobel bases the assessment of securities held in custody accounts on representative sources of information commonly used by banks. Derivative financial products issued by Vontobel are assessed using the latest bid price available at the point of valuation from internal Vontobel sources. The valuations serve as a guidance only and are not binding to the bank. The bank accepts no liability for the correctness of the valuation or for other information or calculations in connection with the assets entered into the account.

If no valuation is indicated, this means that no current price could be determined or that the instrument carries no value. The prices displayed do not commit the bank to buy or sell at this price or rate.

We kindly ask you to check this statement of assets and, in the event of discrepancies, to object in writing within four weeks from the mailing date.





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 Clearing: 8757, BIC: VONTCHZZXXX, CHE-116.300.767 VAT

Client advisor
 EAM Desk
 Telephone +41 58 283 51 10

Current account USD (USD)
 Name Asiastrust Limited as trustee of The Joel A. Moser Family Trust

Mandate [REDACTED]
 Account number [REDACTED]
 IBAN [REDACTED]

Account statement

01.07.2014 until 30.09.2014

September 30, 2014

| Overview | Debit | Credit | Account balance |
|-----------------|----------|--------|-----------------|
| Opening balance | | | 1 025 377.79 |
| Total credits | | | |
| Total debits | 6 630.28 | | |
| Closing balance | | | 1 018 747.51 |

| Date | Information | Debit | Credit | Value date | Account balance |
|------------|---|----------|--------|------------|-----------------|
| 30.06.2014 | Opening balance | | | | 1 025 377.79 |
| 02.07.2014 | Management Fee Third Party (Swiss American Advisors AG) Order number 236918424 | 2 563.44 | | 02.07.2014 | 1 022 814.35 |
| 22.09.2014 | Transfer AUSTRALIA AND NEW ZEALAND BANKING GROUP LTD Order number 243725327 | 3 501.62 | | 22.09.2014 | 1 019 312.73 |
| 24.09.2014 | Periodic fees [REDACTED] Order number 243689005 | 565.22 | | 30.09.2014 | 1 018 747.51 |
| 30.09.2014 | Total turnover | 6 630.28 | | | |
| 30.09.2014 | Closing balance | | | | 1 018 747.51 |

We kindly ask you to check this account statement and, in the event of discrepancies, to send any objections in writing within four weeks of the mailing date.



Statement of assets as of December 31, 2014

041

Client information

Name
Asiatrust Limited as trustee of The Joel A. Moser
Family Trust

Mandate information

Mandate
Service type
Reference currency
Asset Management for third parties
USD

Client advisor

| | |
|-----------|------------------------------|
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| Telephone | +41 58 283 51 10 |
| Fax | +41 58 283 53 53 |
| E-Mail | eaminternational@vontobel.ch |

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www.vontobel.com



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Performance Overview of asset performance

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Statement of assets as of December 31, 2014
created on January 01, 2015

Performance from 01.01.2014 until 31.12.2014

USD

Starting value of assets as of 01.01.2014

1 031 805

| | |
|---|----------|
| Net inflows, adjusted | |
| Net inflows (Cash deposits/withdrawals and securities deliveries) | - 13 849 |
| Withholding tax | 0 |

Total Net inflows, adjusted

- 13 849

Adjusted assets

1 017 957

| | |
|---|---------|
| Current earnings | |
| Net income credited | 358 |
| Accrued interest on purchases and sales | - 1 207 |
| Change in accrued interest | 1 780 |
| Withholding tax paid | 0 |

Total current earnings

931

Changes in value

- 11 989

Total return

- 11 058

Ending value of assets as of 31.12.2014

1 006 899

| | |
|---------------------------------------|---------|
| Net performance, time-weighted (TWR) | - 1.09% |
| Net performance, money-weighted (MWR) | - 1.08% |



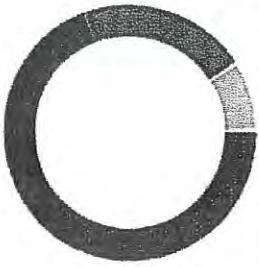
Asset overview Total assets

Statement of assets as of December 31, 2014
Created on January 01, 2015

Total assets by investment category and currency, valued in USD

| | USD | CHF | EUR | AUD | HOK | Total |
|----------------------------------|----------------|--------------|---------------|---------------|---------------|----------------|
| Short-term investments | 697 622 | 69.3% | | | | 697 622 69.3% |
| Bonds | 162 205 | 16.1% | | 20 649 | 2.9% | 25 690 2.6% |
| Equities | | | 53 783 | 37 950 | 3.8% | 217 544 21.6% |
| Alternative investments | | | | | | 91 733 9.1% |
| Miscellaneous | | | | | | |
| Total in USD | 859 827 | 85.4% | 53 783 | 37 950 | 3.8% | 25 690 |
| | | | | 29 649 | 2.9% | 2.6% |
| Total in foreign currency | 859 827 | | 53 441 | 31 362 | 1.2101 | 192 611 |
| Exchange rate | | | 0.9936 | 36 231 | 0.8183 | 7.4975 |

Allocation by investment category



- Short-term investments
- Bonds
- Equities
- Alternative investments
- Miscellaneous

Allocation by currency



- USD
- CHF
- EUR
- AUD
- NOK



Asset overview Bonds and bond-like investments

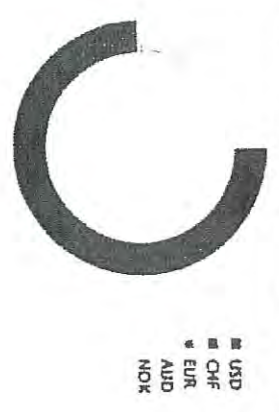
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Statement of assets as of December 31, 2014
Created on January 01, 2015

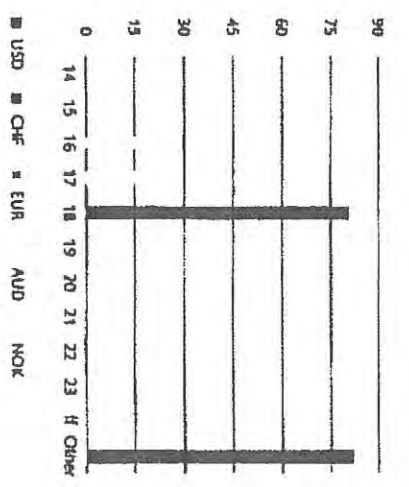
Bonds and bond-like investments by maturity and currency, valued in USD

| | USD | CHF | EUR | AUD | NOK | Total |
|----------------|--------------|-----|-----|--------------|--------------|---------------|
| 2014 | | | | | | |
| 2015 | | | | | | |
| 2016 | | | | 13.6% | | 13.6% |
| 2017 | | | | | 11.8% | 11.8% |
| 2018 | | | | | 37.0% | 37.0% |
| 2019 | | | | | | |
| 2020 | | | | | | |
| 2021 | | | | | | |
| 2022 | | | | | | |
| 2023 | | | | | | |
| 2024 and later | | | | | | |
| Other | | | | | | 37.6% |
| Total | 74.6% | | | 13.6% | 11.8% | 100.0% |
| USD | 162 205 | | | 29 649 | 25 690 | 217 544 |

Allocation by currency



Allocation by maturity (in thousands)



Asset overview Equities and equity-like investments

1593995.001

Statement of assets as of December 31, 2014
created on January 01, 2015

Equities and equity-like investments by industry sector and country group, valued in USD

| | Switzerland | Eurozone | Rest of Europe | North America | Asia Pacific | Other | Total |
|--------------------------|--------------|--------------|----------------|---------------|--------------|-------|---------------|
| Energy | | | | | | | |
| Commodities and supplies | | | | | | | |
| Industry | | | | | | | |
| Non-basic consumer goods | | 20.7% | | | | | 20.7% |
| Basic consumer goods | 20.0% | | 20.7% | | | | 40.7% |
| Healthcare | 38.6% | | | | | | 38.6% |
| Finance | | | | | | | |
| IT | | | | | | | |
| Telecom services | | | | | | | |
| Utilities | | | | | | | |
| Other | | | | | | | |
| Total | 58.6% | 41.4% | | | | | 100.0% |
| USD | 53 783 | 37 950 | | | | | 91 733 |

Allocation by country group



- Switzerland
- Eurozone
- Rest of Europe
- North America
- Asia Pacific
- Other

Allocation by industry sectors

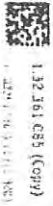
- Energy
- Commodities and supplies
- Industry
- Non-basic consumer goods
- Basic consumer goods
- Healthcare
- Finance
- IT
- Telecom services
- Utilities
- Other

Detailed positions

Short-term investments

Statement of assets as of December 31, 2014
created on January 01, 2015

| Curr. | Quantity | Description | Maturity Yield to mat. | Risk curr. | Cost price Exchange rate | Valuation Exchange rate | Market price Exchange rate | Market value USD in USD | Accrued interest USD | % of net assets |
|-------------------------------------|------------|--|---------------------------|------------|-----------------------------|----------------------------|-------------------------------|----------------------------|-------------------------|--------------------|
| Account investments | | | | | | | | | | |
| USD | 697 621.87 | Current account USD (USD) IBAN CH80 0875 7000 0124 1191 8 | | USD | | | | 697 622 | | 69.28% |
| CHF | 0.00 | Current account Swiss Franc (CHF) IBAN CH53 0875 7000 0124 1191 9 | | CHF | | | 0.9936 | 0 | | |
| EUR | 0.00 | Current account Euro (EUR) IBAN CH10 0875 7000 0124 1191 7 | | EUR | | | 1.2101 | 0 | | |
| Short-term investments | | | | | | | | | | |
| Accrued interest | | | | | | | | | | |
| | | | | | | | | 697 622 | | 69.28% |
| | | | | | | | | 0 | | |
| Total short-term investments | | | | | | | | 697 622 | | 69.28% |



Detailed positions

Bonds and bond-like investments

Statement of assets as of December 31, 2014
created on January 01, 2015

| Curr. | Quantity | Description | Rating | Security no. | ISIN | Maturity | Yield to mat. | Risk curr. | Cost price | Exchange rate | Market price | Exchange rate | Change price | Change total | Market value USD | Accrued interest | % of net assets | |
|------------------------|----------|--|---------|--------------|--------------|------------|---------------|------------|------------|---------------|--------------|---------------|--------------|--------------|------------------|------------------|-----------------|---------------|
| Bonds USD | | | | | | | | | | | | | | | | | | |
| USD | 40 000 | 1.25% Anft-Bsich Inv Fn Senior | A A2 | 20465576 | US035242AC00 | 17.01.2018 | 1.64% | USD | 99.53% | | 98.86% | | -0.67% | | 39 544 | 228 | 3.93% | |
| USD | 40 000 | 1.75% Daimler Intl Reg-S Senior | A- A3 | 21087360 | XS0914293575 | 10.04.2018 | 1.65% | USD | 101.04% | | 100.30% | | -0.73% | | 40 120 | 506 | 3.98% | |
| | 360 | ETF iShares National AMT-Free Muni Bond | | 3398490 | US4642884146 | | | USD | 111.32 | | 110.34 | | -0.88% | | 39 722 | | 3.95% | |
| | 850 | ETF Market Vectors Trust - Intermediate Muni-cipal Index | | 3605062 | US57060U8457 | | | USD | 23.82 | | 23.67 | | -0.63% | | 20 120 | | 2.00% | |
| | 1 400 | Nuveen Texas Quality Income Municipal Fund | | 955965 | US6709B31050 | | | USD | 15.12 | | 15.69 | | 3.77% | | 21 966 | | 2.18% | |
| Total bonds USD | | | | | | | | | | | | | | | | 162 205 | | 16.11% |



Detailed positions Bonds and bond-like investments

Statement of assets as of December 31, 2014
created on January 01, 2015

| Curr. | Quantity | Description Rating | Security no. ISIN | Maturity Yield to mat. | Risk curr. | Cost price Exchange rate | Market price Exchange rate | Change price Change total | Market value USD Accrued interest in USD | % of net assets |
|------------------------|----------|-------------------------------------|--------------------------|---------------------------|------------|-----------------------------|-------------------------------|------------------------------|--|--------------------|
| Bonds AUD | | | | | | | | | | |
| AUD | 35 000 | 4.25% GECC Reg-S Senior AA+ A1 | 19549496 XS083173063 | 21.09.2016 2.83% | AUD | 103.21% 0.8891 | 102.34% 0.8183 | - 0.84% - 8.12% | 29 312 337 | 2.91% |
| Total bonds AUD | | | | | | | | | | |
| Bonds NOK | | | | | | | | | | |
| NOK | 180 000 | 3.25% BNG Reg-S Senior AA+ Aaa | 14858110 XS0739987781 | 02.02.2017 1.27% | NOK | 104.26% 6.4783 | 104.05% 7.4975 | - 0.20% - 13.77% | 24 980 710 | 2.48% |
| Total bonds NOK | | | | | | | | | | |

| | | | | | | | | | | | |
|--|--|--|--|--|--|--|--|--|--|---------|--------|
| Bonds and bond-like investments | | | | | | | | | | | |
| Accrued interest | | | | | | | | | | 215 764 | 21.43% |
| Total bonds and bond-like investments | | | | | | | | | | 217 544 | 21.61% |



Detailed positions Equities and equity-like investments

Statement of assets as of December 31, 2014
created on January 01, 2015

| Quantity | Description | Security no. ISIN | Sector | Risk curr. | Cost price Exchange rate | Market price Exchange rate | Change price Change total | Market value USD Accrued interest in USD | % of net assets |
|---------------------------|---|-------------------------|----------------------|------------|-----------------------------|-------------------------------|------------------------------|--|--------------------|
| Equities CHF | | | | | | | | | |
| 250 | Nestle SA Registered | 3886335 CH0038863350 | Basic consumer goods | CHF | CHF 72.29 0.9478 | CHF 72.95 0.9936 | 0.91% - 3.74% | 18 354 | 1.82% |
| 200 | Novartis Inc Registered Valuation: 30.12.2014 | 1200526 CH0012005267 | Healthcare | CHF | CHF 90.27 0.9579 | CHF 92.35 0.9936 | 2.30% - 1.38% | 18 588 | 1.85% |
| 62 | Rache Holding Ltd Dividend right certifi- cate | 1203204 CH0012032048 | Healthcare | CHF | CHF 288.17 0.9575 | CHF 269.90 0.9936 | - 6.34% - 9.75% | 16 841 | 1.67% |
| Total equities CHF | | | | | | | | 53 783 | 5.34% |

| Equities EUR | | | | | | | | | |
|---------------------|-------------|-------------------------|----------------------|------------|-----------------------------|-------------------------------|------------------------------|--|--------------------|
| Quantity | Description | Security no. ISIN | Sector | Risk curr. | Cost price Exchange rate | Market price Exchange rate | Change price Change total | Market value USD Accrued interest in USD | % of net assets |
| 480 | Unilever NV | 2560588 NL0000009355 | Basic consumer goods | EUR | EUR 31.64 1.2569 | EUR 32.64 1.2101 | 3.16% - 0.68% | 18 958 | 1.88% |



Detailed positions Equities and equity-like investments

Statement of assets as of December 31, 2014
created on January 01, 2015

| Quantity | Description | Security no. ISIN | Sector | Risk curr. | Cost price Exchange rate | Market price Exchange rate | Change price Change total | Market value USD Accrued interest in USD | % of net assets |
|------------------------------------|-------------------------|------------------------|-----------------------------|------------|-----------------------------|-------------------------------|------------------------------|--|--------------------|
| Equities EUR (Continuation) | | | | | | | | | |
| 85 | Volkswagen AG Preferred | 352781 DE0007664039 | Non-basic consumer goods | EUR | EUR 175.58 1.2670 | 184.65 1.2101 | 5.17% 0.44% | 18 992 | 1.89% |
| Total equities EUR | | | | | | | | 37 950 | 3.77% |

| | | | | | | | | | |
|---|--|--|--|--|--|--|--|---------------|--------------|
| Equities and equity-like investments | | | | | | | | | |
| Accrued interest | | | | | | | | 91 733 | 9.11% |
| Total equities and equity-like investments | | | | | | | | 91 733 | 9.11% |

| | | | | | | | | | |
|--|--|--|--|--|--|--|--|------------------|----------------|
| Total assets (excluding accrued interest) | | | | | | | | | |
| Accrued interest | | | | | | | | 1 005 119 | 99.82% |
| Total assets | | | | | | | | 1 006 899 | 100.00% |



Important information

Statement of assets as of December 31, 2014
 created on January 01, 2015

Performance analysis

| | |
|------------------------|---|
| Current earnings | Earnings from interest and dividends less any withholding tax paid (credited net earnings), balance of accrued interest on purchases and sales and changes in accrued interest. |
| Changes in value | Realised and unrealised gains arising from changes in value. Unrealised gains consist of changes in value affecting existing positions at the time of valuation. |
| Total return | Total return equals the sum of current earnings plus changes in value. |
| Ending value of assets | The assets shown in the performance overview can differ from the assets shown in the asset overview due to differences in the evaluation method (reference date). |
| Performance | Performance measures the total income of the portfolio relative to the portfolio value. Inflows and outflows (withdrawals and deposits) are considered using the money and time-weighted calculation method. Performance relates to the reporting period. |
| Net performance | Performance after deduction of all transaction and administrative costs and net of non-reclaimable withholding taxes. |
| Time-weighted | The reporting period is divided into sub-periods. Performance is calculated for each sub-period and then combined for the entire reporting period. This neutralises inflows and outflows (withdrawals and deposits) meaning they do not have any impact on performance. |
| Money-weighted | Performance is calculated by dividing the sum of current earnings and changes in value by the average invested capital. Inflows and outflows (withdrawals and deposits) impact the amount of invested capital and thus performance. |

Asset overview and detailed positions

| | |
|--------------------------------------|--|
| Short-term investments | Account assets and liabilities, accrued interest of short-term investments, foreign exchange transactions, money market investments, money market investment funds and structured products with money market characteristics |
| Bonds and bond-like investments | Bonds and similar investments, accrued interest of bonds and bond-like investments, bond investment funds and structured products with bond characteristics |
| Equities and equity-like investments | Equities and equity-like investments, equity investment funds and structured products with equity characteristics |
| Alternative investments | Units in hedge funds, private equity investments, real estate investments and commodities |





Important information

Statement of assets as of December 31, 2014
Created on January 01, 2015

Miscellaneous Precious metals and others

Vontobel bases the assessment of securities held in custody accounts on representative sources of information commonly used by banks. Derivative financial products issued by Vontobel are assessed using the latest bid price available at the point of valuation from internal Vontobel sources. The valuations serve as a guidance only and are not binding to the bank. The bank accepts no liability for the correctness of the valuation or for other information or calculations in connection with the assets entered into the account.

If no valuation is indicated, this means that no current price could be determined or that the instrument carries no value. The prices displayed do not commit the bank to buy or sell at this price or rate. We kindly ask you to check this statement of assets and, in the event of discrepancies, to object in writing within four weeks from the mailing date.





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Client advisor
 EAM Desk
 Telephone +41 58 283 51 10

Current account USD (USD)
 Name Asiastrust Limited as trustee of The Joel A. Moser Family Trust

Mandate [REDACTED]
 Account number [REDACTED]
 IBAN [REDACTED]

Account statement

01.10.2014 until 31.12.2014

December 31, 2014

| Overview | Debit | Credit | Account balance |
|-----------------|------------|--------|-----------------|
| Opening balance | | | 1 018 747.51 |
| Total credits | | 357.96 | |
| Total debits | 321 483.60 | | |
| Closing balance | | | 697 621.87 |

| Date | Information | Debit | Credit | Value date | Account balance |
|------------|--|-----------|--------|------------|-----------------|
| 30.09.2014 | Opening balance | | | | 1 018 747.51 |
| 06.10.2014 | Management Fee Third Party (Swiss American Advisors AG) Order number 244877979 | 2 546.87 | | 01.09.2014 | 1 016 200.64 |
| 10.10.2014 | Purchase 40000 1.25% Anh-Bsch Inv Fn 17.01.2018 Senior Order number 245338303 | 39 935.36 | | 16.10.2014 | 976 265.28 |
| 10.10.2014 | Purchase 40000 1.75% Daimler Intl 10.04.2018 Reg-S Senior Order number 245338502 | 40 775.25 | | 15.10.2014 | 935 490.03 |
| 14.10.2014 | Purchase 180000 3.25% BNG 02.02.2017 Reg-S Senior Order number 245602134 | 29 603.23 | | 15.10.2014 | 905 886.80 |
| 14.10.2014 | Purchase 35000 4.25% GECC 21.09.2016 Reg-S Senior Order number 245602211 | 31 991.40 | | 16.10.2014 | 873 895.40 |
| 06.11.2014 | Purchase 180 ETF iShares National AMT-Free Muni Bond Order number 247855229 | 20 039.90 | | 12.11.2014 | 853 855.50 |
| 06.11.2014 | Purchase 700 Nuveen Texas Quality Income Municipal Fund Order number 247855497 | 10 482.40 | | 12.11.2014 | 843 373.10 |
| 06.11.2014 | Purchase 850 ETF Market Vectors Trust - Intermediate Municipal Index Order number 247856133 | 20 246.63 | | 12.11.2014 | 823 126.47 |
| 06.11.2014 | Purchase 200 Novartis Inc Registered Order number 247859490 | 18 849.41 | | 10.11.2014 | 804 277.06 |
| 06.11.2014 | Purchase 480 Unilever NV Order number 247860654 | 19 087.12 | | 10.11.2014 | 785 189.94 |





Current account USD (USD)

Name Asiatrust Limited as trustee of The Joel A. Moser Family Trust

Mandate [REDACTED]
Account number [REDACTED]

01.10.2014 until 31.12.2014 (Continuation)

December 31, 2014

| Date | Information | Debit | Credit | Value date | Account balance |
|------------|--|------------|--------|------------|-----------------|
| 06.11.2014 | Purchase 62 Roche Holding Ltd Dividend right certificate Order number 247862146 | 18 660.70 | | 10.11.2014 | 766 529.24 |
| 07.11.2014 | Purchase 180 ETF iShares National AMT-Free Muni Bond Order number 247973555 | 20 035.34 | | 13.11.2014 | 746 493.90 |
| 07.11.2014 | Purchase 700 Nuveen Texas Quality Income Municipal Fund Order number 247973694 | 10 692.31 | | 13.11.2014 | 735 801.59 |
| 19.11.2014 | Purchase 250 Nestle SA Registered Order number 249080891 | 19 067.55 | | 21.11.2014 | 716 734.04 |
| 19.11.2014 | Purchase 85 Volkswagen AG Preferred Order number 249081085 | 18 908.97 | | 21.11.2014 | 697 825.07 |
| 01.12.2014 | Cash distribution Nuv TX Qty In Mun Order number 249230984 | | 76.30 | 01.12.2014 | 697 901.37 |
| 01.12.2014 | Cash distribution Nuv TX Qty In Mun Order number 249821976 | | 1.40 | 01.12.2014 | 697 902.77 |
| 04.12.2014 | Cash distribution MktVtr Interm Munic Order number 250779033 | | 39.70 | 05.12.2014 | 697 942.47 |
| 04.12.2014 | Cash distribution iShs Nat AMT-Free Order number 250789794 | | 87.63 | 05.12.2014 | 698 030.10 |
| 15.12.2014 | Periodic fees [REDACTED] Order number 252108115 | 561.16 | | 31.12.2014 | 697 468.94 |
| 30.12.2014 | Cash distribution Nuv TX Qty In Mun Order number 252596411 | | 76.30 | 31.12.2014 | 697 545.24 |
| 30.12.2014 | Cash distribution iShs Nat AMT-Free Order number 253622886 | | 76.63 | 31.12.2014 | 697 621.87 |
| | Total turnover | 321 483.60 | 357.96 | | |
| 31.12.2014 | Closing balance | | | | 697 621.87 |

We kindly ask you to check this account statement and, in the event of discrepancies, to send any objections in writing within four weeks of the mailing date.





Statement of assets as of March 31, 2015

Client information

Name
Asiatruster Limited as trustee of The Joel A. Moser Family Trust

Mandate information

Mandate
Service type
Reference currency
Asset Management for third parties
USD

Client advisor

| | |
|-----------|------------------------------|
| Name | EAM Desk |
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Performance Overview of asset performance

Statement of assets as of March 31, 2015
created on April 01, 2015

Performance from 01.01.2015 until 31.03.2015

USD

Starting value of assets as of 01.01.2015

1 006 940

| | |
|---|---------|
| Net inflows, adjusted | |
| Net inflows (Cash deposits/withdrawals and securities deliveries) | - 2 095 |
| Withholding tax | - 393 |
| Total Net Inflows, adjusted | - 2 488 |

Adjusted assets

1 004 453

| | |
|---|---------|
| Current earnings | |
| Net income credited | 4 164 |
| Accrued interest on purchases and sales | - 2 598 |
| Change in accrued interest | 1 830 |
| Withholding tax paid | 393 |
| Total current earnings | 3 789 |
| Changes in value | - 2 127 |
| Total return | 1 662 |

Ending value of assets as of 31.03.2015

1 006 105

| | |
|---------------------------------------|-------|
| Net performance, time-weighted (TWR) | 0.17% |
| Net performance, money-weighted (MWR) | 0.17% |



Asset overview

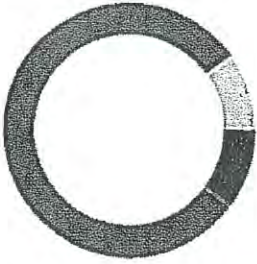
Total assets

Statement of assets as of March 31, 2015
 created on April 01, 2015

Total assets by investment category and currency, valued in USD

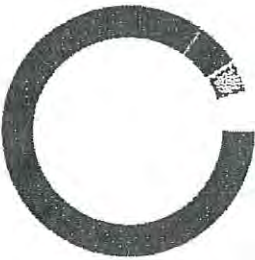
| | USD | CHF | EUR | AUD | NOK | Total | |
|---------------------------|----------------|---------------|---------------|---------------|---------------|------------------|---------------|
| Short-term investments | 102 113 | 1 196 | 125 | 28 036 | 23 270 | 103 434 | 10.3% |
| Bonds | 752 874 | | | | | 804 180 | 79.9% |
| Equities | | 55 804 | 42 688 | | | 98 492 | 9.8% |
| Alternative investments | | | | | | | |
| Miscellaneous | | | | | | | |
| Total in USD | 854 987 | 56 999 | 42 813 | 28 036 | 23 270 | 1 006 105 | 100.0% |
| Total in foreign currency | 854 987 | 55 364 | 39 863 | 36 699 | 187 536 | | |
| Exchange rate | | 0.9713 | 1.0740 | 0.7639 | 8.0591 | | |

Allocation by investment category



- Short-term investments
- Bonds
- Equities
- Alternative investments
- Miscellaneous

Allocation by currency



- USD
- CHF
- EUR
- AUD
- NOK



Asset overview Bonds and bond-like investments

Statement of assets as of March 31, 2015
Created on April 01, 2015

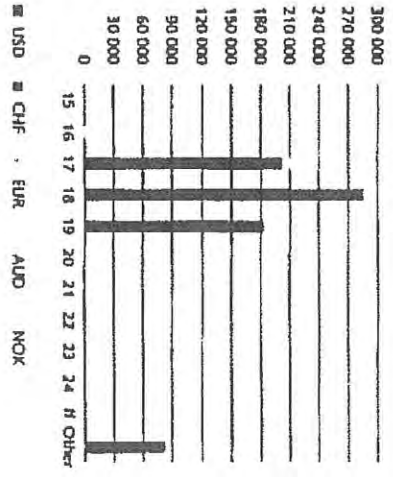
Bonds and bond-like investments by maturity and currency, valued in USD

| | USD | CHF | EUR | AUD | NOK | Total |
|----------------|--------------|-----|-----|-------------|-------------|---------------|
| 2015 | | | | | | |
| 2016 | | | | 3.5% | | 3.5% |
| 2017 | 25.2% | | | | 2.9% | 28.1% |
| 2018 | 35.5% | | | | | 35.5% |
| 2019 | 22.7% | | | | | 22.7% |
| 2020 | | | | | | |
| 2021 | | | | | | |
| 2022 | | | | | | |
| 2023 | | | | | | |
| 2024 | | | | | | |
| 2025 and later | | | | | | |
| Other | 10.2% | | | | | 10.2% |
| Total | 93.6% | | | 3.5% | 2.9% | 100.0% |
| USD | 752 874 | | | 28 036 | 23 270 | 804 180 |

Allocation by currency



Allocation by maturity



Asset overview Equities and equity-like investments

Statement of assets as of March 31, 2015
created on April 01, 2015

Equities and equity-like investments by industry sector and country group, valued in USD

| | Switzerland | Eurozone | Rest of Europe | North America | Asia Pacific | Other | Total |
|--------------------------|--------------|--------------|----------------|---------------|--------------|-------|---------------|
| Energy | | | | | | | |
| Commodities and supplies | | | | | | | |
| Industry | | | | | | | |
| Non-basic consumer goods | | 23.0% | | | | | 23.0% |
| Basic consumer goods | 19.2% | 20.4% | | | | | 39.6% |
| Healthcare | 37.5% | | | | | | 37.5% |
| Finance | | | | | | | |
| IT | | | | | | | |
| Telecom services | | | | | | | |
| Utilities | | | | | | | |
| Other | | | | | | | |
| Total | 56.7% | 43.3% | | | | | 100.0% |
| USD | 55 804 | 42 698 | | | | | 98 492 |

Allocation by country group



- Switzerland
- Eurozone
- Rest of Europe
- North America
- Asia Pacific
- Other

Allocation by industry sectors

- Energy
- Commodities and supplies
- Industry
- Non-basic consumer goods
- Basic consumer
- Healthcare
- Finance
- IT
- Telecom services
- Utilities
- Other

Detailed positions Short-term investments

Statement of assets as of March 31, 2015
created on April 01, 2015

| Curr. | Quantity | Description | Maturity | Risk curr. | Cost price | Valuation | Market price | Market value USD | % of net |
|-------------------------------------|------------|--|---------------|------------|---------------|---------------|---------------|----------------------------|---------------|
| | | | Yield to mat. | | Exchange rate | Exchange rate | Exchange rate | Accrued interest in USD | assets |
| Account investments | | | | | | | | | |
| USD | 102 113.15 | Current account USD (USD) IBAN CH80 0875 7000 0124 1191 8 | | USD | | | | 102 113 | 10.15% |
| CHF | 1 161.31 | Current account Swiss Franc (CHF) IBAN CH53 0875 7000 0124 1191 9 | | CHF | | | 0.9713 | 1 196 | 0.12% |
| EUR | 116.28 | Current account EUR (EUR) IBAN CH10 0875 7000 0124 1191 7 | | EUR | | | 1.0740 | 125 | 0.01% |
| Short-term investments | | | | | | | | | |
| Accrued interest | | | | | | | | | |
| | | | | | | | | 103 434 | 10.28% |
| | | | | | | | | 0 | |
| Total short-term investments | | | | | | | | 103 434 | 10.28% |



Detailed positions Bonds and bond-like investments

Statement of assets as of March 31, 2015
created on April 01, 2015

| Curr. | Quantity | Description | Rating | Security no. | ISIN | Maturity | Yield to mat. | Risk curr. | Cost price | Exchange rate | Market price | Exchange rate | Change price | Change total | Market value USD | Accrued interest | USD | % of net | |
|------------------|----------|----------------------------------|--------|--------------|---------------|------------|---------------|------------|------------|---------------|--------------|---------------|--------------|--------------|------------------|------------------|--------|----------|--|
| Bonds USD | | | | | | | | | | | | | | | | | | | |
| USD | 40 000 | 1.7% AT&T Senior | Baa1 | 18817841 | USG0206RBF82 | 01.06.2017 | 1.54% | USD | 101.54% | 101.54% | 100.35% | 101.54% | - 1.17% | - 0.80% | 40 138 | 227 | 40 365 | 3.99% | |
| USD | 40 000 | 2.125% BAV Intl Fin Reg-S Senior | A- | 18750558 | USG088208U89 | 07.06.2017 | 1.33% | USD | 102.55% | 102.55% | 101.73% | 102.55% | - 0.80% | - 0.80% | 40 690 | 267 | 40 957 | 4.04% | |
| USD | 40 000 | 1.4% NTT Senior | Aa3 | 19034326 | USG54624AE53 | 18.07.2017 | 1.36% | USD | 101.09% | 101.09% | 100.10% | 101.09% | - 0.98% | - 0.98% | 40 038 | 112 | 40 150 | 3.98% | |
| USD | 40 000 | 1.625% GDF Suez Reg-S Senior | A | 19736978 | USF42768GN96 | 10.10.2017 | 1.31% | USD | 101.64% | 101.64% | 100.80% | 101.64% | - 0.83% | - 0.83% | 40 318 | 307 | 40 625 | 4.01% | |
| USD | 40 000 | 1.375% BP Capital Markets Senior | A | 19958333 | US055650C06 | 06.11.2017 | 1.35% | USD | 100.83% | 100.83% | 100.07% | 100.83% | - 0.75% | - 0.75% | 40 026 | 220 | 40 246 | 3.98% | |
| USD | 40 000 | 1.25% Anl-Bsch Inv Fr Senior | A | 20465576 | US035242AC00 | 17.01.2018 | 1.23% | USD | 99.53% | 99.53% | 100.06% | 99.53% | 0.53% | 0.53% | 40 024 | 103 | 40 127 | 3.98% | |
| USD | 40 000 | 1.2% Prva Ontario Senior | Aa2 | 20680891 | US683234C556 | 14.02.2018 | 1.14% | USD | 100.83% | 100.83% | 100.19% | 100.83% | - 0.63% | - 0.63% | 40 076 | 61 | 40 137 | 3.98% | |
| USD | 40 000 | 1.75% Daimler Intl Reg-S Senior | A- | 21087360 | XSD0914293575 | 10.04.2018 | 1.38% | USD | 101.04% | 101.04% | 101.10% | 101.04% | 0.06% | 0.06% | 40 438 | 681 | 40 506 | 4.02% | |



Detailed positions Bonds and bond-like investments

Statement of assets as of March 31, 2015
created on April 01, 2015

| Curr. | Quantity | Description | Rating | Security no. | ISIN | Maturity | Yield to mat. | Risk curr. | Cost price | Exchange rate | Market price | Exchange rate | Change price | Change total | Market value USD | Accrued interest | % of net assets | |
|--------------------------|----------|---|--------|--------------|--------------|------------|---------------|------------|------------|---------------|--------------|---------------|--------------|--------------|------------------|------------------|-----------------|--|
| Bonds USD (Continuation) | | | | | | | | | | | | | | | | | | |
| USD | 40 000 | 2.2% RBC Senior | AA- | 21982498 | US7800857027 | 27.07.2018 | 1.62% | USD | 103.04% | | 101.90% | | -1.11% | | 40 760 | 154 | 4.05% | |
| | | | AA3 | | | | | | | | | | | | | | | |
| USD | 40 000 | 2% Prox Orienteo Senior | AA- | 22424013 | US683235AA32 | 27.09.2018 | 1.32% | USD | 103.08% | | 102.34% | | -0.72% | | 40 934 | 7 | 4.07% | |
| | | | Aa2 | | | | | | | | | | | | | | | |
| USD | 40 000 | 1.875% Asian Develop Bank Senior | AAA | 14106568 | US045167CB74 | 23.10.2018 | 1.18% | USD | 103.68% | | 102.42% | | -1.22% | | 40 966 | 327 | 4.07% | |
| | | | Aa2 | | | | | | | | | | | | | | | |
| USD | 40 000 | 2% Shell Int Fin Senior | AA | 22872924 | US822582BA91 | 15.11.2018 | 1.50% | USD | 102.55% | | 101.78% | | -0.75% | | 40 712 | 300 | 4.05% | |
| | | | Aa1 | | | | | | | | | | | | | | | |
| USD | 40 000 | 1.95% IBM Senior | AA- | 23675605 | US459200HT14 | 12.02.2019 | 1.59% | USD | 102.95% | | 101.36% | | -1.54% | | 40 544 | 106 | 4.03% | |
| | | | Aa3 | | | | | | | | | | | | | | | |
| USD | 40 000 | 1.75% Colgate-Palmolive Senior | AA- | 23834077 | US19416DEF37 | 15.03.2019 | 1.52% | USD | 102.25% | | 100.92% | | -1.30% | | 40 366 | 31 | 4.01% | |
| | | | Aa3 | | | | | | | | | | | | | | | |
| USD | 100 000 | 1.75% United States Treasury Notes Senior | — | 25461179 | US912828F395 | 30.09.2019 | 1.33% | USD | 102.73% | | 101.87% | | -0.84% | | 101 865 | | 10.12% | |
| | | | Aaa | | | | | | | | | | | | | | | |
| USD | 360 | ETF iShares National AMT-Free Muni Bond | | 3398490 | US4642884146 | | | USD | 111.32 | | 110.60 | | -0.65% | | 39 816 | | 3.95% | |



Detailed positions Bonds and bond-like investments

Statement of assets as of March 31, 2015
created on April 01, 2015

065

| Curr. | Quantity | Description Rating | Security no. ISIN | Maturity Yield to mat. | Risk curr. | Cost price Exchange rate | Market price Exchange rate | Change price Change total | Market value USD Accrued interest in USD | % of net assets |
|---------------------------------|----------|---|-------------------------|---------------------------|------------|-----------------------------|-------------------------------|------------------------------|--|--------------------|
| Bonds USD (Continuation) | | | | | | | | | | |
| | 850 | ETF Market Vectors Intermediate Municipal Index Market Vectors - Intermediate Municipal Index | 3605062 USS706018457 | | USD | USD | 23.82 23.81 | - 0.04% | 20 238 | 2.01% |
| | 1 400 | Nuveen Texas Quality Income Municipal Fund | 955965 US6709831050 | | USD | USD | 15.12 15.73 | 4.03% | 22 022 | 2.19% |
| Total bonds USD | | | | | | | | | | |
| | | | | | | | | | 752 874 | 74.83% |
| Bonds AUD | | | | | | | | | | |
| | 35 000 | 4.25% GECC Reg-S Senior AA+ A1 | 19549496 XS083173063 | 21.09.2016 2.41% | AUD | | 103.21% 0.8831 | - 0.56% - 13.98% | 27 441 595 | 2.73% |
| Total bonds AUD | | | | | | | | | | |
| | | | | | | | | | 28 036 | 2.79% |



1 34 771 377 (less)

Performance

Asset overview

Detailed positions

Appendix

Page 9 of 14

Detailed positions

Bonds and bond-like investments

Statement of assets as of March 31, 2015
 created on April 01, 2015

| Cur. | Quantity | Description | Security no. | Maturity | Risk curr. | Cost price | Market price | Change price | Market value USD | % of net | |
|------------------------|----------|------------------------|--------------|---------------|------------|---------------|---------------|--------------|------------------|---------------|--------------|
| | | Rating | ISIN | Yield to mat. | | Exchange rate | Exchange rate | Change total | Accrued Interest | assets | |
| | | | | | | | | | in USD | | |
| NOK | 180 000 | 3.25% BNG Reg-S Senior | 14858110 | 02.02.2017 | NOK | 104.26% | 103.68% | - 0.56% | 23 157 | 2.30% | |
| | | AA+ | X50739987701 | 1.22% | | 6.4783 | 8.0591 | - 20.06% | 113 | | |
| Total bonds NOK | | | | | | | | | | 23 270 | 2.31% |

| | | | | | | | | | | | |
|--|--|--|--|--|--|--|--|--|--|----------------|---------------|
| Bonds and bond-like investments | | | | | | | | | | 800 570 | 79.57% |
| Accrued interest | | | | | | | | | | 3 610 | |
| Total bonds and bond-like investments | | | | | | | | | | 804 180 | 79.93% |



Detailed positions Equities and equity-like investments

Statement of assets as of March 31, 2015
created on April 01, 2015

| Quantity | Description | Security no. | Sector | Risk curr. | Cost price Exchange rate | Market price Exchange rate | Change price Change total | Market value USD Accrued Interest in USD | % of net assets | |
|---------------------------|---|-------------------------|----------------------|------------|-----------------------------|-------------------------------|------------------------------|--|--------------------|--------------|
| Equities CHF | | | | | | | | | | |
| 250 | Nestle SA Registered | 3886335 CH0038863350 | Basic consumer goods | CHF | CHF 72.29 0.9478 | 73.40 0.9713 | 1.54% - 0.92% | 18 892 | 1.88% | |
| 200 | Novartis Inc Registered | 1200526 CH0012005267 | Healthcare | CHF | CHF 90.27 0.9579 | 96.15 0.9713 | 6.51% 5.04% | 19 798 | 1.97% | |
| 62 | Roche Holding Ltd Dividend right certifi- cate | 1203204 CH0012032048 | Healthcare | CHF | CHF 288.17 0.9575 | 268.10 0.9713 | - 6.96% - 8.29% | 17 113 | 1.70% | |
| Total equities CHF | | | | | | | | | 55 804 | 5.55% |

| | | | | | | | | | |
|---------------------|-------------|-------------------------|----------------------|-----|------------------------|-----------------|-----------------|--------|-------|
| Equities EUR | | | | | | | | | |
| 480 | Unilever NV | 2560588 NL0000009355 | Basic consumer goods | EUR | EUR 31.64 1.2569 | 38.92 1.0740 | 23.01% 5.11% | 20 062 | 1.99% |

Detailed positions Equities and equity-like investments

Statement of assets as of March 31, 2015
created on April 01, 2015

| Quantity | Description | Security no. ISIN | Sector | Risk curr. | Cost price Exchange rate | Market price Exchange rate | Change price Change total | Market value USD Accrued interest in USD | % of net assets |
|---------------------------|-------------------------|------------------------|-----------------------------|------------|-----------------------------|-------------------------------|------------------------------|--|----------------------------|
| 85 | Volkswagen AG Preferred | 352781 DE0007664039 | Non-basic consumer goods | EUR | EUR 1.2670 | 247,85 1.0740 | 41,16% 19,66% | 22 626 0 | 2,25% |
| Total equities EUR | | | | | | | | | 42 688 4,24% |

| | | | | | | | | | | |
|---|--|--|--|--|--|--|--|--|--------|-------|
| Equities and equity-like investments | | | | | | | | | | |
| Accrued interest | | | | | | | | | 98 492 | 9,79% |
| Total equities and equity-like investments | | | | | | | | | 98 492 | 9,79% |

| | | | | | | | | | | |
|--|--|--|--|--|--|--|--|--|-----------|---------|
| Total assets (excluding accrued interest) | | | | | | | | | | |
| Accrued interest | | | | | | | | | 3 610 | |
| Total assets | | | | | | | | | 1 006 105 | 100,00% |

Important information

Statement of assets as of March 31, 2015
created on April 01, 2015

Performance analysis

Current earnings Earnings from interest and dividends less any withholding tax paid (credited net earnings), balance of accrued interest on purchases and sales and changes in accrued interest

Changes in value Realised and unrealised gains arising from changes in value. Unrealised gains consist of changes in value affecting existing positions at the time of valuation.

Total return Total return equals the sum of current earnings plus changes in value.

Fading value of assets The assets shown in the performance overview can differ from the assets shown in the asset overview due to differences in the evaluation method (reference date).

Performance Performance measures the total income of the portfolio relative to the portfolio value. Inflows and outflows (withdrawals and deposits) are considered using the money and time-weighted calculation method. Performance relates to the reporting period.

Net performance Performance after deduction of all transaction and administrative costs and net of non-reclaimable withholding taxes.

Time-weighted The reporting period is divided into sub-periods. Performance is calculated for each sub-period and then combined for the entire reporting period. This neutralises inflows and outflows (withdrawals and deposits) meaning they do not have any impact on performance.

Money-weighted Performance is calculated by dividing the sum of current earnings and changes in value by the average invested capital. Inflows and outflows (withdrawals and deposits) impact the amount of invested capital and thus performance.

Asset overview and detailed positions

Short-term investments Account assets and liabilities, accrued interest of short-term investments, foreign exchange transactions, money market investments, money market investment funds and structured products with money market characteristics

Bonds and bond-like investments Bonds and similar investments, accrued interest of bonds and bond-like investments, bond investment funds and structured products with bond characteristics

Equities and equity-like investments Equities and equity-like investments, equity investment funds and structured products with equity characteristics

Alternative investments Units in hedge funds, private equity investments, real estate investments and commodities





Important information

1593995.001

Statement of assets as of March 31, 2015
created on April 01, 2015

070

Miscellaneous

Precious metals and others

Vontobel bases the assessment of securities held in custody accounts on representative sources of information commonly used by banks. Derivative financial products issued by Vontobel are assessed using the latest bid price available at the point of valuation from internal Vontobel sources. The valuations serve as a guidance only and are not binding to the bank. The bank accepts no liability for the correctness of the valuation or for other information or calculations in connection with the assets entered into the account.

If no valuation is indicated, this means that no current price could be determined or that the instrument carries no value. The prices displayed do not commit the bank to buy or sell at this price or rate.

We kindly ask you to check this statement of assets and, in the event of discrepancies, to object in writing within four weeks from the mailing date.



1.34.177.377 (copy)
912901 1639 215782

| Performance

| Asset overview

| Detailed positions

| Appendix

| Page 14 of 14



Bank Vontobel AG
 Gotthardstrasse 43, CH-8022 Zürich
 Telephone +41 58 283 71 11
 www.vontobel.com
 Clearing: 8757, BIC: VONTCHZZXXX, CHE-116.300.767 VAT

Client advisor
 EAM Desk
 Telephone +41 58 283 51 10

Current account Swiss Franc (CHF)
 Name Asiitrust Limited as trustee of The Joel A. Moser Family Trust

Mandate [REDACTED]
 Account number [REDACTED]
 IBAN [REDACTED]

Account statement

01.01.2015 until 31.03.2015 March 31, 2015

| Overview | Debit | Credit | Account balance |
|-----------------|-------|----------|-----------------|
| Opening balance | | | 0.00 |
| Total credits | | 1 161.31 | |
| Total debits | | | |
| Closing balance | | | 1 161.31 |

| Date | Information | Debit | Credit | Value date | Account balance |
|------------|---|-------|----------|------------|-----------------|
| 31.12.2014 | Opening balance | | | | 0.00 |
| 05.03.2015 | Cash distribution Novartis H Order number 260354648 | | 338.00 | 05.03.2015 | 338.00 |
| 09.03.2015 | REIMBURSEMENT OF TRADING FEES Order number 260698841 | | 500.91 | 13.03.2015 | 838.91 |
| 10.03.2015 | Cash distribution Roche Hldg GS Order number 260795428 | | 322.40 | 10.03.2015 | 1 161.31 |
| | Total turnover | | 1 161.31 | | |
| 31.03.2015 | Closing balance | | | | 1 161.31 |

We kindly ask you to check this account statement and, in the event of discrepancies, to send any objections in writing within four weeks of the mailing date.





Bank Vontobel AG
 Gotthardstrasse 43, CH-8022 Zürich
 Telephone +41 58 283 71 11
 www.vontobel.com
 Clearing: 8757, BIC: VONTCH2ZXXX, CHE-116.300.767 VAT

Client advisor
 EAM Desk
 Telephone +41 58 283 51 10

Current account EUR (EUR)
 Name Asiitrust Limited as trustee of The Joel A. Moser Family Trust

Mandate [REDACTED]
 Account number [REDACTED]
 IBAN [REDACTED]

Account statement

01.01.2015 until 31.03.2015

March 31, 2015

| Overview | Debit | Credit | Account balance |
|-----------------|-------|--------|-----------------|
| Opening balance | | | 0.00 |
| Total credits | | 116.28 | |
| Total debits | | | |
| Closing balance | | | 116.28 |

| Date | Information | Debit | Credit | Value date | Account balance |
|------------|---|-------|--------|------------|-----------------|
| 31.12.2014 | Opening balance | | | | 0.00 |
| 11.03.2015 | Cash distribution Unilever Drp 15 Order number 261013958 | | 116.28 | 11.03.2015 | 116.28 |
| | Total turnover | | 116.28 | | |
| 31.03.2015 | Closing balance | | | | 116.28 |

We kindly ask you to check this account statement and, in the event of discrepancies, to send any objections in writing within four weeks of the mailing date.





Bank Vontobel AG
 Gotthardstrasse 43, CH-8022 Zürich
 Telephone +41 58 283 71 11
 www.vontobel.com
 Clearing: 8757, BIC: VONTCH22XXX, CHE-116.300.767 VAT

Client advisor
 EAM Desk
 Telephone +41 58 283 51 10

Current account USD (USD)
 Name Asiatrust Limited as trustee of The Joel A. Moser Family Trust

Mandate [REDACTED]
 Account number [REDACTED]
 IBAN CH80 0875 7000 0124 1191 8

Account statement

01.01.2015 until 31.03.2015

March 31, 2015

| Overview | Debit | Credit | Account balance |
|-----------------|------------|----------|-----------------|
| Opening balance | | | 697 621.87 |
| Total credits | | 3 382.76 | |
| Total debits | 598 891.48 | | |
| Closing balance | | | 102 113.15 |

| Date | Information | Debit | Credit | Value date | Account balance |
|------------|---|------------|--------|------------|-----------------|
| 31.12.2014 | Opening balance | | | | 697 621.87 |
| 05.01.2015 | Cash distribution MktVtr Intern Munic Order number 253774332 | | 40.97 | 05.01.2015 | 697 662.84 |
| 09.01.2015 | Management Fee Third Party (Swiss American Advisors AG) Order number 254323977 | 2 517.25 | | 09.01.2015 | 695 145.59 |
| 20.01.2015 | Interest 1.25 Anh18 SrN Order number 255303559 | | 250.00 | 20.01.2015 | 695 395.59 |
| 20.01.2015 | Tax services Order number 255476209 | 86.95 | | 29.01.2015 | 695 308.64 |
| 02.02.2015 | Cash distribution Nuv FX Qty In Mun Order number 255833083 | | 76.30 | 02.02.2015 | 695 384.94 |
| 02.02.2015 | Interest 3.25 BNG 17 Reg-S, NOK 5'850.00 Order number 257014424 | | 748.29 | 02.02.2015 | 696 133.23 |
| 04.02.2015 | Purchase 100000 1.75% United States Treasury Notes 30.09.2019 Senior Order number 257372682 | 103 344.48 | | 05.02.2015 | 592 788.75 |
| 04.02.2015 | Purchase 40000 1.7% AT&T 01.06.2017 Senior Order number 257391827 | 40 744.88 | | 09.02.2015 | 552 043.87 |
| 04.02.2015 | Purchase 40000 1.625% GDF Suez 10.10.2017 Reg-S Senior Order number 257392011 | 40 871.66 | | 09.02.2015 | 511 172.21 |
| 04.02.2015 | Purchase 40000 1.375% BP Capital Markets 06.11.2017 Senior Order number 257392106 | 40 474.52 | | 09.02.2015 | 470 697.69 |
| 04.02.2015 | Purchase 40000 1.2% Prov Ontario 14.02.2018 Senior Order number 257392185 | 40 565.77 | | 09.02.2015 | 430 131.92 |
| 04.02.2015 | Purchase 40000 2.2% RBC 27.07.2018 Senior Order number 257392294 | 41 243.98 | | 09.02.2015 | 388 887.94 |





Current account USD (USD)

Name Asiatrust Limited as trustee of The Joel A. Moser Family Trust

Mandate [Redacted]
Account number [Redacted]

01.01.2015 until 31.03.2015 (Continuation)

March 31, 2015

Table with columns: Date, Information, Debit, Credit, Value date, Account balance. Rows include various purchase and distribution transactions from 04.02.2015 to 31.03.2015, ending with a closing balance of 102,113.15.

We kindly ask you to check this account statement and, in the event of discrepancies, to send any objections in writing within four weeks of the mailing date.



RECEIVED

JAN 02 2018

Chief Disciplinary Counsel
State Bar of Texas

OFFICE OF THE CHIEF DISCIPLINARY COUNSEL
STATE BAR OF TEXAS
GRIEVANCE FORM

ONLINE FILING AVAILABLE AT www.tsbac.com

I. GENERAL INFORMATION

Before you fill out this paperwork, there may be a faster way to resolve the issue you are currently having with an attorney.

If you are considering filing a grievance against a Texas attorney for any of the following reasons:

- ~ You are concerned about the progress of your case.
- ~ Communication with your attorney is difficult.
- ~ Your case is over or you have fired your attorney and you need documents from your file or your former attorney.

You may want to consider contacting the Client-Attorney Assistance Program (CAAP) at 1-800-932-1900.

CAAP was established by the State Bar of Texas to help people resolve these kinds of issues with attorneys quickly, without the filing of a formal grievance.

CAAP can resolve many problems without a grievance being filed by providing information, by suggesting various self-help options for dealing with the situation, or by contacting the attorney either by telephone or letter.

I have _____ I have not contacted the Client-Attorney Assistance Program.

If you prefer, you have the option to file your grievance online at <http://edc.texasbar.com>.

In order for us to comply with our deadlines, additional information/documentation that you would like to include as part of your grievance submission must be received in this office by mail or fax within (10) days after submission of your grievance. This information will be added to your pending grievance. Information received after that timeframe will be returned and not considered. Thank you for your cooperation in this matter.

NOTE: Please be sure to fill out each section completely. Do not leave any section blank. If you do not know the answer to any question, write "I don't know."

Petitioner's
Exhibit

6

II. INFORMATION ABOUT YOU – PLEASE KEEP CURRENT

1. TDCJ/SID # _____ Mr. Name: Joel A. Moser
Immigration # _____ Ms.

Address: 1506 Oak Knoll

City: Dallas State: Tx Zip Code: 75208

2. Employer: retired

Employer's Address: _____

3. Telephone numbers: Residence: 214-946-1311 Work: N/A
Cell: 214-274-5421

4. Email: N/A

5. Drivers License # 01572950 Date of Birth 2/24/44

6. Name, address, and telephone number of person who can always reach you.

Name SUSAN Nearpass Address 1639 Cedar Hill
Dallas 75208 Telephone 214 868 3286

7. Do you understand and write in the English language? yes

If no, what is your primary language? N/A

Who helped you prepare this form? N/A

Will they be available to translate future correspondence during this process? N/A

8. Are you a Judge? NO

If yes, please provide Court, County, City, State: _____

III. INFORMATION ABOUT ATTORNEY

Note: Grievances are not accepted against law firms. You must specifically name the attorney against whom you are complaining. A separate grievance form must be completed for each attorney against whom you are complaining.

1. Attorney name: Mario A Mata Address: One Congress Plaza
H1. Congress Ave Suite 400
Austin Tx 78701

City: Austin State: TX Zip Code: 78701

2. Telephone number: Work 214-800-2363 Home _____ Other _____

3. Have you or a member of your family filed a grievance about this attorney previously?
Yes ___ No If "yes", please state its approximate date and outcome. _____

Have you or a member of your family ever filed an appeal with the Board of Disciplinary Appeals about this attorney?

Yes ___ No If "yes," please state its approximate date and outcome.

4. Please check one of the following:

- This attorney was **hired** to represent me.
- This attorney was **appointed** to represent me.
- This attorney was hired to represent **someone else**.

Please give the date the attorney was hired or appointed. 2005?

Please state what the attorney was hired or appointed to do. _____

set up foreign trust and transfer assets to the trust

5. What was your fee arrangement with the attorney? set fee

How much did you pay the attorney? a five figure sum - approximate 15-25k

**If you signed a contract and have a copy, please attach.
If you have copies of checks and/or receipts, please attach.
Do not send originals.**

6. If you did not hire the attorney, what is your connection with the attorney? Explain briefly
N/A

7. Are you currently represented by an attorney? yes
 If yes, please provide information about your current attorney: John C. Danish 1117 Capitol Court Irving 75060
8. Do you claim the attorney has an impairment, such as depression or a substance use disorder? If yes, please provide specifics (your **personal** observations of the attorney such as slurred speech, odor of alcohol, ingestion of alcohol or drugs in your presence etc., including the date you observed this, the time of day, and location).
NO
9. Did the attorney ever make any statements or admissions to you or in your presence that would indicate that the attorney may be experiencing an impairment, such as depression or a substance use disorder? If so, please provide details.
NO

IV. INFORMATION ABOUT YOUR GRIEVANCE

1. Where did the activity you are complaining about occur?
 County: Dallas City: Dallas
2. If your grievance is about a lawsuit, answer the following, if known:
- a. Name of court _____
 - b. Title of the suit _____
 - c. Case number and date suit was filed _____
 - d. If you are not a party to this suit, what is your connection with it? Explain briefly.

If you have copies of court documents, please attach.

3. Explain in detail why you think this attorney has done something improper or has failed to do something which should have been done. Attach additional sheets of paper if necessary.

Supporting documents, such as copies of a retainer agreement, proof of payment, correspondence between you and your attorney, the case name and number if a specific case is involved, and copies of papers filed in connection with the case, may be useful to our investigation. Do not send originals, as they will not be returned. Additionally, please do not use staples, post-it notes, or binding.

Include the names, addresses, and telephone number of all persons who know something about your grievance.

Also, please be advised that a copy of your grievance will be forwarded to the attorney named in your grievance.

Oct 13 2013

I deposited 270k from the Moser Family Trust into M. Mato's for IOLT Trust Account for the purpose of wiring the money to the foreign trust, the Joel A. Moser Family Trust.

from Bank Vontobel →

When the end of year statement came in Jan '14 it was discovered that no transfer had taken place. To date the location and fate of the 270k is unknown. Enclosed is a letter from my attorney which should explain all facts.

V. HOW DID YOU LEARN ABOUT THE STATE BAR OF TEXAS' ATTORNEY GRIEVANCE PROCESS?

| | | | |
|--------------------------|--------------|-------------------------------------|----------|
| <input type="checkbox"/> | Yellow Pages | <input type="checkbox"/> | CAAP |
| <input type="checkbox"/> | Internet | <input checked="" type="checkbox"/> | Attorney |
| <input type="checkbox"/> | Other | <input type="checkbox"/> | Website |

VI. ATTORNEY-CLIENT PRIVILEGE WAIVER

I hereby expressly waive any attorney-client privilege as to the attorney, the subject of this grievance, and authorize such attorney to reveal any information in the professional relationship to the Office of Chief Disciplinary Counsel of the State Bar of Texas.

I understand that the Office of Chief Disciplinary Counsel maintains as confidential the processing of Grievances.

I hereby swear and affirm that I am the person named in Section II, Question 1 of this form (the Complainant) and that the information provided in this complaint is true and correct to the best of my knowledge.

Signature: JA May Date: 12/30/17

TO ENSURE PROMPT ATTENTION, THE GRIEVANCE SHOULD BE MAILED TO:

**THE OFFICE OF CHIEF DISCIPLINARY COUNSEL
P.O. Box 13287
Austin, TX 78711
Fax: (512) 427-4169**

JOHN C. DANISH
Attorney and Counselor at Law
1117 Capitol Court
Irving, Texas 75060-6200

Telephone: (972) 554-0500
Toll Free: (888) 866-6501

Facsimile: (972) 579-1343
E-Mail: jcdanish@juno.com

February 9, 2016

VIA CERTIFIED MAIL RETURN RECEIPT REQUESTED # 7015 0640 0004 7805 7554
REGULAR MAIL, FACSIMILE (214) 276-7305, AND
ELECTRONIC MAIL <mario.mata@privatewealthlaw.com>

Attorney Mario A. Mata
500 E. 4th St., Ste. 353
Austin, Texas 78701

Re: Dr. Joel Anthony Moser; Your File No. M94475

Dear Attorney Mata:

As you know, I represent Dr. Joel Anthony Moser regarding the above matter. Please find this letter as a follow-up to my letter to you dated October 13, 2015, and my telephone conversation with you on October 23, 2015.

As stated within my October 13, 2015, letter to you, in 2005 you assisted Dr. Moser in the formation and implementation of **The Joel A. Moser Family Trust**, an international trust which was registered with the Cook Islands Registrar on October 24, 2005.

As discussed within my October 13, 2015, letter to you, you communicated with my client on or about August 8, 2013, concerning your willingness to facilitate Dr. Moser regarding his desire to make an additional \$270,000.00 contribution into The Joel A. Moser Family Trust.

During this August 8, 2013, communication, you suggested that my client deposit said \$270,000.00 into your IOLTA Trust Account, and in return, you would assist in the disbursement of the \$270,000.00 into my client's The Joel A. Moser Family Trust.

Per your suggestion, my client deposited \$270,000.00 into your CitiBank IOLTA Trust Account, Account Number 9786201289, the following day, August 9, 2013.

A copy of the August 9, 2013, Deposit Slip and Transaction Receipt is attached hereto as Exhibit "A" and incorporated by reference herein.

Further, a copy of the \$270,000.00 Longview Bank and Trust Check, Check No. 1132, which was deposited into your CitiBank IOLTA Trust Account on August 12, 2015, is attached hereto as Exhibit "B" and incorporated by reference herein.

Letter to Attorney Mario A. Mata
February 9, 2016
Page 2 of 2

The enclosed Exhibits "A" and "B" show that the \$270,000.00 was deposited into your CitiBank IOLTA Trust Account. As you know, however, unfortunately, the \$270,000.00 was never disbursed into my client's trust account. My client, as well as his accountants, has been attempting to reach out to you since January 2014 to resolve this matter

As you also know, during my telephone conversation with you on October 23, 2015, you assured both me and my client that you would have the matter resolved within the last quarter of 2015, and you would have the \$270,000.00 returned to my client's Texas Bank and Trust account named **The Moser Family Trust**, Account No. 1456407 by the 2015 year end.

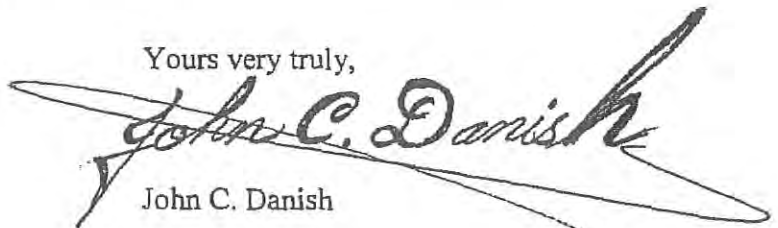
My client has been waiting patiently for the return on the \$270,000.00 back to his above account. However, as you know, you have yet to return his money.

Please remit the \$270,000.00, within 10 days following your receipt of this letter, in its entirety, by returning it into Dr. Moser's Texas Bank and Trust account named **The Moser Family Trust**, Account No. 1456407. The Mailing Address for Texas Bank and Trust is PO Box 3188, Longview, Texas 75606, and the telephone number for Texas Bank and Trust is (903) 237-5500.

I have advised my client of his right to confer with the District Attorney's Office of Dallas County, Texas, as well as the Texas State Bar concerning the loss his \$270,000.00 which, as shown via the enclosed Exhibits "A" and "B", he deposited into your CitiBank IOLTA Trust Account.

If you have any questions or concerns, please feel free to contact my office via my above referenced contact information. Thank you.

Yours very truly,



John C. Danish

Encl: (1) EXHIBIT A
(2) EXHIBIT B

cc: Dr. Joel A. Moser
1506 Oak Knoll Street
Dallas, Texas 75208
[with Enclosures]

Date 9/4/2013

Page 3

Account

1456407

THE MOSES FAMILY TRUST
1200 G.W. AVENUE,
DALLAS, TX 75204

1132
DATE 8/12/13

Pay to the order of James Andrew McEwen Trust \$ 270,000.00
Trust McEwen and Wife with power of att

LONGVIEW BANK & TRUST
1000 W. WILSON ST.
DALLAS, TX 75203

1132
121272323011 1132 66 5640 70

Ck# 1132 Date 8/12/2013 Amt \$270,000.00

Transaction Receipt / Funds Availability Notice

CKG: DEPOSIT 08/09/13 17:03 FC#00148 FA# 002
065-01 Acct# xxxxxxxx289 \$270.000.00 ONL

Funds from your deposit/check cashing transaction will be delayed. Reasons and funds availability schedule follow.

\$250.00 Available Today
\$99.800 08/10/13 Standard delay
\$169.950 08/13/13 Total check(s) deposited/cashed on this day exceed \$100.000.

Thank you for banking with Citibank.



Date: 8/9/13 Check your type of account below

| | | |
|-------------|--|---|
| Deposit to: | <input checked="" type="checkbox"/> Checking | <input type="checkbox"/> Money Market Account |
| Deposit to: | <input type="checkbox"/> Savings | <input type="checkbox"/> Certificate of Deposit |
| | <input type="checkbox"/> Passbook Savings | <input type="checkbox"/> Market Rate Account |

Checks and other items are received for deposit subject to the applicable account rules and regulations. Deposits may not be available for immediate withdrawal.

Account Name MARIO MATA LLP/OLT Trust A.

Address 244 Cedar Spring Rd

THANK YOU

Account Number * 9786201289

Cash

| |
|---------------------|
| 1 |
| 2 |
| 3 |
| 4 |
| 5 |
| Subtotal |
| *Less Cash Received |
| TOTAL \$ |

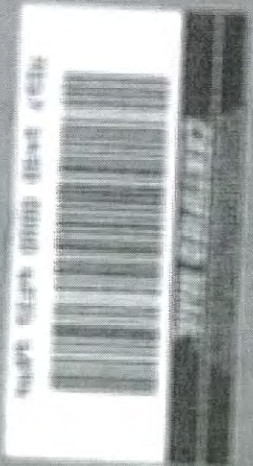
DOLLARS CENTS

270000.XX

CUSTOMER COPY

JA Moore
10000 Kent
Dallas, TX 75201

Office of CDC
P.O. Box 13287
Austin, TX
78711



PSN
\$7.50

10/10/01 10:00 AM

RECEIVED
OCT 10 2001



AFFIDAVIT

STATE OF TEXAS)

)SS:

COUNTY OF BEXAR)

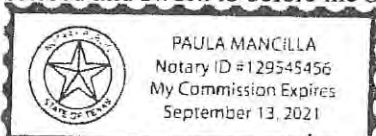
I Hayde Benavides do hereby state and declare as follows:

1. I am an employee of and duly authorized custodian of the records of Citibank, N.A. (hereinafter "CBNA").
2. The testimony in this affidavit is based upon my review of documents maintained in the ordinary course of business, and to the best of my knowledge, the facts contained herein are true and correct.
3. The accounts in question are or were owned and issued by CBNA.
4. The attached records are copies or duplicates of the available records requested in the Subpoena.
5. The records were prepared by the personnel of the business in the ordinary course of business at or near the time of the act, condition or event.
6. Documents attached are in the matter of Mario A. Mata, # 201800134, Citibank reference# LSI-08162018-76766.

I certify under penalty of perjury that the foregoing is true and correct. Executed on November 15, 2018 at San Antonio, TX.

Haydee Benavides
 Hayde Behavides, Legal Support Specialist
 Citbank N.A.

Subscribed and sworn to before me on November 15, 2018.



My commission expires 9/13/21

Paula Mancilla
 Notary Public



STATE BAR OF TEXAS



Office of the Chief Disciplinary Counsel

August 16, 2018

Via Facsimile (347) 809-6937

Citibank, N.A.
c/o Legal Service Intake Unit
701 East 60th Street
Mail Code 1251
Sioux Falls, South Dakota 57117

Re: Record Request Mario A. Mata, IOLTA; Account Number 9786201289

Dear Citibank Legal Services,

Attached please find a Subpoena request for information concerning Account Number 9786201289, Mario A. Mata, IOLTA. Details are provided on the Subpoena form. Also attached is a Business Records Affidavit for your use.

Please feel free to contact our office at 512.427.1333 if you have any questions. The affidavit and records can be returned to our office at:

State Bar of Texas
Attn: Judith DeBerry
P.O. Box 12487
Austin, Texas 78711

Thank you for your attention in this matter.

Sincerely,

Tanya Galinger
Legal Assistant
Office of the Chief Disciplinary Counsel

/tbg
Attachment

P.O. Box 12487, Capitol Station, Austin, Texas 78711-2487, (512) 427-1350, (512) 427-4167 (FAX)

BEFORE THE EVIDENTIARY PANEL FOR
STATE BAR DISTRICT NO. 9-1 STATE BAR OF TEXAS

| | | |
|-----------------------|---|-----------|
| COMMISSION FOR LAWYER | * | |
| DISCIPLINE, | * | |
| Petitioner | * | |
| | * | 201800134 |
| V. | * | |
| | * | |
| MARIO A. MATA, | * | |
| Respondent | * | |

THE STATE OF TEXAS
SUBPOENA DUCES TECUM

TO ANY SHERIFF OR CONSTABLE OF THE STATE OF TEXAS OR OTHER PERSON AUTHORIZED TO SERVE AND EXECUTE SUBPOENAS AS PROVIDED IN TEXAS RULE OF CIVIL PROCEDURE 176, OR TO THE WITNESS DIRECTLY BY SERVICE PURSUANT TO T.R.C.P. 21a, AS PERMITTED BY TEXAS RULE OF DISCIPLINARY PROCEDURE 2.17H:

YOU ARE HEREBY COMMANDED TO SUMMON:

CUSTODIAN OF RECORDS
Citibank, N.A.
c/o Legal Service Intake Unit
701 E. 60th Street North
Mall Code 1251
Sioux Falls, South Dakota 57117

to produce the following records:

All records from account number 9786201289, held by Mario A. Mata, IOLTA. All records shall include but not be limited to:

- 1) Signature cards;
- 2) Any documents relating to the establishment of the account;
- 3) Deposit slip dated August 9, 2013, for the amount of \$270,000;
- 4) Checks written on the account between August 9, 2013, and March 20, 2015;
- 5) Any document evidencing transfer of funds from the account between August 9, 2013, and March 20, 2015; and
- 6) All bank statements for the time period from August 1, 2013, to March 20, 2015.

These documents are to be produced to counsel for Petitioner, Judith Gres DeBerry, Assistant Disciplinary Counsel, State Bar of Texas, P.O. Box 12487, Austin, Texas 78711 on or before the 24th day after your receipt of this subpoena.

Petitioner, the Commission for Lawyer Discipline, will pay the reasonable costs of producing the requested records upon receipt of a statement for those costs. This subpoena was issued at the request of Judith Gres DeBerry, Assistant Disciplinary Counsel, State Bar of Texas, 1414 Colorado, Suite 200, Austin, Texas 78701.

You are further notified that compliance with this subpoena should be discharged by providing the requested records, attached to a records affidavit in compliance with Texas Rule of Civil Procedure 902, to Judith Gres DeBerry, Assistant Disciplinary Counsel, State Bar of Texas, 1414 Colorado, Suite 200, Austin, Texas 78701.

Contempt. Failure by any person without adequate excuse to obey a subpoena served upon that person may be deemed a contempt of the court from which the subpoena is issued or a district court in the county in which the subpoena is served, and may be punished by fine or confinement, or both. Tex. R. Civ. P. 176.8(a).

Herein fail not, but of this writ make due return.

ISSUED on the 16th day of August, 2018.

EVIDENTIARY PANEL 9-1

By: Dirk Jordan
Dirk Jordan, Chair

MEMORANDUM OF WITNESS

I, the undersigned witness named in the subpoena, acknowledge receipt of a copy thereof, and hereby accept service of the attached subpoena and will comply with the request for documents contained therein.

Date

Custodian of Records
Citibank N.A.

OFFICER'S RETURN

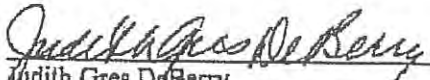
I, _____, delivered a copy of the attached witness subpoena to _____ in person at _____, in _____ County, South Dakota, on the _____ day of _____, 2018, at _____ o'clock _____ m., and tendered to the witness a fee of \$1.00 in cash. Cause of failure to execute this subpoena is _____.

By: _____
Person who is not a party and is 18 years of age or older.

CERTIFICATE OF SERVICE

I hereby certify that on the 16th day of August, 2018, a true and correct copy of the above and foregoing Subpoena Duces Tecum was sent to the following parties by the indicated method:

Mario A. Mata
500 E. 4th Street, Ste. 353
Austin, Texas 78701
Via Email to mario.mata@privatewealthlaw.com


Judith Gres DeBerry

ACCOUNT# 9786201289

08/01/2013 THROUGH 03/20/2015

OFFSET TRANSACTION IMAGES

MARIO A. MATA IOLTA TRUST
100 CRESCENT CT., STE. 700
DALLAS, TX 75201

⑆-R383 147
1131

5065

Type CHQ
Date 17 December 2013

PAY TO THE ORDER OF

MARIO A. MATA IOLTA TRUST

\$ 75,000⁰⁰

Seventy Five Thousand / 100

DOLLARS

CITIBANK

CITIBANK N.A. BR 0147
2424 CEDAR SPRINGS RD
DALLAS, TX 75201

MEMO IOLTA TRUST ACCOUNT TRANSFER

⑆ 6 0 1 3 6 9 3 5 3 2 ⑆ 5 0 6 5 ⑆ 9 7 8 6 2 0 6 2 8 9 ⑆

4787045760

DO NOT WRITE IN THESE SPACES

ENDORSE HERE
Mario A Mata IOLTA TR

MARIO A. MATA IOLTA TRUST
100 CRESCENT CT., STE. 700
DALLAS, TX 75201

88-9353 147
1131

5096

DATE 12 June 2014

PAY TO THE
ORDER OF

MARIO A MATA, P.L.C.

\$ 5,000.00

Five Thousand & no/100

DOLLARS

citibank

CITIBANK, N.A. BR #147
2404 CEDAR SPRINGS RD
DALLAS, TX 75201

MEMO

Special Advance



⑆ 1 1 3 1 9 3 5 3 2 ⑆ 5 0 9 6 ⑆ 9 7 8 6 2 0 1 2 8 9 ⑆

88-9353 147 1131 5096

4-1
3-1
2-1
1-1
L. ENDORSE

11-7562223

ENDORSE HERE
WITH BLUE INK

ENDORSE HERE
Mario A Mata, P.L.C.

MARIO A. MATA IOLTA TRUST
100 CRESCENT CT., STE. 700
DALLAS, TX 75201

88-8353 147
1131

5035

DATE 21 Nov 2014

PAY TO THE
ORDER OF

Mario A. Mata Iolta Trust

\$ 275.00

Two Hundred Seventy Five + 00/100

DOLLARS

citibank

CITIBANK, N.A. BR. #147
8404 CEDAR SPRING RD
DALLAS, TX 75201

MEMO

Transfer from Savings



⑆ 113193532⑆ 5035⑆ 9786201289⑆

4786857757

PAY TO THE ORDER OF
WELLS FARGO BANK, N.A.
111900699
FOR DEPOSIT ONLY
MARIO A. MATA IOLTA
8874171850

MARIO A. MATA IOLTA TRUST
100 CRESCENT CT., STE. 700
DALLAS, TX 75201

88-9363 147
1131

5099

DATE 5/December 2008

PAY TO THE
ORDER OF

MARIO A MATA PLLC

\$ 20.00

Twenty & 00/100

DOLLARS

citibank

CITIBANK, N.A. BR. #147
8401 CEDAR SPRINGS RD
DALLAS, TX 75201

MEMO

Trust - Balance of CD acct



⑆ 1 1 3 1 9 3 5 3 2 ⑆ 5 0 9 9 ⑆ 9 7 8 6 2 0 1 2 8 9 ⑆

4786379166

0 5 1 2 3 4 5 6 7 8 9

MARIO A. MATA IOLTA TRUST
100 CRESCENT CT., STE. 700
DALLAS, TX 75201

88-9253 147
1131

5005

DATE 12/30/2014

PAY TO THE
ORDER OF

MARIO A MATA PLLC

\$ 3.00

Three & No/100

DOLLARS



Security Features
Detailed
Check on Back.

citibank

CITIBANK, N.A. BR. #147
8401 CEDAR SPRINGS RD
DALLAS, TX 75201

MEMO

CLOSE DALLAS IOLTA ACCT

⑆ 1 1 3 1 9 3 5 3 2 ⑆ 5 0 0 5 ⑆ 9 7 8 6 2 0 1 2 8 9 ⑆

Mario A. Mata, PLLC

4787048892

⑆ 1 1 3 1 9 3 5 3 2 ⑆ 5 0 0 5 ⑆ 9 7 8 6 2 0 1 2 8 9 ⑆

MARIO A. MATA IOLTA TRUST
100 CRESCENT CT., STE. 700
DALLAS, TX 75201

89-2133 147
1131

5097

DATE 1 July 2014

PAY TO THE
ORDER OF

MARIO A. MATA, LLP

\$ 3,000.⁰⁰

Three Thousand & ^{no}/₁₀₀

DOLLARS

citibank

CITIBANK N.A. BR. #147
2404 CEDAR SPRINGS RD
DALLAS, TX 75201

MEMO File #



⑆ 1 1 3 1 9 3 5 3 2 ⑆ 5 0 9 7 ⑆ 9 7 8 5 2 0 1 2 8 9 ⑆

ENDORSE HERE
Mario A. Mata, LLP
By: Mario A. Mata
For Deposit Only - No
Credit of the Maturity
Named Payee

⑆ 1 2 1 2 3 5 5 7 ⑆ 8 7 8 2 2 6 9 4 ⑆ 4 3 1 4 7 ⑆ 9 4 1 3 ⑆

MARIO A MATA IOLTA
S-100117180927
ACCOUNT: 9786201289
LSI-0816201876766B

SUBPOENA PROCESSING UNIT

WE HAVE COMPLETED YOUR REQUEST FOR THE RECORDS WITH THE FOLLOWING EXCEPTIONS:

| | DATE | ITEM | AMOUNT | REASON |
|---|-----------|------------|------------|---|
| 1 | 8/21/2013 | Withdrawal | \$7,500.00 | Not Found on offsite warehouse (Iron Mountain), transfer to acct xxxx4759 |
| 2 | 10/7/2013 | Withdrawal | \$7,500.00 | Not Found on offsite warehouse (Iron Mountain), transfer to acct xxxx4759 |
| 3 | 2/28/2014 | Withdrawal | \$5,000.00 | Not Found on offsite warehouse (Iron Mountain), transfer to acct xxxx4759 |
| 4 | 6/4/2014 | Withdrawal | \$3,500.00 | Not Found on offsite warehouse (Iron Mountain), transfer to acct xxxx4759 |

MJ

Date: 8/19/13 Check your type of account below

Deposit to: Checking Money Market Account

Deposit to: Savings Certificate of Deposit
 Passbook Savings Market Rate Account

Checks and other items are treated as deposit subject to the applicable account rules and regulations. Deposits may not be available for immediate withdrawal.

Account Name: Mario Mateo UP IOLT Trust A.

Address: 2404 Cedar Spring Rd

Subtotal 270.00 X X
 *Less Cash Received
TOTAL \$

4. WRITE NUMBERS IN SHADED BOXES

Account Number * 91786201289
A 16-DIGIT BULK ACCOUNT NUMBER ONLY

856068415 057770 10281

Transaction Receipt / Funds Availability Notice

CKG: DEPOSIT 08/09/13 17:03 FC#00148 FA# 002 *MJ*
 065-01 Acct# XXXXXX289 \$270.000.00 ONL

Funds from your deposit/check cashing transaction will be delayed. Reasons and funds availability schedule follow.

| | |
|-----------|--|
| \$250.00 | Available Today |
| \$99.800 | 08/10/13 Standard delay |
| \$169.950 | 08/13/13 Total checks deposited/cashed on this day exceed \$100.000. |

Thank you for banking with Citibank

X Customer Signature(s)

Deposit Ticket

10/2/2013
Rev. 6/09
7-530

THE MOSER FAMILY TRUST
1506 OAK KNOLL
DALLAS, TX 75208

88-2323,
1119
1456-107

MA 1132

DATE 8/8/13

PAY TO THE
ORDER OF

Marc A. Moser LLP IOLT Trust Acct | \$ 270,000.00
Two hundred seventy thousand and 00/100 DOLLARS



LONGVIEW BANK & TRUST
300 E. WHALEY, P.O. BOX 3168
LONGVIEW, TEXAS 75608
903-237-6500

MEMO

J. A. Moser

⑆⑆⑆⑆923238⑆ ⑆⑆32 ⑆⑆ 5640 7⑈

ENDORSE HERE

CKG: WITHDRAWAL 08/12/13 13:46 FC#00191FA# 003SUP#006
014-03 Acct# xxxxxxxx289 \$7,500.00 ONL PIC
On deposit: \$262,501.74 Available Now: \$92,551.74

citibank

X
Customer Signature(s)

Identification # / Exp Customer Known
ITEM NSF2000 (Rev. 07/09) PKG 400

Identification # / Exp Customer Known


Branch Copy

Transfer to acct ~~44~~ 4759

Transaction Receipt / Funds Availability Notice

CKG: DEPOSIT 08/16/13 12:15 FC#00191FA# 003
012-03 Acct# xxxxxxx759 \$3,611.87 ONL PIC



Thank you for banking with citibank. 

X

Customer Signature(s)

Identification # / Exp Customer Known

ITEM NSF2000 (Rev. 07/09) PKG 400

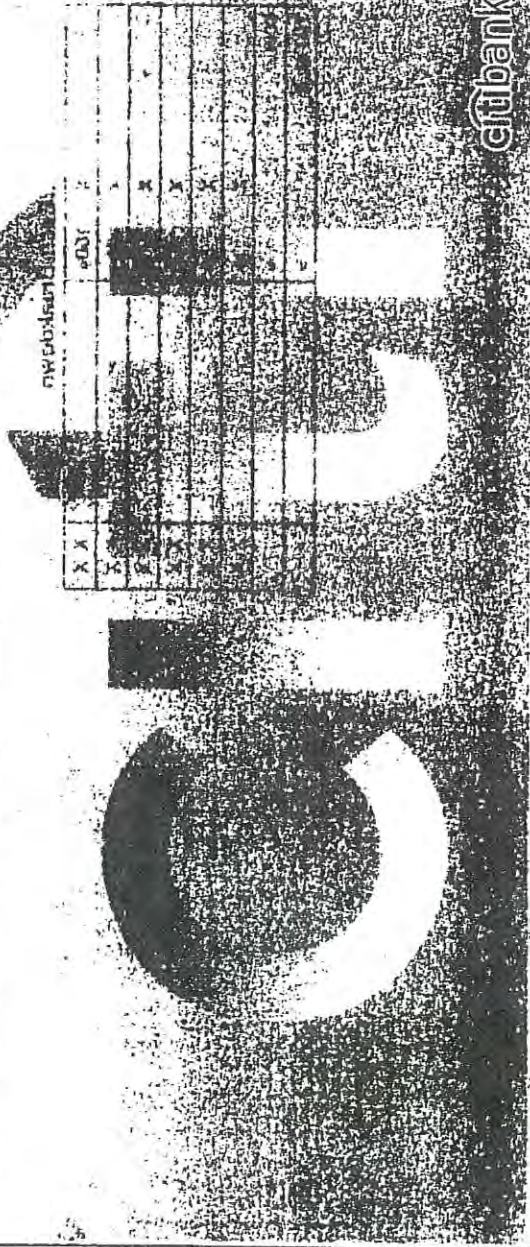
Identification # / Exp Customer Known

Branch Copy

2

Transfr
to
acct xxx 4759
of
Funds

CKG: WITHDRAWAL 08/30/13 14:46 FC#00191FA# 003SUP#011
019-02 Acct# XXXXXX289 \$7,500.00 DNL PIC
On deposit: \$243,889.87 Available Now: \$243,889.87



X Customer Signature(s)

Identification # / Exp Customer Known

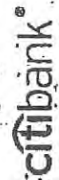
Identification # / Exp Customer Known

Branch Copy

4 Transfer to acct. ~~XXX~~ 4758

acct # 1111111111
+ 09/04/13

CKG: WITHDRAWAL 09/04/13 16:56 FC#00191FA# 003SUP#006
016-02 Acct# xxxxxxxx289 \$7,500.00.DNL PIC
On deposit: \$236,389.87 Available Now: \$236,389.87



X

Customer Signature(s)

Identification # / Exp Customer Known

ITEM NSF2000 (Rev. 07/09) PKG 400

Identification # / Exp Customer Known

Branch Copy

S

CKG: WITHDRAWAL 09/30/13 14:01 FC# 00191FA# 003SUP#007
016-02 Acct# .xxxxxxxx289 DNL PIC
On deposit: \$226,389.87 Available Now: \$226,389.87

citibank

X
Customer Signature(s)

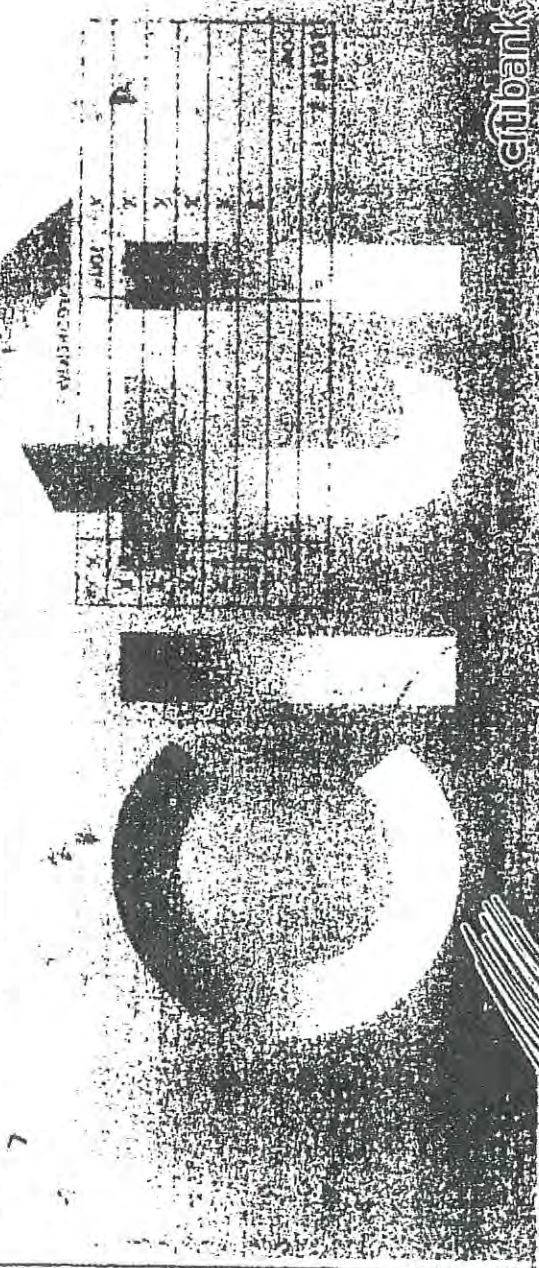
Identification # / Exp Customer Known
ITEM NSF2000 (Rev. 07/09) PKG 400

Identification # / Exp Customer-Known

Branch Copy

6
Transfer of Funds to
acct 4759

CKG: WITHDRAWAL 10/15/13 15:53 FC#00191 FA# 004SUP#010
004-02 ACCT# XXXXXXXX289 \$7,500.00 DNL



X Customer Signature(s)

[Handwritten Signature]

Identification # / Exp Customer Known
ITEM NSF2000 (REV. 07/09) PKG 400

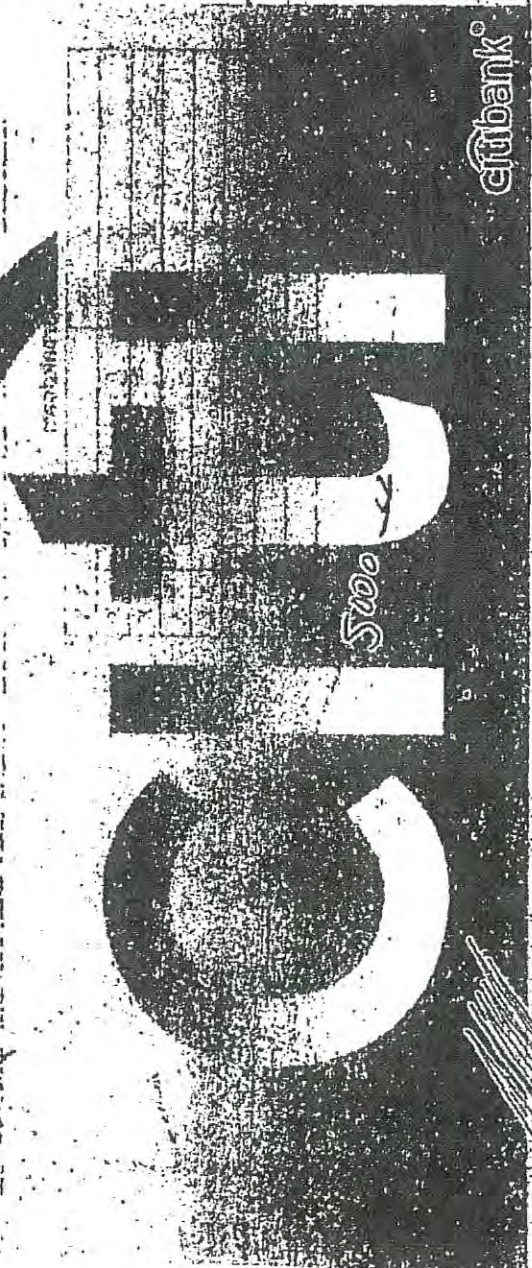
Identification # / Exp Customer Known

Branch Copy

*Transfr acct
Funds to acct
FFX 475*

8

CKG: WITHDRAWAL 10/30/13 17:08 FC#00392 FAH 011
023-02 Acct# *****289 \$5,000.00 ONL-PIC
On-deposit: \$206,389.87 Available Now: \$206,389.87



citibank

at
Turn Skr to acct
Funds to
XXXX 4759

X: _____
Customer Signature(s)

Identification # / Exp Customer Known Identification. # / Exp Customer Known

Branch Copy

9

ITEM NSF200 (Rev. 07/00) PKG 400

CKG: WITHDRAWAL 11/04/13 15:48 FC#00191FA# 003
023-02 Acct# xxxxxxxx289 \$5,000.00 ONL PIC
On deposit: \$201,389.87 Available Now: \$201,389.87



citibank

X
Customer Signature(s)

Identification # / Exp Customer Known Identification.# / Exp Customer Known

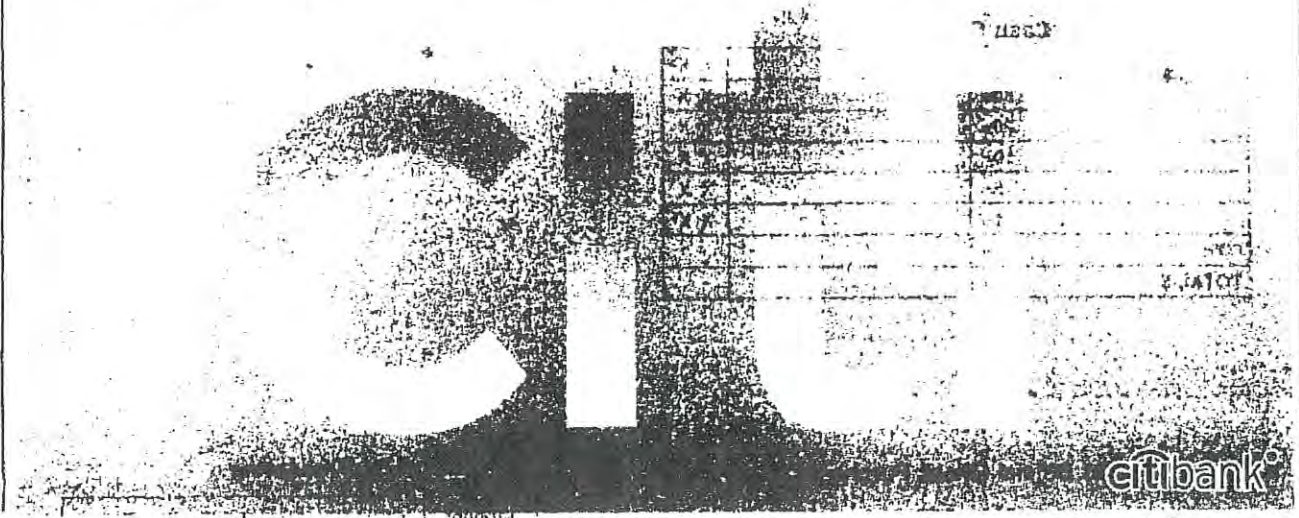
ITEM NSF2000 (Rev. 07/09) PKG 400

Branch Copy

10

Transfers to
acct HXX 4759

CKG: WITHDRAWAL 11/20/13 15:03 FC#00191FA# 003SUP#010
008-02 Acct# xxxxxxxx289 \$7,500.00 ONL PIC
On deposit: \$193,889.87 Available Now: \$193,889.87



X _____
Customer Signature(s)

Identification # / Exp Customer Known
ITEM NSF2000 (Rev. 07/09) PKG 400

Identification # / Exp Customer Known
Branch Copy

Transfer to acct
~~XXX~~ 4759

CKG: WITHDRAWAL 11/27/13 14:15 FC#00191FA# 003
017-02 Acct# xxxxxxxx289 . \$3,500.00 ONL PIC
On deposit: \$190,389.87 Available Now: \$190,389.87

Funds
11/27/13
PO acct

citibank

Customer Signature(s)

Identification # / Exp Customer Known
ITEM NSF2000 (Rev. 07/09) PKG 400

Identification # / Exp Customer Known

Branch Copy

12

CKG: WITHDRAWAL 12/07/13 12:56 FC#00191FA# 003
020-02 Acct# xxxxxxxx289 \$3,500.00 ONL PIC
On deposit: \$186,889.87 Available Now: \$186,889.87

citibank

X
Customer Signature(s)

Identification # / Exp Customer Known

Identification # / Exp Customer Known

ITEM NSF2000 (Rev. 07/09) PKG 400

Branch Copy

Transfer of funds
to acct # 4789

13

CKG: WITHDRAWAL 12/13/13 14:32 FC#00191FA# 003
028-02 Acct# xxxxxxx289 \$3,500.00 ONL PIC
On deposit: \$183,389.87 Available Now: \$183,389.87



Transfur of Funds
acct
rd
XXXXXX
XXXXXX

X
Customer Signature(s)

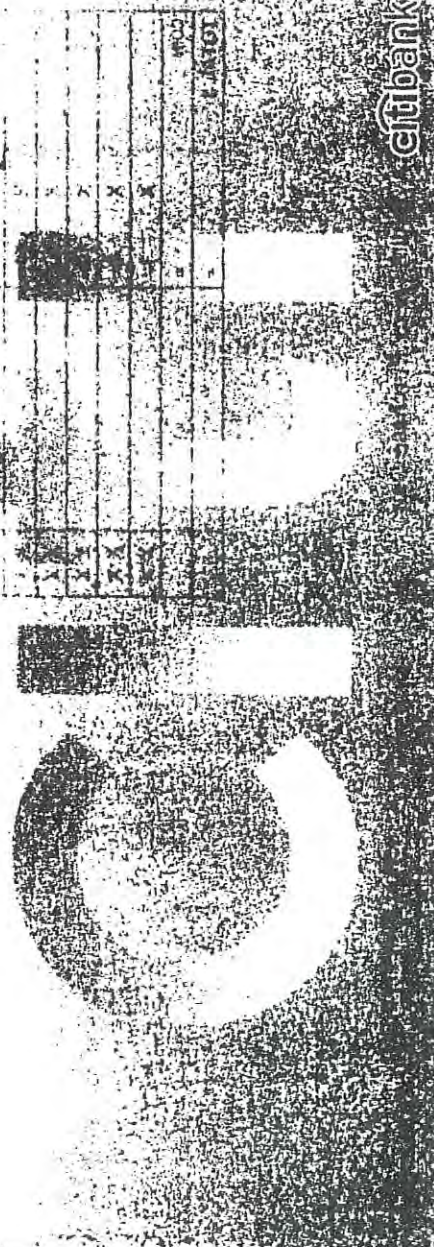
Identification # / Exp Customer Known

ITEM NSF2000 (Rev. 07/09) PKG 400

Branch Copy

14

CKG: WITHDRAWAL 12/27/13 11:55 FC#00191FA# 003
009-02 Acct# XXXXXX289 \$5,000.00 ONL PIC
On deposit: \$178,589.87 Available Now: \$178,589.87



citibank

Customer Signature(s)

Identification # / Exp Customer Known

Identification # / Exp Customer Known

Branch Copy

ITEM NSF-2000 (Rev. 07/09) PKG 400

15 Transfer to acct
#4759

CKG: WITHDRAWAL 01/03/14 16:43 FC#00191FA# 003
049-02 Acct# XXXXXXX289
On deposit: \$99,798.00 Available Now: \$99,798.00



CITIBANK

Transfer of Funds
to acct ~~289~~ 4759

X.

Customer Signature(s)

Identification #7 Exp Customer Known

ITEM NSP2000 (Rev. 07/09) PKG 400

Identification #1-Exp Customer Known

Branch Copy

16

CKG: WITHDRAWAL 01/06/14 15:48 FC#:00191FA# 003
052-02 Acct# xxxxxxxx289 \$5,000.00 ONL PIC
On deposit: \$94,798.00 Available NOW: \$94,798.00



X
Customer Signature(s)

Identification # 7 Exp Customer Known
ITEM NSIF2000 (Rev. 07/09) PICA 400

Identification # / Exp Customer Known

Branch Copy

17

Handwritten notes: "Trombetta", "1/16", "KAT", "4/15", "Fund".

CKG: WITHDRAWAL 01/13/14 16:30 FC#00191FA# 003
041-02 Acct# xxxxxxx289 \$5,000.00 ONL PIC
On deposit: \$89,798.00 Available Now: \$89,798.00



X: Customer Signature(s)

Identification # / Exp Customer Known

Identification # / Exp Customer Known

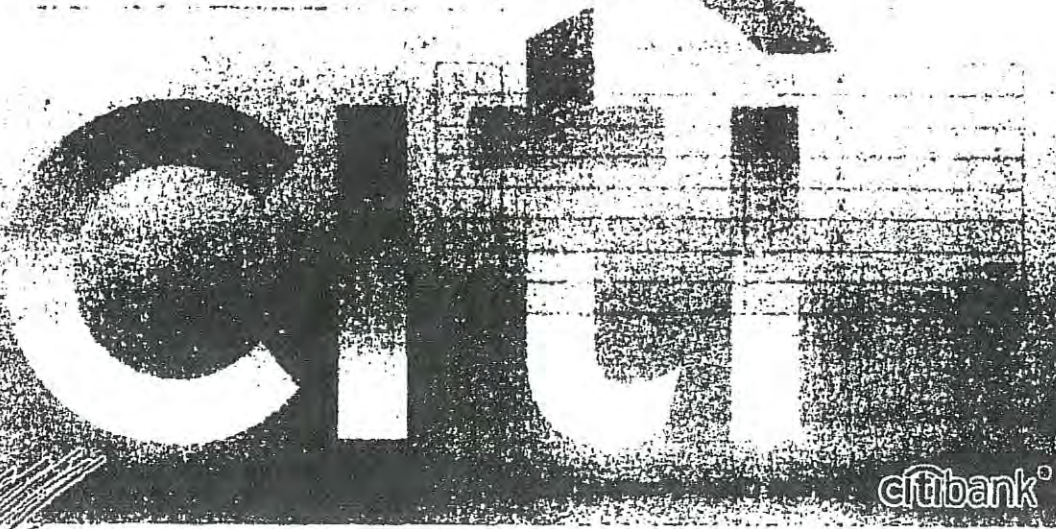
ITEM NSF-2000 (Rev. 07/09) PKG 400

Branch Copy

18

TRANSFER TO
ACCT
XXXXXX
Funds

CKG: WITHDRAWAL 01/16/14 17:06 FC#00392 FA# 011
030-02 Acct# xxxxxxxx289 \$5,000.00 DNL PIC
On deposit: \$84,798.00 Available Now: \$84,798.00



X
Customer Signature(s)

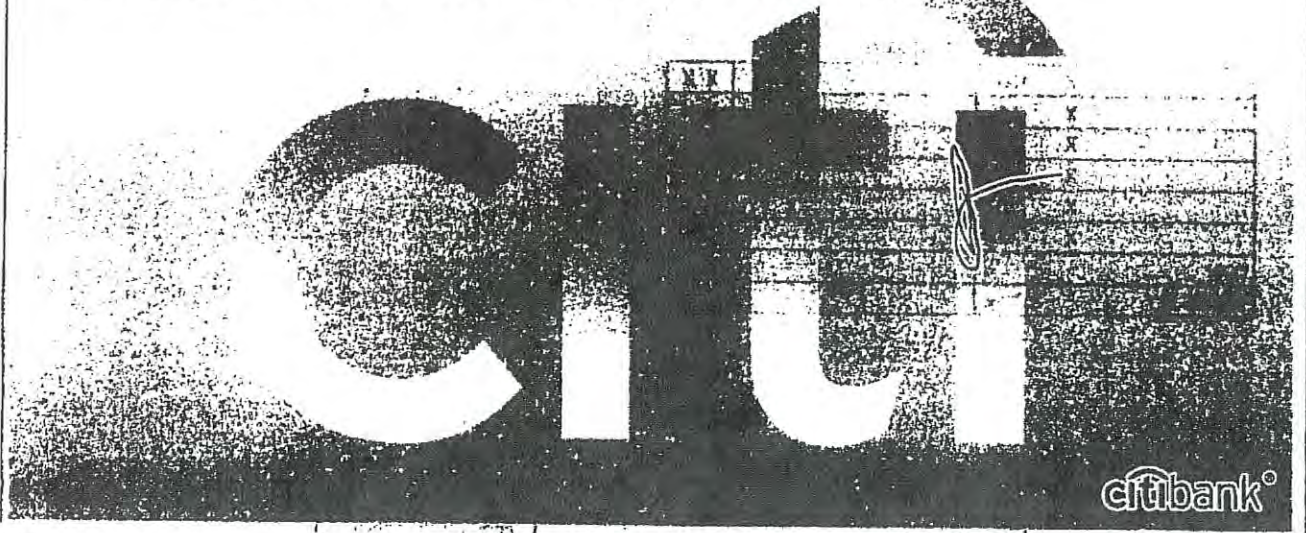
Identification # / Exp Customer Known
ITEM N8F2000 (Rev. 07/09) PKG 400

Identification # / Exp Customer Known
Branch Copy

19

Transfer of Funds
to acct ~~xxx~~ 4759

CKG: WITHDRAWAL 01/31/14 14:28 FGH00191FA# 003SUP#015
022-02 Acct# xxxxxxxx289 \$5,000.00 QNL PIC
On deposit: \$78,798.00 Available Now: \$78,798.00



X
Customer Signature(s)

Identification # / Exp Customer Known
ITEM NSFP2000 (Rev. 07/09) PKG 400

Identification # / Exp Customer Known

Branch Copy

Transfer of Funds
to acct xxx 4759

CKG: WITHDRAWAL 02/05/14 14:13 FCH00191FA# 003
019-02 Acct# XXXXXX289 \$5,500.00 ONL PIC
On deposit: \$75,298.00 Available Now: \$75,298.00



Transfr of Funds
to acct XXXX 4759

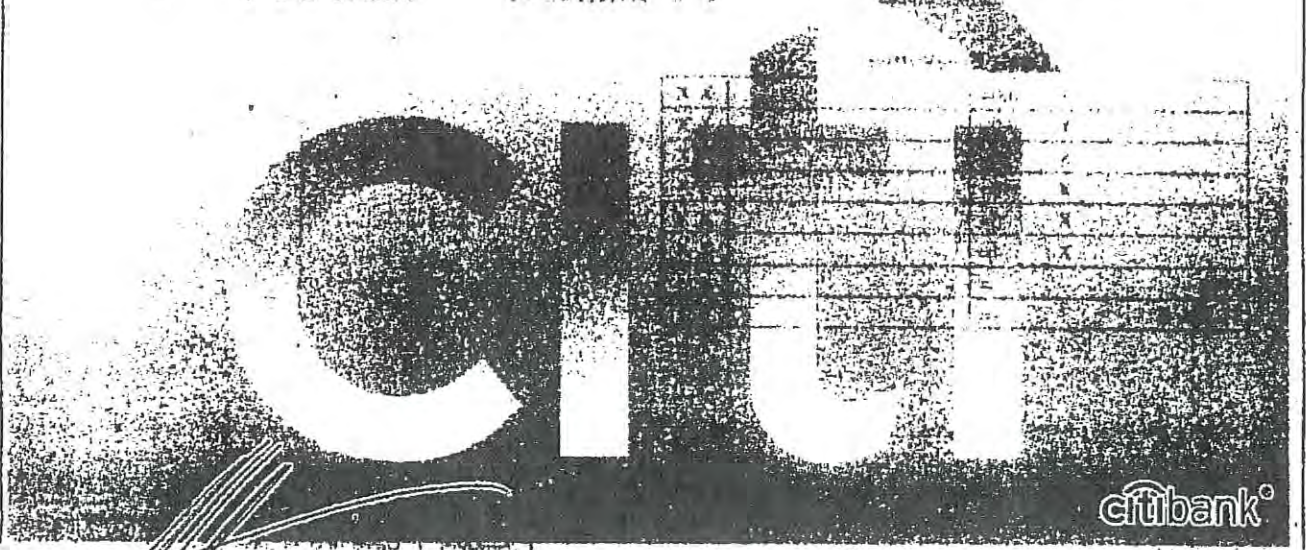
Xi
Customer Signature(s)

Identification # / Exp Customer Known
ITEM NSF2000 (Rev. 07/08) PKG. 400

Branch Copy

24

CKG: WITHDRAWAL 02/14/14 16:00:00 CB# 00191 FA# 004 X e'001
014-02 Acct# xxxxxxxx289 00.00233 500.00 ONCATOT ANINNYA



X
Customer Signature(s)

Identification # / Exp Customer Known
ITEM NSF2000 (Rev. 07/09) PKG 400

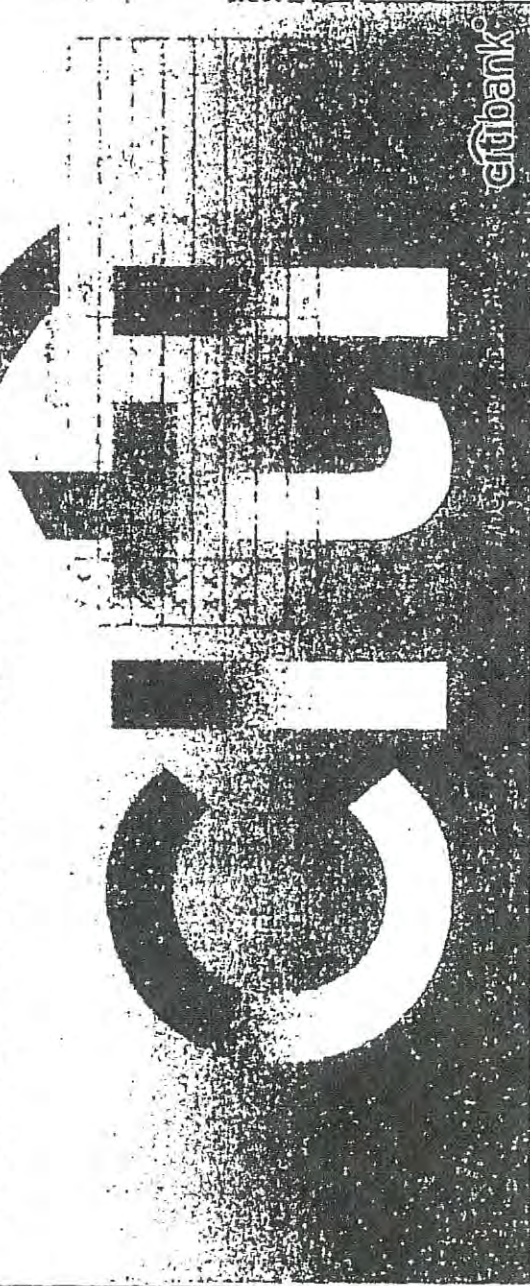
Identification # / Exp Customer Known

Branch Copy

22

Transfer of Funds to
acct xxx4759

CKG: WITHDRAWAL 02/21/14 15:35 FC#00191FA# 003
020-02 Acct# xxxxxxxx289 \$3,500.00 ONL PIC
On deposit: \$68,298.00 Available Now: \$68,298.00



X

Customer Signature(s)

Identification # / Exp Customer Known
ITEM NSF2000 (Rev. 07/09) PKG 400

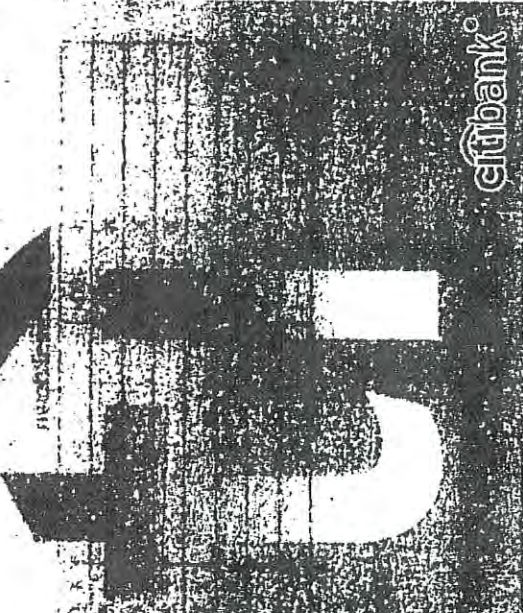
Identification # / Exp Customer Known

Branch Copy

Transfer of Funds to
acct xxx4759

A handwritten signature in black ink, appearing to be 'L.B.' with a stylized flourish at the end.

CKG: WITHDRAWAL 03/06/14 14:04 FC# 00191FA# 003
Q14-02 Acct# xxxxxxxx289 \$5,000.00 ONL PIC
DA deposit: \$58,298.00 Available Now: \$58,298.00



Trans
acc
FA# 4759
FA#
Fund

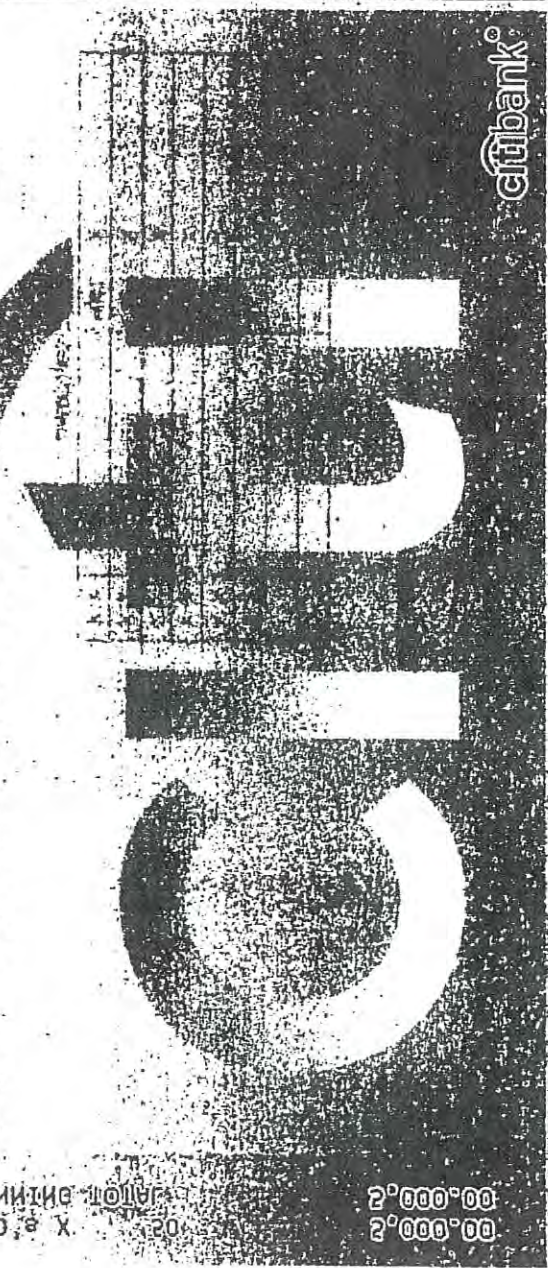
X Customer Signature(s)

Identification # / Exp Customer Known
ITEM NSP2000 (Rev. 07/09) PKG. 400

Identification # / Exp Customer Known
Branch Copy

25

CGK: WITHDRAWAL 03/13/14 13:57 FCH00191FA# 00B
01B-02-ACCT# XXXXXX289 \$5,000.00 ONL PIC
105 deposit: \$53,298.00 Available Now: \$53,298.00



citibank

Transfer of funds
to acct # 4759

X:

Customer Signature(s)

Identification # / Exp Customer Known

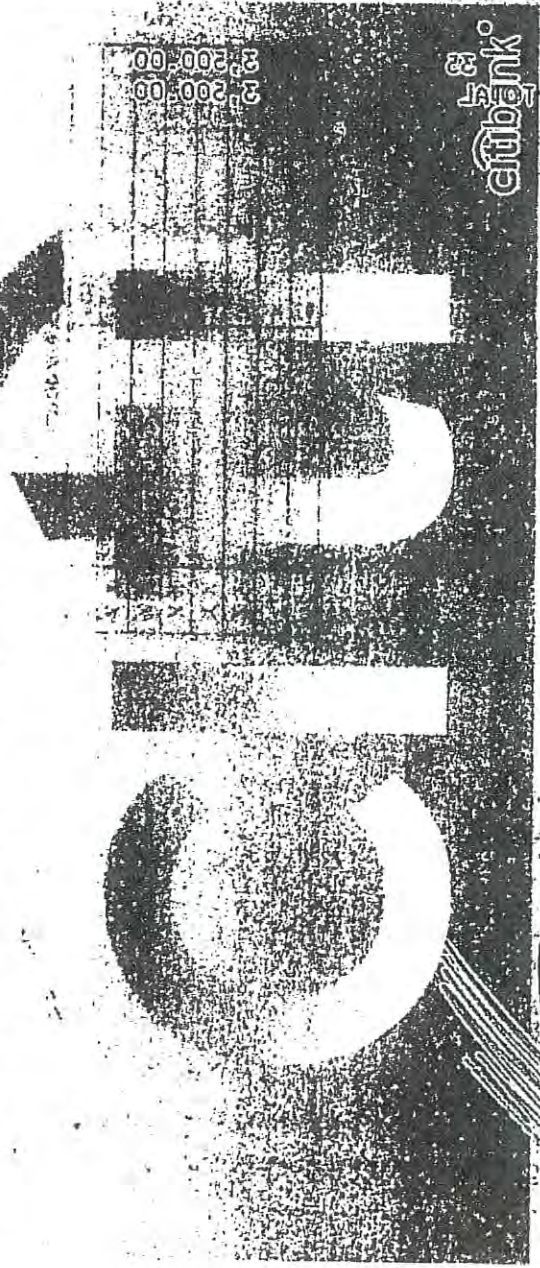
Identification # / Exp Customer Known

ITEM MSP2000 (Rev. 07/09) PKG 400

Branch Copy

26

CKG: WITHDRAWAL 03/18/14 16:58 FC#00191FA# 00B
011-02 Acct# xxxxxxxx289
On deposit: \$49,798.00 Available Now: \$49,798.00



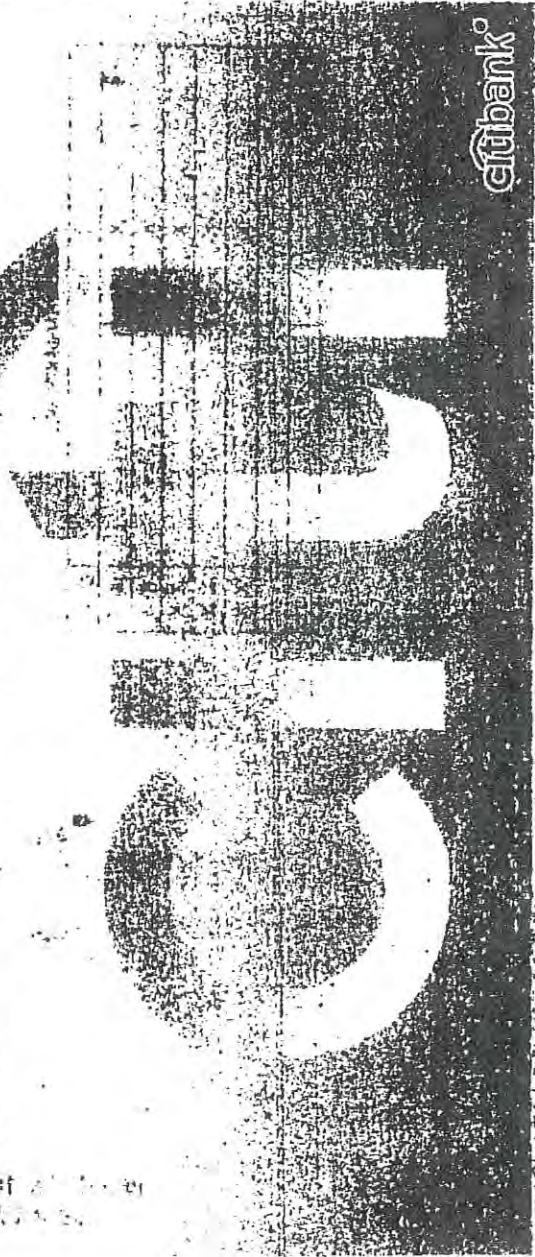
X. Customer Signature(s) 6810201289

Identification # / Exp Customer Known
Branch Copy

Trans & Funds
acct
4753

27

CKG: WITHDRAWAL 03/29/14 12:56 FC#00191FA# 003
021-02 Acct#. xxxxxxxx289 \$5,000.00 ONL-PIC
On deposit: \$44,798.00 Available Now: \$44,798.00



citibank

to acct
T sum 1
of Funds
4759

X: Customer Signature(s)

Identification # / Exp Customer Known

Identification # / Exp Customer Known

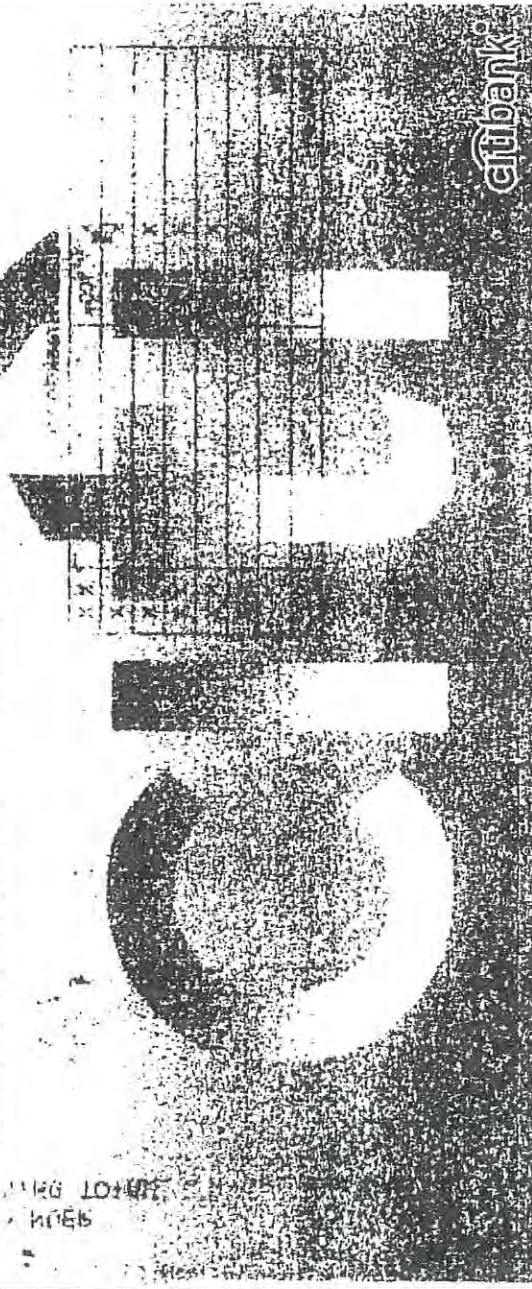
TELE NSF2000 (Rev. 07/99) PKG 400

Branch Copy

28

Handwritten signature

CKG: WITHDRAWAL 04/04/14 16:13 FC# 00191FA# 003SUP#010
034-02 ACCT# xxxxxx289 \$7,500.00 ONL-PIC
On deposit: \$37,298.00 Available Now: \$37,298.00



citibank

Transfr of Funds
TD acct XX4759

X

Customer Signature(s)

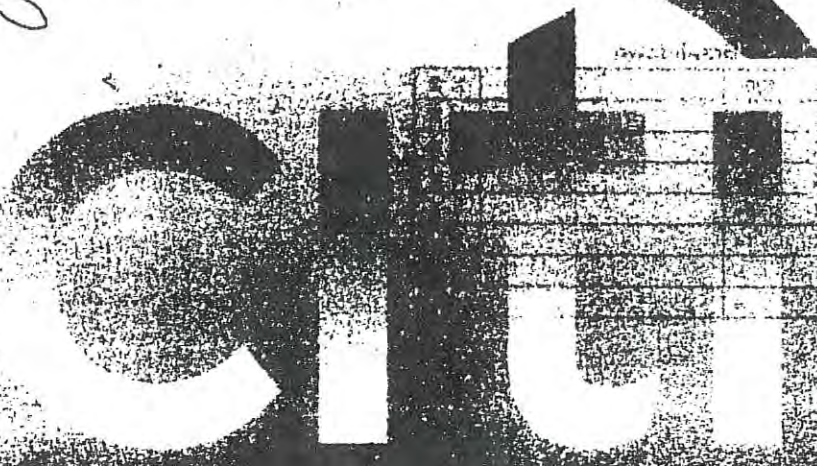
Identification # / Exp Customer Known
ITEM NSF2000 (Rev. 07/99) PKG 400

Identification # / Exp Customer Known


Branch Copy

Handwritten number 29

CKG: WITHDRAWAL 04/23/14 14:00:00 000000191 FA# 0026 X e'001
003-02 Acct# xxxxxxxx28900:00000000:00 ONLJATOT 0NINNUA
On dep. t: \$32,298.00 Available Now: \$32,298.00



citibank[®]

 Customer-Signature(s)

Identification # / Exp Customer Known

Identification # / Exp Customer Known

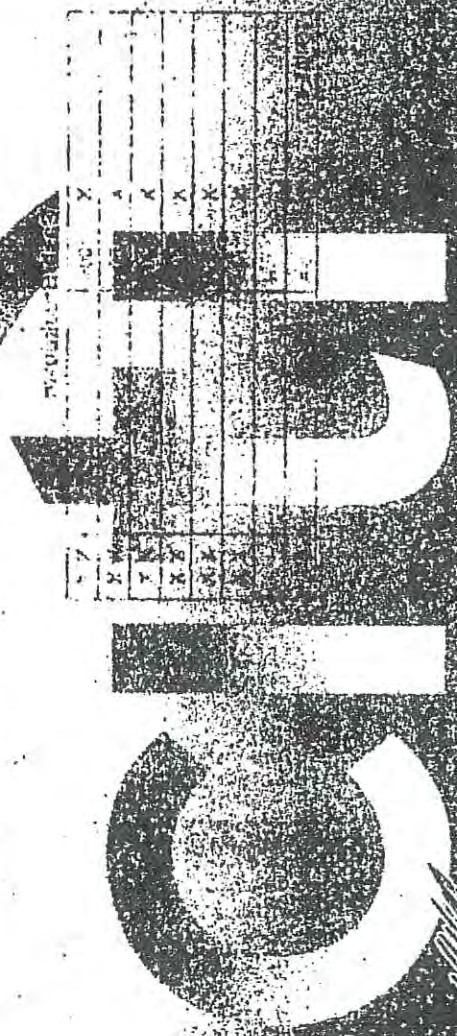
ITEM NSF2000 (Rev. 07/08) PKG 400

Branch Copy

30

transfer of funds to
acct ~~XXXX~~ 4759

CKG:: WITHDRAWAL 05/02/14 16:04 FC#00191FA# 093
021-01 Acct# XXXXXXX289 \$5,000.00 ONL



citibank

*Transfers
to acct
of
XXXXXX
XXXXXX*

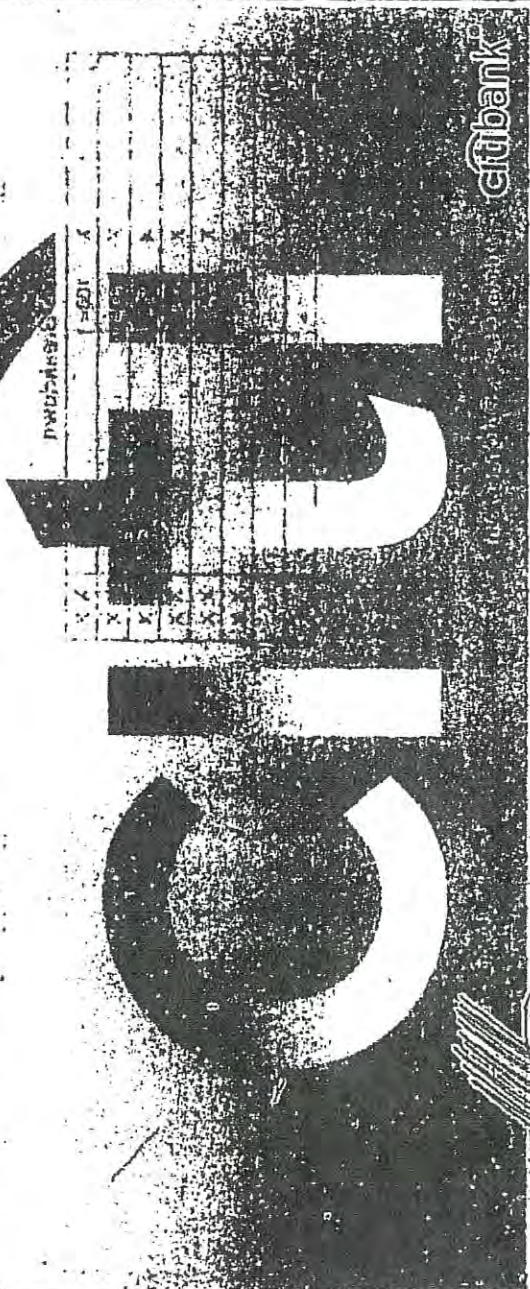
X
Customer Signature(s)

Identification # / Exp Customer Known
ITEM NSF2000 (Rev. 07/09) PKG 400

Branch Copy

31

CHK: WITHDRAWAL 05/06/14 13:08 FC#00191FA# 004
025-02 Acct# xxxxxxxx289 \$5,000.00 ONL PIC
On deposit: \$22,298.00 Available Now: \$22,298.00



citibank

X
Customer Signature(s)

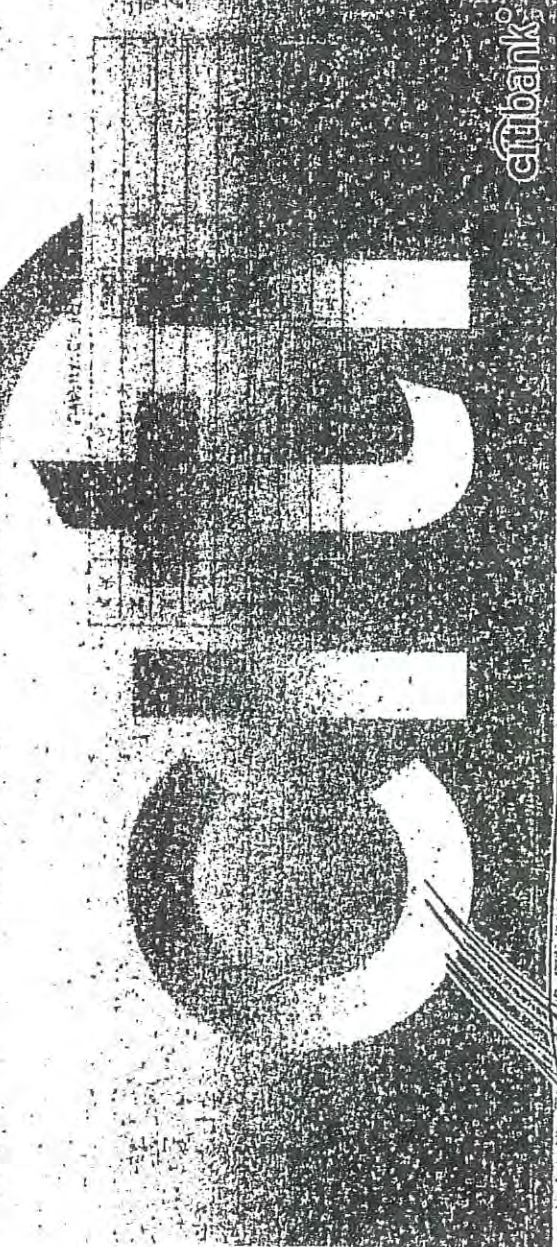
Identification # / Exp Customer Known
ITEM NSFP000 (Rev. 07/09) PKG 400

Branch Copy

Transfer of Funds
to acct xxx 4759

32

CKG: WITHDRAWAL 05/27/14 15:22 FC#00191FA# 003
027-01 Acct# xxxxxxxx289 \$5500.00 ONL



Customer Signature(s) _____

Identification # / Exp Customer Known Customer Known

ITEM NSP2000 (Rev. 07/09) PKG 4007

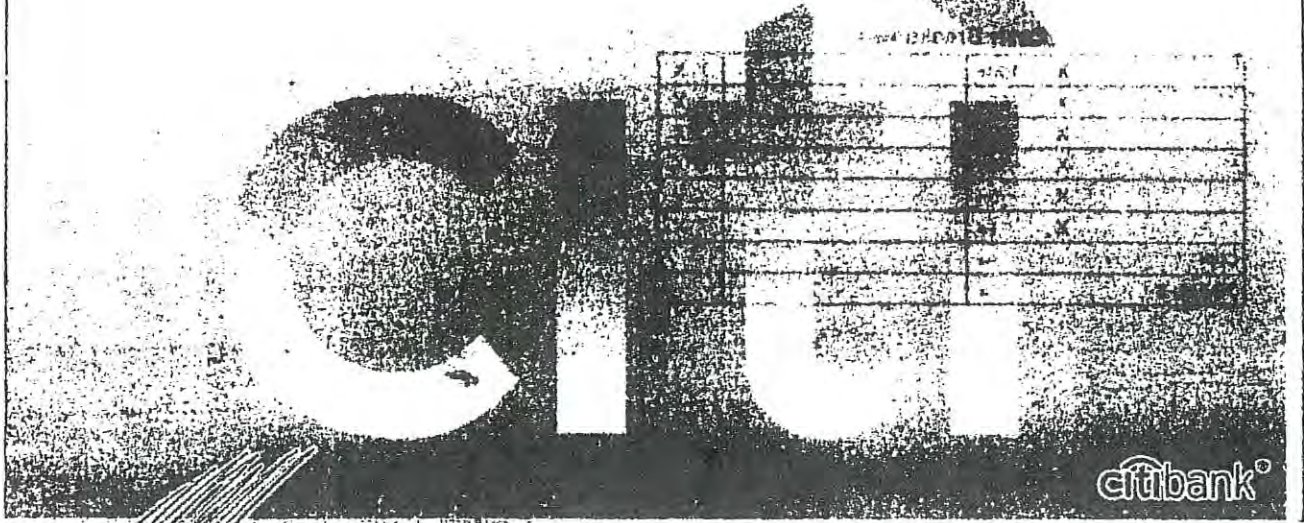
Branch Copy

Handwritten notes:

- Transferred
- to CCA
- to 000
- to 15/27/14
- to 15/27/14

33

CKG: WITHDRAWAL 05/31/14 12:56 FC# 00191FA# 003
015-01 Acct# xxxxxxxx289 \$3,500.00 ONL



citibank®

X
Customer Signature(s)

Identification # / Exp Customer Known
ITEM NSF2000 (Rev. 07/09) PKG 400

Identification # / Exp Customer Known

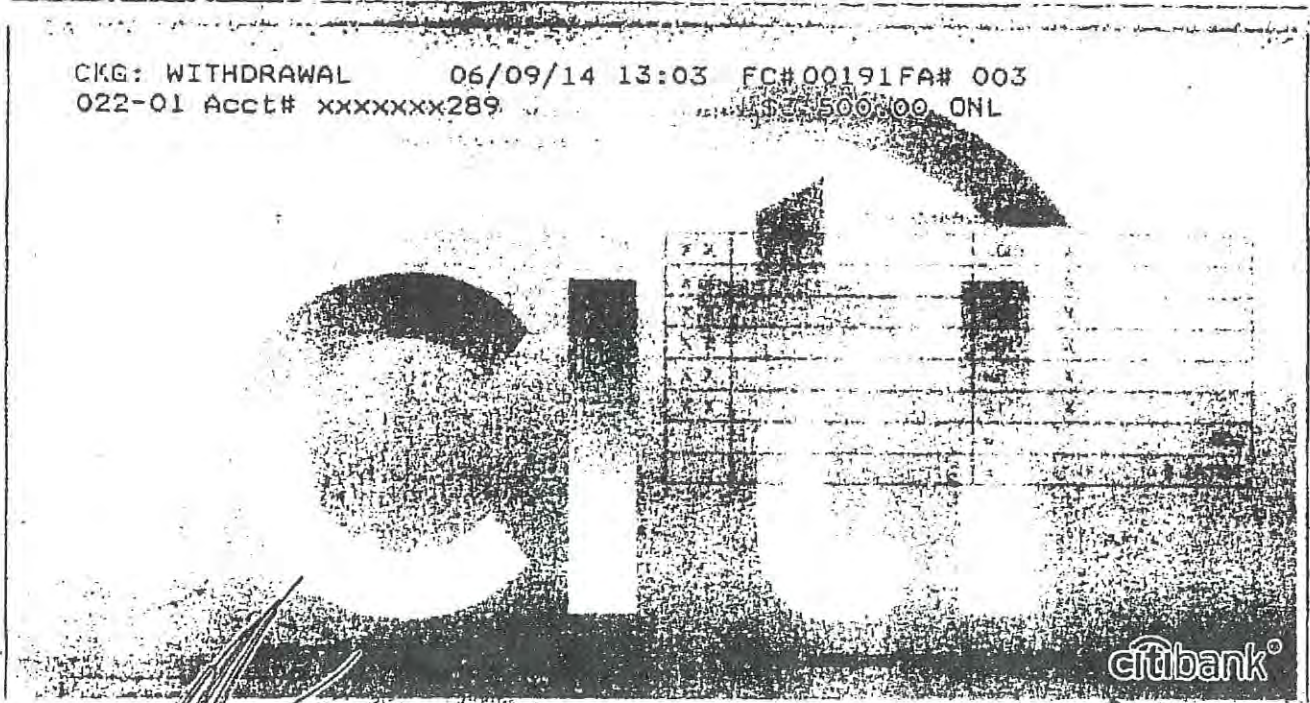
Branch Copy

34

Transfer of Funds
to acct XXX. 4759

CKG: WITHDRAWAL 06/09/14 13:03 FC# 00191FA# 003
022-01 Acct# xxxxxxxx289

500.00 ONL



CitiBank®

Customer Signature(s)

Identification # / Exp Customer Known

Identification # / Exp Customer Known

ITEM NSF2000 (Rev. 07/09) PKG 400

Branch Copy

36

Transfer OF Funds
to acct ~~xxxx~~ 4759



AFFIDAVIT

STATE OF TEXAS)

)SS:

COUNTY OF BEXAR)

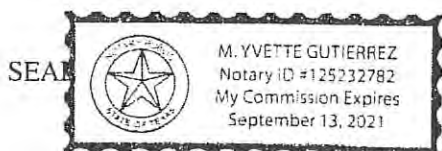
I, Haydee Benavides, do hereby state and declare as follows:

1. I am employed by Citigroup Management Corp. (hereinafter "CMC"). The testimony in this affidavit is based upon my review of documents maintained in the ordinary course of business, and to the best of my knowledge, the facts contained herein are true and correct.
2. CMC provides various services, one of which is subpoena compliance, to Citibank, N.A. (hereinafter "Citibank").
3. The accounts in question are or were owned and issued by Citibank.
4. Citibank provides CMC employees access to its account records to perform various services.
5. I am a duly authorized custodian of the records for Citibank and have authority to certify the attached records.
6. The attached records are copies or duplicates of the available records requested in the Subpoena with redacted information.
7. The attached records were prepared in the ordinary course of business at or near the time of the act, condition or event.
8. Documents in reference to **Mario A. Martin, # 201800134, Citi reference# LSI-08162018-76766.**

I certify under penalty of perjury that the foregoing is true and correct. Executed on September 21, 2018 at San Antonio, TX.

Haydee Benavides
 Haydee Benavides, Legal Support Specialist
 Citigroup Management Corp.

Subscribed and sworn to before me on September 21, 2018.



M. Yvette Gutierrez
 Notary Public

My commission expires 9-13-21



**Compliance DataBase - Account
Details for Signer # 1**

Account Number : 9786201289
Last Name : MATA
First Name, MI : MARIO
Business Name : -
Date of Birth : -
SSN/Tax ID : 742-35-4575
Tax ID Indicator : T
Employee Indicator : -
CIN :
Account Open Date : 10/06/2009
Account Close Date : -
Account Status : OPEN
FIMP / Financial Center : CTX / 147
Service Code : CHK - CHECKING
Entity Code : COMMERCIAL
Business Source Code : 82
Address : 100 CRESCENT CT
DALLAS TX 75201-1832
Home Ph :
Business Ph : 214-459-3395
Risk Indicator :

| <u>Signer Name</u> | <u>Signer#</u> |
|--------------------|----------------|
| MARIO A MATA | #1 |

ACCOUNT# 9786201289
08/09/2013 THROUGH 03/31/2015
OFFSET TRANSACTION IMAGES

MARIO A. MATA IOLTA TRUST
100 CRESCENT CT., STE. 700
DALLAS, TX 75201

88-9383 147

5065

Type _____
Date 17 December 2013

PAY TO THE ORDER OF

MARIO A. MATA IOLTA TRUST

\$ 75,000.⁰⁰

Seventy Five Thousand & 00/100

DOLLARS

citibank

CITIBANK N.A. BR #147
2404 CEDAR SPRINGS RD
DALLAS, TX 75201

MEMO IOLTA TRUST ACCOUNT TRANSFER

⑆ 1 1 3 1 9 3 5 3 2 ⑆ 5 0 6 5 ⑆ 9 7 8 6 2 0 1 2 8 9 ⑆

© 2013 BANK OF AMERICA, N.A. ALL RIGHTS RESERVED

100 CRESCENT CT., STE. 700
DALLAS, TX 75201

4787045760

DO NOT WRITE, SIGN OR SIGNATURE OVER THIS LINE

ENDORSE HERE
Mario A Mata IOLTA TR

MARIO A. MATA IOLTA TRUST
100 CRESCENT CT., STE. 700
DALLAS, TX 75201

88-8353 147
1131

5096

DATE 13 June 2014

PAY TO THE
ORDER OF

MARIO A MATA PUC

\$ 5,000.00

Five Thousand & no/100

DOLLARS



citibank

CITIBANK N A BR #147
2404 CEDAR SPRINGS RD
DALLAS, TX 75201

MEMO

Armeds Advance

⑆ 1 1 3 1 9 3 5 3 2 ⑆ 5 0 9 6 ⑆ 9 7 8 6 2 0 1 2 8 9 ⑆

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11-47502223

ENDORSE HERE

ENDORSE HERE
Mario A Mata, PUC

MARIO A. MATA IOLTA TRUST
100 CRESCENT CT., STE. 700
DALLAS, TX 75201

88-9353 147
1131

5035

DATE 21 NOV 2014

PAY TO THE
ORDER OF

MARIO A. MATA IOLTA TRUST

\$ 275.00

TWO HUNDRED SEVENTY FIVE & 00/100

DOLLARS



Security Features
Embossed
Details on Cash

citibank

CITIBANK N.A. BR #1147
2404 CEDAR SPRINGS RD
DALLAS, TX 75201

MEMO

Transfer from Finance

⑆ 1 1 3 1 9 3 5 3 2 ⑆ 5 0 3 5 ⑆ 9 7 8 6 2 0 1 2 8 9 ⑆

4786857757

PAY TO THE ORDER OF
WELLS FARGO BANK, N.A.
111900639
FOR DEPOSIT ONLY
MARIO A. MATA IOLTA
7874171850

MARIO A. MATA IOLTA TRUST
100 CRESCENT CT., STE. 700
DALLAS, TX 75201

88-9353 147
1131

5099

DATE 5/December 2008

PAY TO THE
ORDER OF

MARIO A MATA PLLC

\$ 20.00

Twenty & 00/100

DOLLARS



citibank

CITIBANK N.A. BR. #147
2404 CEDAR SPRINGS RD
DALLAS, TX 75201

MEMO

Trust - Balance of FOLTRUST

⑆ 113193532⑆ 5099⑆ 9786 201289⑆

4786379166

000000000

MARIO A. MATA IOLTA TRUST
100 CRESCENT CT., STE. 700
DALLAS, TX 75201

88-9353-147
1131

5005

DATE 12/30/2014

FAY TO THE
ORDER OF

MARIO A MATA REC

\$ 3.00

Three & no/100

DOLLARS

citibank

CITIBANK, N.A. BR. #147
2404 CEDAR SPRINGS RD
DALLAS, TX 75201

MEMO

Close Dallas IOLTA Acct



⑆ 1 1 3 1 9 3 5 3 2 ⑆ 5 0 0 5 ⑆ 9 7 8 6 2 0 1 2 8 9 ⑆

Mario A. Mata, REC

4767048892

⑆ 1 1 3 1 9 3 5 3 2 ⑆ 5 0 0 5 ⑆ 9 7 8 6 2 0 1 2 8 9 ⑆

Deposit Ticket

8/19/13

Date: 8/19/13 Check your type of account below

Deposit to: Checking Money Market Account

Deposit to: Savings Certificate of Deposit
 Passbook Savings Market Rate Account

Checks and other items are returned for deposit subject to the applicable account rules and regulations. Deposits may not be available for immediate withdrawal.

Account Name: Mario Mata Lupolt Trust A.
 Address: 2406 Cedar Spring Rd

Sign here for cash received* (Not required for ATM deposits)

Account Number * 9786201289

* START BY OCT ACCOUNT NUMBER HELP

⑈ 856068445 ⑆5777⑆ 1028⑆

DOLLARS CENTS

Cash

Subtotal: 270.00
 *Less Cash Received
TOTAL \$ 270.00

WRITE NUMBERS IN SHADED BOXES

Transaction Receipt / Funds Availability Notice

CKG: DEPOSIT 08/09/13 17:03 FC#00148FA# 002 MF
 065-01 ACCT# XXXXXX289 \$270,000.00 ONL

Funds from your deposit/check/cashing transaction will be delayed. Reasons and funds availability schedule follow.

| | |
|-----------|--|
| \$250.00 | Available Today |
| \$99.800 | 08/10/13 Standard delay |
| \$169.950 | 08/13/13 Total check(s) deposited/cashed on this day exceed \$100,000. |

Thank you for banking with Citibank



X Customer Signature(s)

THE MOSER FAMILY TRUST
1508 OAK KNOLL
DALLAS, TX 75208

88-2323,
1119
1456407

MA 1132

DATE 8/8/13

PAY TO THE
ORDER OF

Mose A. Mose LLP 10LT Trust Acct | \$ 270,000.00
Two hundred seventy thousand and 00/100 DOLLARS



LONGVIEW BANK & TRUST

300 E. WHALEY, P.O. BOX 3188
LONGVIEW, TEXAS 75808
903-237-6500

MEMO _____

J. Moser _____

⑆111923238⑆ 1132 14 5640 7⑈

ENDORSE HERE

Citibank CBO Services 147
P.O. Box 769018
San Antonio, Texas 78245

001/R1/04F041

000
CITIBANK, N. A.

MARIO A. MATA IOLTA TRUST
ATTORNEY TRUST
2804 HUBBARD CIRCLE
AUSTIN TX 78746

Control Account:
9786201289
Statement Period
Aug 1 - Aug 31, 2013

CitiEscrow CONTROL ACCOUNT DETAIL FROM AUG 1, 2013 THRU AUG 31, 2013

CitiEscrow Control Checking

9786201289

Beginning Balance: \$1.74
Ending Balance: \$243,889.87

| Date | Description | Debits | Credits | Balance |
|-------|-----------------------------|------------------|-------------------|------------|
| 08/09 | DEPOSIT | | | 270,001.74 |
| 08/12 | WITHDRAWAL | 7,500.00 | 270,000.00 | 262,501.74 |
| 08/16 | WITHDRAWAL | 3,611.87 | | 258,889.87 |
| 08/21 | WITHDRAWAL | 7,500.00 | | 251,389.87 |
| 08/30 | WITHDRAWAL | 7,500.00 | | 243,889.87 |
| 08/30 | INTEREST EARNED | | 66.20 | 243,956.07 |
| 08/30 | INTEREST DEBIT | 66.20 | | 243,889.87 |
| | Total Debits/Credits | 26,178.07 | 270,066.20 | |

| Your CitiEscrow Control Checking Account Rates | | |
|--|-----------------|--------------------|
| For Balances of: | \$0 to \$99,999 | \$100,000 and over |
| 8/01 - 8/31 | 0.200% | 0.500% |

Average Balance Information

| | |
|---|------------|
| Average Ledger Balance this Statement Period | 190,476.25 |
| Average Collected Balance this Statement Period | 155,637.54 |
| Total Interest Earned Since Jan 1 | 88.18 |

(Purposely left blank)

CUSTOMER SERVICE INFORMATION

IF YOU HAVE QUESTIONS ON:

Escrow Deposit Account

YOU CAN CALL:

877-528-0990
(For Speech and Hearing
Impaired Customers Only
TDD: 800-945-0258)

YOU CAN WRITE:

CitiBusiness
100 Citibank Drive
San Antonio, TX 78245-9966

For change in address, call your account officer or visit your branch.

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Citibank CBO Services 147
P.O. Box 769018
San Antonio, Texas 78245

001/R1/04F041

000
CITIBANK, N. A.

MARIO A. MATA IOLTA TRUST
ATTORNEY TRUST
2804 HUBBARD CIRCLE
AUSTIN TX 78746

Control Account:
9786201289
Statement Period
Sep 1 - Sep 30, 2013

Page 1 of 3

CitiEscrow CONTROL ACCOUNT DETAIL FROM SEP 1, 2013 THRU SEP 30, 2013

CitiEscrow Control Checking

| | | | | |
|-------------|-----------------------------|---------------------------|----------------|----------------|
| 9786201289 | | Beginning Balance: | | \$243,889.87 |
| | | Ending Balance: | | \$226,389.87 |
| Date | Description | Debits | Credits | Balance |
| 09/04 | WITHDRAWAL | 7,500.00 | | 236,389.87 |
| 09/30 | WITHDRAWAL | 10,000.00 | | 226,389.87 |
| 09/30 | INTEREST EARNED | | 97.34 | 226,487.21 |
| 09/30 | INTEREST DEBIT | 97.34 | | 226,389.87 |
| | Total Debits/Credits | 17,597.34 | 97.34 | |

| Your CitiEscrow Control Checking Account Rates | | |
|--|-----------------|--------------------|
| For Balances of: | \$0 to \$99,999 | \$100,000 and over |
| 9/01 - 9/30 | 0.200% | 0.500% |

Average Balance Information

| | |
|---|------------|
| Average Ledger Balance this Statement Period | 236,806.53 |
| Average Collected Balance this Statement Period | 236,806.53 |
| Total Interest Earned Since Jan 1 | 185.52 |

(Purposely left blank)

CUSTOMER SERVICE INFORMATION

IF YOU HAVE QUESTIONS ON:

Escrow Deposit Account

YOU CAN CALL:

877-528-0990
(For Speech and Hearing
Impaired Customers Only
TDD: 800-945-0258)

YOU CAN WRITE:

CitiBusiness
100 Citibank Drive
San Antonio, TX 78245-9966

For change in address, call your account officer or visit your branch.

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Citibank CBO Services 147
P.O. Box 769018
San Antonio, Texas 78245

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CITIBANK, N. A.

MARIO A. MATA IOLTA TRUST
ATTORNEY TRUST
2804 HUBBARD CIRCLE
AUSTIN TX 78746

Control Account:
9786201289
Statement Period
Oct 1 - Oct 31, 2013

Page 1 of 3

CitiEscrow CONTROL ACCOUNT DETAIL FROM OCT 1, 2013 THRU OCT 31, 2013

CitiEscrow Control Checking

| | | | | |
|-------------------|-----------------------------|---------------------------|----------------|---------------------|
| 9786201289 | | Beginning Balance: | | \$226,389.87 |
| | | Ending Balance: | | \$206,389.87 |
| Date | Description | Debits | Credits | Balance |
| 10/07 | WITHDRAWAL | 7,500.00 | | 218,889.87 |
| 10/15 | WITHDRAWAL | 7,500.00 | | 211,389.87 |
| 10/30 | WITHDRAWAL | 5,000.00 | | 206,389.87 |
| 10/31 | INTEREST EARNED | | 91.71 | 206,481.58 |
| 10/31 | INTEREST DEBIT | 91.71 | | 206,389.87 |
| | Total Debits/Credits | 20,091.71 | 91.71 | |

| Your CitiEscrow Control Checking Account Rates | | |
|---|-----------------|--------------------|
| For Balances of: | \$0 to \$99,999 | \$100,000 and over |
| 10/01 - 10/31 | 0.200% | 0.500% |

Average Balance Information

| | |
|---|------------|
| Average Ledger Balance this Statement Period | 215,905.99 |
| Average Collected Balance this Statement Period | 215,905.99 |
| Total Interest Earned Since Jan 1 | 277.23 |

(Purposely left blank)

CUSTOMER SERVICE INFORMATION

IF YOU HAVE QUESTIONS ON:

Escrow Deposit Account

YOU CAN CALL:

877-528-0990
(For Speech and Hearing
Impaired Customers Only
TDD: 800-945-0258)

YOU CAN WRITE:

CitiBusiness
100 Citibank Drive
San Antonio, TX 78245-9966

For change in address, call your account officer or visit your branch.

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MARIO A. MATA IOLTA TRUST
ATTORNEY TRUST
2804 HUBBARD CIRCLE
AUSTIN TX 78746

Control Account:
9786201289
Statement Period
Nov 1 - Nov 30, 2013

CitiEscrow CONTROL ACCOUNT DETAIL FROM NOV 1, 2013 THRU NOV 30, 2013

CitiEscrow Control Checking

| | | | | |
|-------------------|-----------------------------|---------------------------|----------------|----------------|
| 9786201289 | | Beginning Balance: | | \$206,389.87 |
| | | Ending Balance: | | \$190,389.87 |
| Date | Description | Debits | Credits | Balance |
| 11/04 | WITHDRAWAL | 5,000.00 | | 201,389.87 |
| 11/20 | WITHDRAWAL | 7,500.00 | | 193,889.87 |
| 11/27 | WITHDRAWAL | 3,500.00 | | 190,389.87 |
| 11/29 | INTEREST EARNED | | 81.66 | 190,471.53 |
| 11/29 | INTEREST DEBIT | 81.66 | | 190,389.87 |
| | Total Debits/Credits | 16,081.66 | 81.66 | |

| Your CitiEscrow Control Checking Account Rates | | |
|---|------------------------|---------------------------|
| For Balances of: | \$0 to \$99,999 | \$100,000 and over |
| 11/01 - 11/30 | 0.200% | 0.500% |

Average Balance Information

| | |
|---|------------|
| Average Ledger Balance this Statement Period | 198,673.20 |
| Average Collected Balance this Statement Period | 198,673.20 |
| Total Interest Earned Since Jan 1 | 358.89 |

(Purposely left blank)

CUSTOMER SERVICE INFORMATION

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2804 HUBBARD CIRCLE
AUSTIN TX 78746

Control Account:
9786201289
Statement Period
Dec 1 - Dec 31, 2013

CitiEscrow CONTROL ACCOUNT DETAIL FROM DEC 1, 2013 THRU DEC 31, 2013

CitiEscrow Control Checking

| | | | | |
|-------------------|-----------------------------|---------------------------|----------------|---------------------|
| 9786201289 | | Beginning Balance: | | \$190,389.87 |
| | | Ending Balance: | | \$103,389.87 |
| Date | Description | Debits | Credits | Balance |
| 12/09 | WITHDRAWAL | 3,500.00 | | 186,889.87 |
| 12/13 | WITHDRAWAL | 3,500.00 | | 183,389.87 |
| 12/27 | WITHDRAWAL | 5,000.00 | | 178,389.87 |
| 12/30 | CHECK NO: 5065 | 75,000.00 | | 103,389.87 |
| 12/31 | INTEREST EARNED | | 76.46 | 103,466.33 |
| 12/31 | INTEREST DEBIT | 76.46 | | 103,389.87 |
| | Total Debits/Credits | 87,076.46 | 76.46 | |

| Your CitiEscrow Control Checking Account Rates | | |
|---|-----------------|--------------------|
| For Balances of: | \$0 to \$99,999 | \$100,000 and over |
| 12/01 - 12/31 | 0.200% | 0.500% |

Average Balance Information

| | |
|---|------------|
| Average Ledger Balance this Statement Period | 180,002.77 |
| Average Collected Balance this Statement Period | 180,002.77 |
| Total Interest Earned Since Jan 1 | 435.35 |

(Purposely left blank)

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Control Account:
 9786201289
 Statement Period
 Jan 1 - Jan 31, 2014

Page 1 of 3

CitiEscrow CONTROL ACCOUNT DETAIL FROM JAN 1, 2014 THRU JAN 31, 2014

CitiEscrow Control Checking

| | | | | |
|-------------|-----------------------------|---------------------------|----------------|----------------|
| 9786201289 | | Beginning Balance: | | \$103,389.87 |
| | | Ending Balance: | | \$78,798.00 |
| Date | Description | Debits | Credits | Balance |
| 01/03 | WITHDRAWAL | 3,591.87 | | 99,798.00 |
| 01/06 | WITHDRAWAL | 5,000.00 | | 94,798.00 |
| 01/13 | WITHDRAWAL | 5,000.00 | | 89,798.00 |
| 01/16 | WITHDRAWAL | 5,000.00 | | 84,798.00 |
| 01/31 | WITHDRAWAL | 6,000.00 | | 78,798.00 |
| 01/31 | INTEREST EARNED | | 16.99 | 78,814.99 |
| 01/31 | INTEREST DEBIT | 16.99 | | 78,798.00 |
| | Total Debits/Credits | 24,608.86 | 16.99 | |

| Your CitiEscrow Control Checking Account Rates | | |
|--|-----------------|--------------------|
| For Balances of: | \$0 to \$99,999 | \$100,000 and over |
| 1/01 - 1/31 | 0.200% | 0.500% |

Average Balance Information

| | |
|---|-----------|
| Average Ledger Balance this Statement Period | 89,997.47 |
| Average Collected Balance this Statement Period | 89,997.47 |
| Total Interest Earned Since Jan 1 | 16.99 |

(Purposely left blank)

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Control Account:
9786201289
Statement Period
Feb 1 - Feb 28, 2014

Page 1 of 3

CitiEscrow CONTROL ACCOUNT DETAIL FROM FEB 1, 2014 THRU FEB 28, 2014

| CitiEscrow Control Checking | | | | |
|-----------------------------|-----------------------------|--------------------|--------------|-------------|
| 9786201289 | | Beginning Balance: | | \$78,798.00 |
| | | Ending Balance: | | \$63,298.00 |
| Date | Description | Debits | Credits | Balance |
| 02/05 | WITHDRAWAL | 3,500.00 | | 75,298.00 |
| 02/14 | WITHDRAWAL | 3,500.00 | | 71,798.00 |
| 02/21 | WITHDRAWAL | 3,500.00 | | 68,298.00 |
| 02/28 | WITHDRAWAL | 5,000.00 | | 63,298.00 |
| 02/28 | INTEREST EARNED | | 11.16 | 63,309.16 |
| 02/28 | INTEREST DEBIT | 11.16 | | 63,298.00 |
| | Total Debits/Credits | 15,511.16 | 11.16 | |

| Your CitiEscrow Control Checking Account Rates | | |
|--|-----------------|--------------------|
| For Balances of: | \$0 to \$99,999 | \$100,000 and over |
| 2/01 - 2/28 | 0.200% | 0.500% |

| Average Balance Information | |
|---|-----------|
| Average Ledger Balance this Statement Period | 72,744.42 |
| Average Collected Balance this Statement Period | 72,744.42 |
| Total Interest Earned Since Jan 1 | 28.15 |

(Purposely left blank)

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Control Account:
9786201289
Statement Period
Mar 1 - Mar 31, 2014

CitiEscrow CONTROL ACCOUNT DETAIL FROM MAR 1, 2014 THRU MAR 31, 2014

| CitiEscrow Control Checking | | | | |
|-----------------------------|-----------------------------|--------------------|-------------|-----------|
| 9786201289 | | Beginning Balance: | \$63,298.00 | |
| | | Ending Balance: | \$44,798.00 | |
| Date | Description | Debits | Credits | Balance |
| 03/06 | WITHDRAWAL | 5,000.00 | | 58,298.00 |
| 03/13 | WITHDRAWAL | 5,000.00 | | 53,298.00 |
| 03/18 | WITHDRAWAL | 3,500.00 | | 49,798.00 |
| 03/31 | WITHDRAWAL | 5,000.00 | | 44,798.00 |
| 03/31 | INTEREST EARNED | | 9.22 | 44,807.22 |
| 03/31 | INTEREST DEBIT | 9.22 | | 44,798.00 |
| | Total Debits/Credits | 18,509.22 | 9.22 | |

| Your CitiEscrow Control Checking Account Rates | | |
|--|-----------------|--------------------|
| For Balances of: | \$0 to \$99,999 | \$100,000 and over |
| 3/01 - 3/31 | 0.200% | 0.500% |

Average Balance Information

| | |
|---|-----------|
| Average Ledger Balance this Statement Period | 54,298.00 |
| Average Collected Balance this Statement Period | 54,298.00 |
| Total Interest Earned Since Jan 1 | 37.37 |

(Purposely left blank)

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Control Account:
9786201289
Statement Period
Apr 1 - Apr 30, 2014

Page 1 of 3

CitiEscrow CONTROL ACCOUNT DETAIL FROM APR 1, 2014 THRU APR 30, 2014

| CitiEscrow Control Checking | | | | |
|-----------------------------|-----------------------------|--------------------|-------------|-------------|
| 9786201289 | | Beginning Balance: | | \$44,798.00 |
| | | Ending Balance: | | \$32,298.00 |
| Date | Description | Debits | Credits | Balance |
| 04/04 | WITHDRAWAL | 7,500.00 | | 37,298.00 |
| 04/23 | WITHDRAWAL | 5,000.00 | | 32,298.00 |
| 04/30 | INTEREST EARNED | | 6.04 | 32,304.04 |
| 04/30 | INTEREST DEBIT | 6.04 | | 32,298.00 |
| | Total Debits/Credits | 12,506.04 | 6.04 | |

| Your CitiEscrow Control Checking Account Rates | | |
|--|-----------------|--------------------|
| For Balances of: | \$0 to \$99,999 | \$100,000 and over |
| 4/01 - 4/30 | 0.200% | 0.500% |

Average Balance Information

| | |
|---|-----------|
| Average Ledger Balance this Statement Period | 36,714.66 |
| Average Collected Balance this Statement Period | 36,714.66 |
| Total Interest Earned Since Jan 1 | 43.41 |

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Control Account:
9786201289
Statement Period
May 1 - May 31, 2014

CitiEscrow CONTROL ACCOUNT DETAIL FROM MAY 1, 2014 THRU MAY 31, 2014

CitiEscrow Control Checking

| | | | | |
|-------------|-----------------------------|---------------------------|----------------|----------------|
| 9786201289 | | Beginning Balance: | | \$32,298.00 |
| | | Ending Balance: | | \$18,798.00 |
| Date | Description | Debits | Credits | Balance |
| 05/02 | WITHDRAWAL | 5,000.00 | | 27,298.00 |
| 05/06 | WITHDRAWAL | 5,000.00 | | 22,298.00 |
| 05/27 | WITHDRAWAL | 3,500.00 | | 18,798.00 |
| 05/30 | INTEREST EARNED | | 3.86 | 18,801.86 |
| 05/30 | INTEREST DEBIT | 3.86 | | 18,798.00 |
| | Total Debits/Credits | 13,503.86 | 3.86 | |

| Your CitiEscrow Control Checking Account Rates | | |
|--|-----------------|--------------------|
| For Balances of: | \$0 to \$99,999 | \$100,000 and over |
| 5/01 - 5/31 | 0.200% | 0.500% |

Average Balance Information

| | |
|---|-----------|
| Average Ledger Balance this Statement Period | 22,701.22 |
| Average Collected Balance this Statement Period | 22,701.22 |
| Total Interest Earned Since Jan 1 | 47.27 |

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Control Account:
9786201289
Statement Period
Jun 1 - Jun 30, 2014

CitiEscrow CONTROL ACCOUNT DETAIL FROM JUN 1, 2014 THRU JUN 30, 2014

CitiEscrow Control Checking

| 9786201289 | | Beginning Balance: | \$18,798.00 | |
|------------|-----------------------------|--------------------|-------------|-----------|
| | | Ending Balance: | \$3,298.00 | |
| Date | Description | Debits | Credits | Balance |
| 06/02 | WITHDRAWAL | 3,500.00 | | 15,298.00 |
| 06/04 | WITHDRAWAL | 3,500.00 | | 11,798.00 |
| 06/09 | WITHDRAWAL | 3,500.00 | | 8,298.00 |
| 06/16 | CHECK NO: 5096 | 5,000.00 | | 3,298.00 |
| 06/30 | INTEREST EARNED | | 1.18 | 3,299.18 |
| 06/30 | INTEREST DEBIT | 1.18 | | 3,298.00 |
| | Total Debits/Credits | 15,501.18 | 1.18 | |

| Your CitiEscrow Control Checking Account Rates | | |
|--|-----------------|--------------------|
| For Balances of: | \$0 to \$99,999 | \$100,000 and over |
| 6/01 - 6/30 | 0.200% | 0.500% |

Average Balance Information

| | |
|---|----------|
| Average Ledger Balance this Statement Period | 7,198.00 |
| Average Collected Balance this Statement Period | 7,198.00 |
| Total Interest Earned Since Jan 1 | 48.45 |

(Purposely left blank)

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Control Account:
9786201289
Statement Period
Jul 1 - Jul 31, 2014

Page 1 of 3

CitiEscrow CONTROL ACCOUNT DETAIL FROM JUL 1, 2014 THRU JUL 31, 2014

CitiEscrow Control Checking

| | | | | |
|-------------|-----------------------------|---------------------------|----------------|----------------|
| 9786201289 | | Beginning Balance: | | \$3,298.00 |
| | | Ending Balance: | | \$298.00 |
| Date | Description | Debits | Credits | Balance |
| 07/02 | CHECK NO: 5097 | 3,000.00 | | 298.00 |
| 07/31 | INTEREST EARNED | | 0.07 | 298.07 |
| 07/31 | INTEREST DEBIT | 0.07 | | 298.00 |
| | Total Debits/Credits | 3,000.07 | 0.07 | |

| Your CitiEscrow Control Checking Account Rates | | |
|--|-----------------|--------------------|
| For Balances of: | \$0 to \$99,999 | \$100,000 and over |
| 7/01 - 7/31 | 0.200% | 0.500% |

Average Balance Information

| | |
|---|--------|
| Average Ledger Balance this Statement Period | 394.77 |
| Average Collected Balance this Statement Period | 394.77 |
| Total Interest Earned Since Jan 1 | 48.52 |

(Purposely left blank)

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Control Account:
 9786201289
 Statement Period
 Aug 1 - Aug 31, 2014

CitiEscrow CONTROL ACCOUNT DETAIL FROM AUG 1, 2014 THRU AUG 31, 2014

CitiEscrow Control Checking

| | | | | |
|-------------|-----------------------------|---------------------------|----------------|----------------|
| 9786201289 | | Beginning Balance: | | \$298.00 |
| | | Ending Balance: | | \$298.00 |
| Date | Description | Debits | Credits | Balance |
| 08/29 | INTEREST EARNED | | 0.05 | 298.05 |
| 08/29 | INTEREST DEBIT | 0.05 | | 298.00 |
| | Total Debits/Credits | 0.05 | 0.05 | |

| Your CitiEscrow Control Checking Account Rates | | |
|--|-----------------|--------------------|
| For Balances of: | \$0 to \$99,999 | \$100,000 and over |
| 8/01 - 8/31 | 0.200% | 0.500% |

Average Balance Information

| | |
|---|--------|
| Average Ledger Balance this Statement Period | 298.00 |
| Average Collected Balance this Statement Period | 298.00 |
| Total Interest Earned Since Jan 1 | 48.57 |

(Purposely left blank)

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Control Account:
9786201289
Statement Period
Sep 1 - Sep 30, 2014

CitiEscrow CONTROL ACCOUNT DETAIL FROM SEP 1, 2014 THRU SEP 30, 2014

CitiEscrow Control Checking

| | | | | |
|-------------|-----------------------------|---------------------------|----------------|----------------|
| 9786201289 | | Beginning Balance: | | \$298.00 |
| | | Ending Balance: | | \$298.00 |
| Date | Description | Debits | Credits | Balance |
| 09/30 | INTEREST EARNED | | 0.05 | 298.05 |
| 09/30 | INTEREST DEBIT | 0.05 | | 298.00 |
| | Total Debits/Credits | 0.05 | 0.05 | |

| Your CitiEscrow Control Checking Account Rates | | |
|---|-----------------|--------------------|
| For Balances of: | \$0 to \$99,999 | \$100,000 and over |
| 9/01 - 9/30 | 0.200% | 0.500% |

Average Balance Information

| | |
|---|--------|
| Average Ledger Balance this Statement Period | 298.00 |
| Average Collected Balance this Statement Period | 298.00 |
| Total Interest Earned Since Jan 1 | 48.62 |

(Purposely left blank)

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AUSTIN TX 78746

Control Account:
9786201289
Statement Period
Oct 1 - Oct 31, 2014

Page 1 of 3

CitiEscrow CONTROL ACCOUNT DETAIL FROM OCT 1, 2014 THRU OCT 31, 2014

CitiEscrow Control Checking

| | | | | |
|-------------|-----------------------------|---------------------------|----------------|----------------|
| 9786201289 | | Beginning Balance: | | \$298.00 |
| | | Ending Balance: | | \$298.00 |
| Date | Description | Debits | Credits | Balance |
| 10/31 | INTEREST EARNED | | 0.05 | 298.05 |
| 10/31 | INTEREST DEBIT | 0.05 | | 298.00 |
| | Total Debits/Credits | 0.05 | 0.05 | |

| Your CitiEscrow Control Checking Account Rates | | |
|---|-----------------|--------------------|
| For Balances of: | \$0 to \$99,999 | \$100,000 and over |
| 10/01 - 10/31 | 0.200% | 0.500% |

Average Balance Information

| | |
|---|--------|
| Average Ledger Balance this Statement Period | 298.00 |
| Average Collected Balance this Statement Period | 298.00 |
| Total Interest Earned Since Jan 1 | 48.67 |

(Purposely left blank)

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Control Account:
9786201289
Statement Period
Nov 1 - Nov 30, 2014

Page 1 of 3

CitiEscrow CONTROL ACCOUNT DETAIL FROM NOV 1, 2014 THRU NOV 30, 2014

CitiEscrow Control Checking

| | | | | |
|-------------|-----------------------------|---------------------------|----------------|----------------|
| 9786201289 | | Beginning Balance: | | \$298.00 |
| | | Ending Balance: | | \$23.00 |
| Date | Description | Debits | Credits | Balance |
| 11/24 | CHECK NO: 5035 | 275.00 | | 23.00 |
| 11/28 | INTEREST EARNED | | 0.04 | 23.04 |
| 11/28 | INTEREST DEBIT | 0.04 | | 23.00 |
| | Total Debits/Credits | 275.04 | 0.04 | |

| Your CitiEscrow Control Checking Account Rates | | |
|--|-----------------|--------------------|
| For Balances of: | \$0 to \$99,999 | \$100,000 and over |
| 11/01 - 11/30 | 0.200% | 0.500% |

Average Balance Information

| | |
|---|--------|
| Average Ledger Balance this Statement Period | 233.83 |
| Average Collected Balance this Statement Period | 233.83 |
| Total Interest Earned Since Jan 1 | 48.71 |

(Purposely left blank)

CUSTOMER SERVICE INFORMATION

IF YOU HAVE QUESTIONS ON:

Escrow Deposit Account

YOU CAN CALL:

877-528-0990
(For Speech and Hearing
Impaired Customers Only
TDD: 800-945-0258)

YOU CAN WRITE:

CitiBusiness
100 Citibank Drive
San Antonio, TX 78245-9966

For change in address, call your account officer or visit your branch.

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Citibank with Arc Design and CitiBusiness are registered service marks of Citigroup Inc.

Citibank CBO Services 147
P.O. Box 769018
San Antonio, Texas 78245

001/R1/20F041

002
CITIBANK, N. A.

MARIO A. MATA IOLTA TRUST
ATTORNEY TRUST
2804 HUBBARD CIRCLE
AUSTIN TX 78746

Control Account:
9786201289
Statement Period
Dec 1 - Dec 31, 2014

Page 1 of 3

CitiEscrow CONTROL ACCOUNT DETAIL FROM DEC 1, 2014 THRU DEC 31, 2014

| CitiEscrow Control Checking | | | | | |
|-----------------------------|----------------------|--|--------------------|---------|---------|
| 9786201289 | | | | | |
| | | | Beginning Balance: | \$23.00 | |
| | | | Ending Balance: | \$0.00 | |
| Date | Description | | Debits | Credits | Balance |
| 12/08 | CHECK NO: 5099 | | 20.00 | | 3.00 |
| 12/31 | CHECK NO: 5005 | | 3.00 | | 0.00 |
| | Total Debits/Credits | | 23.00 | 0.00 | |

| Your CitiEscrow Control Checking Account Rates | | |
|--|-----------------|--------------------|
| For Balances of: | \$0 to \$99,999 | \$100,000 and over |
| 12/01 - 12/31 | 0.200% | 0.500% |

| Average Balance Information | |
|---|-------|
| Average Ledger Balance this Statement Period | 7.41 |
| Average Collected Balance this Statement Period | 7.41 |
| Total Interest Earned Since Jan 1 | 48.71 |

(Purposely left blank)

CUSTOMER SERVICE INFORMATION

IF YOU HAVE QUESTIONS ON:

Escrow Deposit Account

YOU CAN CALL:

877-528-0990
(For Speech and Hearing
Impaired Customers Only
TDD: 800-945-0258)

YOU CAN WRITE:

CitiBusiness
100 Citibank Drive
San Antonio, TX 78245-9966

For change in address, call your account officer or visit your branch.

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P.O. Box 769018
San Antonio, Texas 78245

000/R1/04F041

000
CITIBANK, N. A.

MARIO A. MATA IOLTA TRUST
ATTORNEY TRUST
2804 HUBBARD CIRCLE
AUSTIN TX 78746

Control Account:
9786201289
Statement Period
Jan 1 - Jan 31, 2015

Page 1 of 1

CitiEscrow CONTROL ACCOUNT DETAIL FROM JAN 1, 2015 THRU JAN 31, 2015

CitiEscrow Control Checking

9786201289 **Beginning Balance:** \$0.00
Ending Balance: \$0.00

| Your CitiEscrow Control Checking Account Rates | | |
|--|-----------------|--------------------|
| For Balances of: | \$0 to \$99,999 | \$100,000 and over |
| 1/01 - 1/31 | 0.200% | 0.500% |

Average Balance Information

Average Ledger Balance this Statement Period 0.00
Average Collected Balance this Statement Period 0.00

CUSTOMER SERVICE INFORMATION

IF YOU HAVE QUESTIONS ON:

Escrow Deposit Account

YOU CAN CALL:

877-528-0990
(For Speech and Hearing
Impaired Customers Only
TDD: 800-945-0258)

YOU CAN WRITE:

CitiBusiness
100 Citibank Drive
San Antonio, TX 78245-9966

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San Antonio, Texas 78245

000/R1/04F041

000
CITIBANK, N. A.

MARIO A. MATA IOLTA TRUST
ATTORNEY TRUST
2804 HUBBARD CIRCLE
AUSTIN TX 78746

Control Account:
9786201289
Statement Period
Feb 1 - Feb 28, 2015

Page 1 of 1

CitiEscrow CONTROL ACCOUNT DETAIL FROM FEB 1, 2015 THRU FEB 28, 2015

CitiEscrow Control Checking

9786201289 **Beginning Balance:** \$0.00
Ending Balance: \$0.00

| Your CitiEscrow Control Checking Account Rates | | |
|--|-----------------|--------------------|
| For Balances of: | \$0 to \$99,999 | \$100,000 and over |
| 2/01 - 2/28 | 0.200% | 0.500% |

Average Balance Information

Average Ledger Balance this Statement Period 0.00
Average Collected Balance this Statement Period 0.00

CUSTOMER SERVICE INFORMATION

IF YOU HAVE QUESTIONS ON:

Escrow Deposit Account

YOU CAN CALL:

877-528-0990
(For Speech and Hearing
Impaired Customers Only
TDD: 800-945-0258)

YOU CAN WRITE:

CitiBusiness
100 Citibank Drive
San Antonio, TX 78245-9966

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San Antonio, Texas 78245

000/R1/04F041

000
CITIBANK, N. A.

MARIO A. MATA IOLTA TRUST
ATTORNEY TRUST
2804 HUBBARD CIRCLE
AUSTIN TX 78746

Control Account:
9786201289
Statement Period
Mar 1 - Mar 20, 2015

Page 1 of 1

CitiEscrow CONTROL ACCOUNT DETAIL FROM MAR 1, 2015 THRU MAR 20, 2015

CitiEscrow Control Checking

9786201289 **Beginning Balance:** \$0.00
Ending Balance: \$0.00

| Your CitiEscrow Control Checking Account Rates | | |
|--|-----------------|--------------------|
| For Balances of: | \$0 to \$99,999 | \$100,000 and over |
| 3/01 - 3/20 | 0.200% | 0.500% |

Average Balance Information

Average Ledger Balance this Statement Period 0.00
Average Collected Balance this Statement Period 0.00

CUSTOMER SERVICE INFORMATION

IF YOU HAVE QUESTIONS ON:

Escrow Deposit Account

YOU CAN CALL:

877-528-0990
(For Speech and Hearing
Impaired Customers Only
TDD: 800-945-0258)

YOU CAN WRITE:

CitiBusiness
100 Citibank Drive
San Antonio, TX 78245-9966

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San Antonio, Texas 78245

000/R1/04/F041

000
CITIBANK, N. A.

MARIO A. MATA IOLTA TRUST
ATTORNEY TRUST
2804 HUBBARD CIRCLE
AUSTIN TX 78746

Control Account:
9786201289
Statement Period
Mar 21 - Mar 31, 2015

Page 1 of 1

CitiEscrow CONTROL ACCOUNT DETAIL FROM MAR 21, 2015 THRU MAR 31, 2015

CitiEscrow Control Checking

9786201289 Beginning Balance: \$0.00
Ending Balance: \$0.00

| Your CitiEscrow Control Checking Account Rates | | |
|--|-----------------|--------------------|
| For Balances of: | \$0 to \$99,999 | \$100,000 and over |
| 3/21 - 3/31 | 0.200% | 0.500% |

Average Balance Information

Average Ledger Balance this Statement Period 0.00
Average Collected Balance this Statement Period 0.00

CUSTOMER SERVICE INFORMATION

IF YOU HAVE QUESTIONS ON:

Escrow Deposit Account

YOU CAN CALL:

877-528-0990
(For Speech and Hearing
Impaired Customers Only
TDD: 800-945-0258)

YOU CAN WRITE:

CitiBusiness
100 Citibank Drive
San Antonio, TX 78245-9966

For change in address, call your account officer or visit your branch.

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BUSINESS RECORDS DECLARATION

I, Darlene Sherwood, am over the age of eighteen and I declare that I am employed by Wells Fargo Bank, N.A. ("Wells Fargo") in the Summons and Subpoenas Department and am a duly authorized and qualified witness to certify the authenticity of the attached documents and/or information produced pursuant to the legal order. Wells Fargo reserves the right to designate another Custodian as it deems appropriate in the event an actual appearance is required concerning the records produced. I certify that the attached records:

- A) Were prepared by personnel of Wells Fargo in the ordinary course of business at or near the time of the acts, conditions or events described in the records; and
- B) It was the ordinary course of business for Wells Fargo employees or representatives with knowledge of the act, event, or condition recorded to make the record or transmit the information therein to be included in such record.
- C) The records attached are true and correct copies of the business records as maintained by Wells Fargo.

The records produced are described as follows:

Case number: 21553526

| Document Type | Account # | Paper Count | Total Copies |
|--------------------------------|------------|-------------|--------------|
| Checks/Debits | XXXXXX1950 | 10 | 10 |
| Signature Cards | XXXXXX1950 | 4 | 4 |
| Statements | XXXXXX1950 | 40 | 40 |
| Deposits with offsets | XXXXXX1950 | 10 | 10 |
| Total Copies Delivered: | | | 64 |

Additional comments: Unable to provide requested document associated to check number 5065 with the information provided

Additional comments:

The bank's standard record retention period is seven years.

I declare under penalty of perjury under the law(s) of the state of Texas that the foregoing is true and correct according to my knowledge and belief. Executed on this 26th day of April, 2019, in the City of Tempe, State of ARIZONA.



Subpoena Processing Representative

Image copies of requested transactions may be missing for the following reasons: Items not imaged, corrupted, blank, damaged, destroyed or not available, item(s) piggy-backed, electronic transaction(s). If the legal order requests certain types of loan information and other non-depository information, it was forwarded to other departments and they will respond to you directly.



Deposit/Depósito:

(Check One) Checking/Cuenta de Cheques Savings/Ahorros Money Market Access Command

Account Number/
Número de Cuenta

* 757411950

Date/Fecha 12-19-2013

Cash/
Efectivo

Total Checks
(Please total from other side)
Total de cheques

Subtotal

Minus cash received/
Menos efectivo recibido

Total \$

200.00

200.00

Please print Name (Letra de molde) Nombre
Mario A. Mark Tolja
Please print Street Address, City, State, Zip Code/Letra del molde Dirección, Ciudad, Estado, Código Postal

Deposits may not be available for immediate withdrawal. See Delayed posting information on reverse.

Es posible que su depósito no esté disponible para su retiro inmediato. Ver la reversa información de transferencias atrasadas.

Please sign in teller's presence for cash received. Favor de firmar en presencia del cajero por el efectivo recibido. Two forms of ID may be required for cash back transactions. Se requieren dos tipos de identificación para las transacciones en las que se devuelve efectivo.

X

Bank Use Only (When SVT is Not Available) TLF0275 (08/11) version 13252407

Customer Id Exp date Token Verified (✓) Approval

⑈656466707⑈ ⑆500000377⑆

Incorrect use of this form could result in a delay of crediting your deposit or clearing your deposit to the correct account. Please ask a teller for help in completing the form if you have questions.

| CHECKS/ PLEASE LIST IN INKS, SEPARATELY BY BANK NO | AMOUNT |
|--|--------|
| 1 | |
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| 94 | |
| 95 | |
| 96 | |
| 97 | |
| 98 | |
| 99 | |
| 100 | |
| TOTAL \$ | |

1147505731

REQUEST 0000757719600000 200.00
ROLL ECIA 20131219 000001147505731+
JOB ECIA E ACCT 8080007574171950
REQUESTOR TBSMITH
21553526 04/26/2019 Research 21592026

Summons and Subpoenas Department
S4001-01F
Phoenix AZ 85038

Research Notice

Research Code:

CASH IN

ELECTRONIC TRANSACTION - PAPER COPY NOT AVAILABLE.

REQUEST 0000757719600000 200.00
ROLL ECLA 20131219 000001147505732+
JOB ECLA E ACCT 0200335810001024
REQUESTOR TBSMITH
21553526 04/26/2019 Research 21592026

Summons and Subpoenas Department
S4001-01F
Phoenix AZ 85038

Deposit/Deposito:

(Check One) Checking/Cuenta de Cheques Savings/Ahorros Money Market Access Command



Account Number/
Cuenta de Ahorro

* 7574171950

Date/Fecha 27 December 2013

Cash/
Efectivo

Total Checks (include total for cash back)
Total de cheques 75000.00

Subtotal 75000.00

Minus cash received/
Menos efectivo recado

Total \$ 75000.00

Please print Name / Nombre
MARIO A. MATA IOLTA

Please print Street Address, City, State Zip Code / Calle y del moide, Ciudad, Estado, Código Postal
500 E 4th Street, Suite 353, Av. Tempe, AZ 85281

Deposits may not be available for immediate withdrawal. See Delayed posting information on reverse.

Es posible que su dinero no este disponible para su retiro inmediato. Ver información de transacciones atrasadas.

Please sign in teller's presence for cash received / Favor de firmar en presencia del cajero por el efectivo.
Two forms of ID may be required for cash back transactions. / Se requieren dos formas de identificación para las transacciones en las que se devuelve efectivo.

X

Bank Use Only (When SVT is Not Available) ILR5975 (08/11) WFO114 13352407

| | | | |
|-------------|----------|---|----------|
| Customer Id | Exp date | Token Verified (✓) <input type="checkbox"/> | Approval |
|-------------|----------|---|----------|

⑈ 656466829⑈ ⑆ 500000377⑆

Wells Fargo Confidential When Completed


| CHECKS/ CHEQUES | AMOUNT |
|--------------------------------|------------|
| TOTAL CHECKS/ TOTAL DE CHEQUES | 4787045759 |
| CASH COUNT FOR BANK USE | |
| TOTAL \$ | |

REQUEST 0000757719600000 75000.00
 ROLL ECLIA 20131227 000004787045759+
 JOB ECLIA E ACCT 8080007574171950
 REQUESTOR TBSMITH
 21553526 04/26/2019 Research 21592026

Summons and Subpoenas Department
 S4001-01F
 Phoenix AZ 85038

MARIO A. MATA IOLTA TRUST 88-9353 147
1131 5065
 100 CRESCENT CT., STE. 700
 DALLAS, TX 75201

Type _____ Open
 Issue Exp. _____ AD _____ DATE 17 December 2013
 Tkn _____ Dep. Opt. _____

PAY TO THE ORDER OF MARIO A. MATA IOLTA \$ 75,000.⁰⁰
Seventy Five Thousand & /100 DOLLARS 

citibank
 CITIBANK, N.A. BR #147
 2404 CEDAR SPRINGS RD
 DALLAS, TX 75201

MEMO IOLTA TRUST ACCOUNT TRANSFER MP

⑆ 1 1 3 1 9 3 5 3 2 ⑆ 5 0 6 5 ⑆ 9 7 8 6 2 0 1 2 8 9 ⑆

4787045760

DO NOT WRITE, STAMP OR SIGN ABOVE THIS LINE

ENDORSE HERE
Mario A Mata, IOLTA

REQUEST 0000757719600000 75000.00
 ROLL ECIA 20131227 000004787045760+
 JOB ECIA E ACCT 0000009786201289
 REQUESTOR TBSMITH
 21553526 04/26/2019 Research 21592026

Summons and Subpoenas Department
 S4001-01F
 Phoenix AZ 85038

DEPOSIT TICKET

37-65/1119 1169
7574171950

MARIO A. MATA IOLTA
500 E 4th St., Suite 353
Austin, TX 78701

CASH *Redepo*
CHECKS *Acct. Trust Ltd*
TOTAL

87 762.43

DATE Jan 27, 2014

DEPOSITS MAY NOT BE AVAILABLE FOR IMMEDIATE WITHDRAWAL

CHECKS OR TOTAL FROM OTHER SIDE

SIGN HERE IF CASH RECEIVED FROM DEPOSIT

TOTAL ITEMS

SUB TOTAL -

87 762.43

LESS CASH -

NET DEPOSIT \$

87 762.43



Wells Fargo Bank, N.A.
Texas
wellsfargo.com

DO NOT USE DEPOSIT TICKET NUMBER AS A FOR AUTOMATIC PAYMENTS (E.G. SAVED CHECKS)

⑆580800393⑆ 7574171950⑆

| AMOUNT | DATE | DESCRIPTION | INITIALS |
|----------|------|-------------|----------|
| X 15 | | | |
| X 25 | | | |
| X 55 | | | |
| X 105 | | | |
| X 205 | | | |
| X 505 | | | |
| X 1005 | | | |
| TOTAL \$ | | | |

THIS TOTAL MUST BE ENTERED ON OTHER SIDE

4787048950

CHECKS LIST SEPARATELY

ADDITIONAL CHECK LISTING SPACE

DOLLARS CENTS

REQUEST 0000757719600000 87762.43
ROLL ECLIA 20140128 000004787048950+
JOB ECLIA E ACCT 8080007574171950
REQUESTOR TBSMITH
21553526 04/26/2019 Research 21592026

Summons and Subpoenas Department
S4001-01F
Phoenix AZ 85038

PRINTED ON LINEMARK PAPER - HOLD TO LIGHT TO VIEW FOR ADDITIONAL SECURITY FEATURES - SEE BACK

08110 Office AU # 11-24 121(8)

CASHIER'S CHECK

0811004799

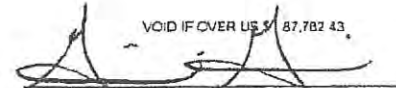
Operator ID b000778 b001777

September 17, 2008

PAY TO THE ORDER OF ***ASIATRUST LIMITED***
RE: AGUILAR TRUST

Eighty-seven thousand seven hundred sixty-two dollars and 43 cents **\$87,762.43**

WELLS FARGO BANK, N.A.
400 W 15TH ST
AUSTIN, TX 78701
FOR INQUIRIES CALL (480) 394-3122

VOID IF COVER US \$ 87,762.43

AUTHORIZED SIGNATURE


Security Features Included Details on Back

⑈08⑆⑆00④799⑈ ⑆⑆2⑆000248⑆486⑆⑆505709⑈

0009420395

[Faint, illegible text, likely bleed-through from the reverse side of the document]

4787048951

Not Used For Historical Purposes

WELLS FARGO BANK, N.A.
400 W 15TH ST
AUSTIN, TX 78701

REQUEST 0000757719600000 87762.43
ROLL ECLA 20140128 000004787048951+
JOB ECLA E ACCT 1820004861505709
REQUESTOR TBSMITH
21553526 04/26/2019 Research 21592026

Summons and Subpoenas Department
S4001-01F
Phoenix AZ 85038

DEPOSIT TICKET

37-65/1119 1169
7574171950

MARIO A. MATA IOLTA
500 E 4th St., Suite 353
Austin, TX 78701

CASH —
CHECKS ALL 100's

80.00

DATE 2 JULY 2014

DEPOSITS MAY NOT BE AVAILABLE FOR IMMEDIATE WITHDRAWAL

CHECKS OR TOTAL FROM OTHER SIDE —

SIGN HERE IF CASH RECEIVED FROM DEPOSIT

TOTAL ITEMS SUB TOTAL —

80.00



Wells Fargo Bank, N.A.
Texas
wellsfargo.com

LESS CASH —

NET DEPOSIT \$

80.00

DO NOT USE DEPOSIT SLIP FOR ROUTING WITH AUTOMATIC PAYMENTS OR VOIDED CHECK

⑆580800393⑆ 7574171950⑈

| | | |
|----------|---|------|
| | X | 15 |
| | X | 25 |
| | X | 55 |
| | X | 105 |
| | X | 205 |
| | X | 505 |
| | X | 1005 |
| TOTAL \$ | | |

THIS TOTAL MUST BE ENTERED ON OTHER SIDE

4786874824

CHECKS LIST SEPARATELY

ADDITIONAL CHECK LISTING SPACE

DOLLARS CENTS

REQUEST 0000757719600000 80.00
ROLL ECLIA 20140702 000004786874824+
JOB ECLIA E ACCT 8080007574171950
REQUESTOR TBSMITH
21553526 04/26/2019 Research 21592026

Summons and Subpoenas Department
S4001-01F
Phoenix AZ 85038

MARIO A. MATA, PLLC
600 E 4TH ST STE 353
AUSTIN, TX 78701-3720

1067

37-65/119 1178
8818254842

DATE 2 July 2014

PAY TO THE ORDER OF

MARIO A MATA IOLTA

\$ 80.⁰⁰

Eighty & 00/100

DOLLARS



Wells Fargo Bank, N.A.
Texas
wellsfargo.com

FOR Reimburse IDATA Debt for Check Expenses

⑈0000001067⑈ ⑆111900659⑆ 8818254842⑈

4786874825

PAY TO THE ORDER OF
WELLS FARGO BANK, N.A.
111900659
FOR DEPOSIT ONLY
MARIO A. MATA IOLTA
7574171950

REQUEST 0000757719600000 80.00
ROLL ECLIA 20140702 000004786874825+
JOB ECLIA E ACCT 8080008818254842
REQUESTOR TBSMITH
21553526 04/26/2019 Research 21592026

Summons and Subpoenas Department
S4001-01F
Phoenix AZ 85038

DEPOSIT TICKET

37-65/1119 1169
7574171950

MARIO A. MATA IOLTA
500 E 4th St., Suite 353
Austin, TX 78701

CASH -
CHECKS \$5035
TOTAL ITEMS

27500

DATE 21 November 2019
DEPOSITS MAY NOT BE AVAILABLE FOR IMMEDIATE WITHDRAWAL

CHECKS OR TOTAL FROM OTHER SIDE -

SUB TOTAL -

275.00

LESS CASH -

NET DEPOSIT \$

275.00

SIGN HERE IF CASH RECEIVED FROM DEPOSIT



Wells Fargo Bank, N.A.
Texas
wellsfargo.com

DO NOT USE DEPOSIT TICKET PRINTING FOR AUTOMATIC PAYMENTS. USE PAPER CHECKS.

⑆580800393⑆ 7574171950⑈

| AMOUNT | CHECK NO. | DATE | INITIALS |
|----------|-----------|------|----------|
| X | 18 | | |
| X | 25 | | |
| X | 55 | | |
| X | 105 | | |
| X | 205 | | |
| X | 505 | | |
| X | 1005 | | |
| TOTAL \$ | | | |

THIS TOTAL MUST BE ENTERED ON OTHER SIDE

4786857756

CHECKS LIST SEPARATELY

DOLLARS

CENTS

ADDITIONAL CHECK LISTING SPACE

REQUEST 0000757719600000 275.00
ROLL ECIA 20141121 000004786857756+
JOB ECIA E ACCT 8080007574171950
REQUESTOR TBSMITH
21553526 04/26/2019 Research 21592026

Sunmons and Subpoenas Department
S4001-01F
Phoenix AZ 85038

MARIO A. MATA IOLTA TRUST
100 CRESCENT CT., STE. 700
DALLAS, TX 75201

88-9353 147
1131

5035


DATE 21 Nov 2014

PAY TO THE
ORDER OF

Mario A. Mata Iolca TRUST

\$ 275.00

Two Hundred Seventy Five + ⁰⁰/₁₀₀

DOLLARS  Security Features
Put them
Under the Glass

citibank

CITIBANK, N.A. BR. #147
2404 CEDAR SPRINGS RD
DALLAS, TX 75201

MEMO

Transfer from Finance



MP

⑆ 1 23 193 53 21 5035 ⑈ 9786 201 289 ⑈

4786857757

PAY TO THE ORDER OF
WELLS FARGO BANK, N.A.
111900639
FOR DEPOSIT ONLY
MARIO A. MATA IOLTA
7874171840

REQUEST 0000757719600000 275.00
ROLLECIA 20141121 000004786857757+
JOB ECLIA E ACCT 0000009786201289
REQUESTOR TBSMITH
21553526 04/26/2019 Research 21592026

Summons and Subpoenas Department
S4001-01F
Phoenix AZ 85038

Customer Account Charge Notice - DEBIT



Date: 1/30/14 To: One Congress Plaza

Original Signature: [Signature] CUSTOMER ACCOUNT #

Approver's Signature: [Signature]

7574171950

REASON FOR ACCOUNT CHARGE IN THIS ACCT
Escheated cashiers check

Customer Name / Address
Mario Matsu




\$87,762.43 DEBIT
01/31/14 05:37PM Co 88
06 0003358 0120014 \$87,762.43
RT 111900659 Acct 7574171950

⑈0007033732⑈ ⑆500090798⑆

WELLS FARGO BANK NA DFW
28140131 E0012 PKT 04
⑈0910-0001-9⑈
1022859020

REQUEST 0000757719500000 87762.43
ROLL ECLA 20140131 000001822859020
JOB ECLA E ACCT 8080007574171950
REQUESTOR TBSMITH
21553526 04/26/2019 Research 21592025

Summons and Subpoenas Department
S4001-01F
Phoenix AZ 85038

| | | |
|--|---|---|
| MARIO A. MATA IOLTA 500 E 4th St., Suite 353 Austin, TX 78701 | Open <i>OK</i> AD <i>OK</i> Dep Only <i>OK</i> X <i>OK</i> HPF <i>OK</i> Memo | 1001 37-65/1119 1169 7574171950 |
| | Date <u>2 July 2014</u> | Pay to the Order of <u>MARIO A MATA, PLLC</u> \$ <u>7,500.⁰⁰</u> |
| | <u>Seven Thousand Five Hundred & No/100</u> Dollars |  |
| |  Wells Fargo Bank, N.A. Texas wellsfargo.com | For <u>Partial Retainer M102533</u> |
| | MICR Line: ⑆ 666900659⑆ 7574⑆ 71950⑆ 0100⑆ |  |

4786874829

Mario A. Mata, PLLC

REQUEST 0000757719500000 7500.00
 ROLL ECIA 20140702 000004786874829
 JOB ECIA E ACCT 8080007574171950
 REQUESTOR TBSMITH
 21553526 04/26/2019 Research 21592025

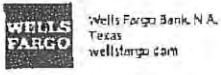
Summons and Subpoenas Department
 S4001-01F
 Phoenix AZ 85038

MARIO A. MATA IOLIA
500 E 4th St., Suite 353
Austin, TX 78701

1002
37-65/1119 1169
7574171950

10 July 2014
Date

Pay to the Order of MARIO A MATA, PLLC \$ 5,000.00
Five Thousand & no/100 Dollars



For Business M100592



⑆1116900659⑆ 7574171950⑈ 01002

1187291295

Maria Quintana, blue

REQUEST 0000757719500000 5000.00
ROLL ECIA 20140710 000001187291295
JOB ECIA E ACCT 8080007574171950
REQUESTOR TBSMITH
21553526 04/26/2019 Research 21592025

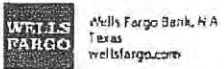
Summons and Subpoenas Department
S4001-01F
Phoenix AZ 85038

MARIO A. MATA IOLTA
500 E 4th St., Suite 353
Austin, TX 78701

1003
37-65/1119 1169
7574171950

29 July 2014
Date

Pay to the Order of MARIO A MATA PLLC \$ 10,000.00
Ten Thousand & no/100 Dollars



Wells Fargo Bank, N.A.
Texas
wellsfargo.com

via FNID Open OK
route/Exp. AD OK
via Dep. Only
date OK Sig. OK
HFF OK

For Advance M100551

⑆⑆⑆⑆900659⑆ 757⑆⑆7⑆950⑆ 0⑆003

4787041427

CRREDITED TO THE ACCOUNT OF
WITHIN NAMED PAYEE
LACK OF ENDORSEMENT GUARANTEED
WELLS FARGO BANK, N.A.
AU 03358

Maria Q. Smith, Fiske

REQUEST 0000757719500000 10000.00
ROLL ECLA 20140729 000004787041427
JOB ECLA E ACCT 8080007574171950
REQUESTOR TBSMITH
21553526 04/26/2019 Research 21592025

Summons and Subpoenas Department
S4001-01F
Phoenix AZ 85038

MARIO A. MATA IOLTA
500 E 4th St., Suite 353
Austin, TX 78701

1004
37-65/1119 1189
7574171950

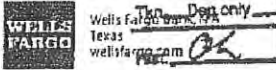
8 August 2014
Date

Pay to the
Order of

MARIO A MATA IOLTA

\$ 7,500.00

Seven Thousand Five Hundred and no/100 Dollars



Wells Fargo Bank, N.A.
Texas
wellsfargo.com

Signatures
MP

[Handwritten signature]

For Adv. Retainer

⑆111900659⑆ 7574171950⑈ 01004

1147506824

Mario A. Mata, IOLTA

REQUEST 0000757719500000 7500.00
ROLL ECIA 20140808 000001147506824
JOB ECIA E ACCT 8080007574171950
REQUESTOR TBSMITH
21553526 04/26/2019 Research 21592025

Summons and Subpoenas Department
S4001-01F
Phoenix AZ 85038

MARIO A. MATA IOLTA
500 E 4th St., Suite 353
Austin, TX 78701

APR 20
Open *dl*
AD *dl*
Exp *dl*
Dep *dl*
Sig *dl*
HPF *dl*

20/August/2014
Date

1005
07-65/1119 1189
7574171950

Pay to the Order of MARIO A. MATA, PLLC \$ 7,500.00
Seven Thousand Five Hundred and 00/100 Dollars

WELLS FARGO
Wells Fargo Bank, N.A.
Texas
wellsfargo.com

For Retainer Advance



⑆ 1111900659⑆ 7574171950 ⑈ 01005

4787043403

Mario A. Mata, PLLC

REQUEST 0000757719500000 7500.00
ROLL ECIA 20140820 000004787043403
JOB ECIA E ACCT 8080007574171950
REQUESTOR TBSMITH
21553526 04/26/2019 Research 21592025

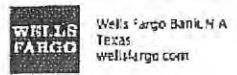
Summons and Subpoenas Department
S4001-01F
Phoenix AZ 85038

MARIO A. MATA IOLTA
500 E 4th St., Suite 353
Austin, TX 78701

1006
37-65/1119 1169
7574171950

29 August 2014
Date

Pay to the Order of MARIO A MATA, PLLC \$ 5,000.00
Five Thousand & no/100 Dollars



For # 100575



⑆111900659⑆ 7574171950⑈ 06006

11-7506666

Mario A Mata, PLLC

REQUEST 0000757719500000 5000.00
ROLLECIA 20140829 000001147508686
JOB ECIA E ACCT 8080007574171950
REQUESTOR TBSMITH
21553526 04/26/2019 Research 21592025

Summons and Subpoenas Department
S4001-01F
Phoenix AZ 85038

| | | | |
|---|--|--|---|
| MARIO A. MATA IOLTA 500 E 4th St., Suite 353 Austin, TX 78701 | | Type <input checked="" type="checkbox"/> Open AD <input checked="" type="checkbox"/> SALES/EXP. <input checked="" type="checkbox"/> TAX <input checked="" type="checkbox"/> DED. ONLY Local <input checked="" type="checkbox"/> EQ <input checked="" type="checkbox"/> HPF <input checked="" type="checkbox"/> Memo <input checked="" type="checkbox"/> | 1007 37-65/119 1169 7574171950 |
| Pay to the Order of <u>MARIO A. MATA, PLLC</u> | | Date <u>04 September 2014</u> | \$ <u>7,500.00</u> |
| <u>Seven Thousand Five Hundred + No/100</u> | | Dollars | <input checked="" type="checkbox"/> Security Features on Back |
|  Wells Fargo Bank N.A. Texas wells.fargo.com | |  | |
| For <u>M100533 Retainer</u> | | MP | |
| ⑆ 111900659 ⑆ 7574171950 ⑆ 01007 | | | |

4787045026

Mario A. Mata, PLLC

REQUEST 0000757719500000 7500.00
 ROLLE CIA 20140904 000004787045026
 JOB E CIA E ACCT 8080007574171950
 REQUESTOR TBSMITH
 21553526 04/26/2019 Research 21592025

Summons and Subpoenas Department
 S4001-01F
 Phoenix AZ 85038

MARIO A. MATA IOLTA
500 E 4th St., Suite 353
Austin, TX 78701

1008
37-85/1118 1189
7574171950

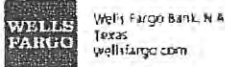
Code AD Date Oct 1, 2014
AD
Dep Only

Pay to the
Order of

MARIO A. MATA IOLTA LLC

\$ 7,500.00

Seven Thousand Five Hundred and no/100 Dollars



For

CASH

⑆111900659⑆ 7574171950⑈ 01008

1187296610

Mario Mata Iolta

REQUEST 0000757719500000 7500.00
ROLLECIA 20141002 000001187296610
JOB ECIA E ACCT 8080007574171950
REQUESTOR TBSMITH
21553526 04/26/2019 Research 21592025

Summons and Subpoenas Department
S4001-01F
Phoenix AZ 85038

MARIO A. MATA IOLTA
500 E 4th St., Suite 353
Austin, TX 78701

1009
37-85/1119 1169
7574171950

EM 10-3-2014 Date

Pay to the Order of MARIO A MATA, LLP \$ 2,500⁰⁰
Two Thousand Five Hundred & 00/100 Dollars



Wells Fargo Bank, N.A.
Texas
wellsfargo.com

For #10055p

[Signature]

⑆⑆⑆⑆900659⑆ 7574171950⑆ 01009

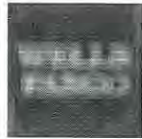
EM

*Mario A. Mata
LLP*

REQUEST 0000757719500000 2500.00
ROLLECIA 20141008 000008726919008
JOB ECLIA E ACCT 8080007574171950
REQUESTOR TBSMITH
21553526 04/26/2019 Research 21592025

Summons and Subpoenas Department
S4001-01F
Phoenix AZ 85038

Business Account Application



| | | | | | |
|---------------|------------------------|---------------------------|-------|-------------|--------------------|
| Bank Name: | WELLS FARGO BANK, N.A. | | | Store Name: | ONE CONGRESS PLAZA |
| Banker Name: | JESSICA SALINAS | Officer/Portfolio Number: | B3953 | Date: | 12/18/2013 |
| Banker Phone: | 512/344-7109 | Store Number: | 01169 | Banker AU: | 0003358 |
| | | | | Banker MAC: | T7044-010 |

To help the government fight the funding of terrorism and money laundering activities, U.S. Federal law requires financial institutions to obtain, verify, and record information that identifies each person (individuals and businesses) who opens an account. What this means for you: When you open an account, we will ask for your name, address, date of birth and other information that will allow us to identify you. We may also ask to see your driver's license or other identifying documents.

New Account Information

- New Deposit Account(s) Only New Deposit Account(s) and Business Credit Card

Account 1 Product Name:

Basic Business Checking IOLTA

| | | | | |
|-------|----------|-----------------|------------------|----------------|
| COID: | Product: | Account Number: | Opening Deposit: | Type of Funds: |
| 808 | DDA | 7574171950 | \$200.00 | INTX |

New Account Kit:

Printed

Related Customer Information

| | | | |
|------------------|------------|-----------------------|------------|
| Customer 1 Name: | MARIO MATA | Account Relationship: | Sole Owner |
|------------------|------------|-----------------------|------------|

Enterprise Customer Number (ECN):

383975030823

| | | | |
|------------------|---------------|-----------------------|------------------|
| Customer 2 Name: | MARIO A. MATA | Account Relationship: | Associated Party |
|------------------|---------------|-----------------------|------------------|

Enterprise Customer Number (ECN):

488701322154316



Checking/Savings Statement Mailing Information

| | | |
|--|--|----------------|
| Name(s) and Information Listed on Statement: MARIO MATA | Statement Mailing Address: 2804 HUBBARD CIR | |
| DBA MARIO A. MATA IOLTA | Address Line 2: | |
| TX IOLTA ACCT | City: AUSTIN | State: TX |
| | ZIP/Postal Code: 78746-5619 | Country: US |

Customer 1 Information

| | | |
|--|---|---|
| Customer Name: MARIO A. MATA | Street Address: 500 E 4TH ST STE 353 | |
| Account Relationship: Associated Party | Address Line 2: | |
| Taxpayer Identification Number (TIN): 458-04-4811 | TIN Type: SSN | Address Line 3: |
| Business Type: Sole Proprietor | City: AUSTIN | State: TX |
| Business Sub-Type/Tax Classification: Non-Profit: No | ZIP/Postal Code: 78701-3720 | Country: US |
| Date Originally Established: 11/06/1978 | Current Ownership Since: Number of Employees: 1 | Business Phone: 512/681-4461 |
| Annual Gross Sales: \$100.00 | Year Sales Reported: 12/31/2012 | Fiscal Year End: Cellular Phone: Pager: |
| Primary Financial Institution: Number of Locations: 1 | e-Mail Address: | |
| Primary State 1: Primary State 2: Primary State 3: | Website: | |
| Primary Country 1: Primary Country 2: Primary Country 3: | Sales Market: LOCAL | |
| Industry: Professional, Scientific, and Technical Services | | |
| Description of Business: | | |
| Major Suppliers/Customers: | | |

Bank Use Only

| | | |
|--|--------------------------------------|---|
| Name/Entity Verification: Not Applicable | Address Verification: | BACC Reference Number: 6133520002501 |
| Document Filing Number/Description: Not Applicable | Filing Country: | Filing State: |
| Country of Registration: US | State of Registration: TX | International Transactions: |
| State/Foundation Name: TEXAS EQUAL ACCESS TO JUSTICE FOUNDATION | Check Reporting: NO RECORD | |
| Customer 1 Name: MARIO A. MATA | State/Foundation TIN: 742-35-4575 | |
| | Internet Gambling Business?: No | |



Sole Proprietor 1 Information

| | | | | |
|--|--------------------------------------|---|--|----------------|
| Customer Name: MARIO MATA | | | Residence Address: 2804 HUBBARD CIR | |
| Position/Title: ATTORNEY | Date of Birth: 03/13/1954 | Enterprise Customer Number (ECN): 383975030823 | Address Line 2: | |
| Taxpayer Identification Number (TIN): 458-04-4811 | | TIN Type: SSN | Address Line 3: | |
| Primary ID Type: PASP | Primary ID Description: 113026907 | | City: AUSTIN | State: TX |
| Primary ID SU/Ctry/Prov: US | Primary ID Issue Date: 05/07/2003 | Primary ID Expiration Date: 11/23/2014 | ZIP/Postal Code: 78746-5619 | Country: US |
| Secondary ID Type: OTHR CC | Secondary ID Description: VISA | | Directional Address: (Document when no physical residence, business or alternate street address.) | |
| Secondary ID State/Country: | Secondary ID Issue Date: | Secondary ID Expiration Date: 06/10/2015 | | |
| Country of Citizenship: US | | | Check Reporting: NO RECORD | |

Certificate of Authority

Each person who signs the "Certified/Agreed To" section of this Application certifies that:

- A. The Customer's use of any Bank deposit account, product or service will confirm the Customer's receipt of, and agreement to be bound by, the Bank's applicable fee and information schedule and account agreement that includes the Arbitration Agreement under which any dispute between the Customer and the Bank relating to the Customer's use of any Bank deposit account, product or service will be decided in an arbitration proceeding before a neutral arbitrator as described in the Arbitration Agreement and not by a jury or court trial.**
- B. Each person who signs the "Certified/Agreed To" section of this Application or whose name, any applicable title and specimen signature appear in the "Authorized Signers - Signature Capture" section of this Application is authorized on such terms as the Bank may require to:**
- (1) Enter into, modify, terminate and otherwise in any manner act with respect to accounts at the Bank and agreements with the Bank or its affiliates for accounts and/or services offered by the Bank or its affiliates (other than letters of credit or loan agreements);
 - (2) Authorize (by signing or otherwise) the payment of Items from the Customer's account(s) listed on this Business Account Application (including without limitation any Item payable to (a) the individual order of the person who authorized the Item or (b) the Bank or any other person for the benefit of the person who authorized the Item) and the endorsement of Deposited Items for deposit, cashing or collection (see the Bank's applicable account agreement for the definitions of "Item" and "Deposited Item");
 - (3) Give instructions to the Bank in writing (whether the instructions include the manual signature or a signature that purports to be the facsimile or other mechanical signature including a stamp of an Authorized Signer as the Customer's authorized signature without regard to when or by whom or by what means or in what ink color the signature may have been made or affixed), orally, by telephone or by any electronic means in regard to any Item and the transaction of any business relating to the Customer's account(s), agreements or services, and the Customer shall indemnify and hold the Bank harmless for acting in accordance with such instructions; and
 - (4) Delegate the person's authority to another person(s) or revoke such delegation, in a separate signed writing delivered to the Bank.
- C. If a code must be communicated to the Bank in order to authorize an Item, and the code is communicated, the Item will be binding on the Customer regardless of who communicated the code.**
- D. Each transaction described in this Certificate of Authority conducted by or on behalf of the Customer prior to delivery of this Certificate is in all respects ratified.**
- E. If the Customer is a tribal government or tribal government agency, the Customer waives sovereign immunity from suit with respect to the Customer's use of any Bank account, product or service referred to in this Certificate.**
- F. The information provided in this Application is correct and complete, each person who signs the "Certified/Agreed To" section of this Application and each person whose name appears in the "Authorized Signers-Signature Capture" section of this Application holds any position indicated, and the signature appearing opposite the person's name is authentic.**
- G. The Customer has approved this Certificate of Authority or granted each person who signs the "Certified/Agreed To" section of this Application the authority to do so on the Customer's behalf by:**
- (1) resolution, agreement or other legally sufficient action of the governing body of the Customer, if the Customer is not a trust or a sole proprietor;
 - (2) the signature of each of the Customer's trustee(s), if the Customer is a trust; or
 - (3) the signature of the Customer, if the Customer is a sole proprietor.



Certified/Agreed To

Owner/Key Individual 1 Name
MARIO MATA

Position/Title:
ATTORNEY

Owner/Key Individual 1 Signature



- Submit manually
- Signature not required


Date:
12/18/2013

Authorized Signers - Signature Capture

Authorized Signer 1 Name
MARIO MATA

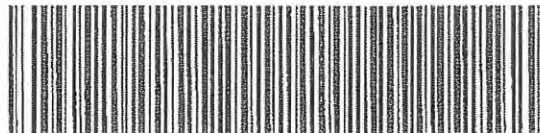
Position/Title:
ATTORNEY

Authorized Signer 1 Signature



- Submit manually
- Signature not required

Date:
12/18/2013



Basic Business Checking[®] IOLTA

Account number: 7574171950 ■ December 18, 2013 - December 31, 2013 ■ Page 1 of 3



MARIO MATA
 DBA MARIO A. MATA IOLTA
 TX IOLTA ACCT
 2804 HUBBARD CIR
 AUSTIN TX 78746-5619

Questions?

Available by phone 24 hours a day, 7 days a week:

1-800-CALL-WELLS (1-800-225-5935)

TTY: 1-800-877-4833

En español: 1-877-337-7454

Online: well Fargo.com/biz

Write: Wells Fargo Bank, N.A. (808)
 Post Office Box 266000
 Dallas, TX 75326

Your Business and Wells Fargo

Getting ready for tax season can be a challenge! Creating a checklist, and preparing in advance will set you up for a successful meeting with your tax preparer. Remember to bring your deposit routing and account number when preparing your taxes and you may be able to take advantage of using direct deposit for your tax refund into one of your Wells Fargo checking or savings accounts.

Account options

A check mark in the box indicates you have these convenient services with your account. Go to well Fargo.com/biz or call the number above if you have questions or if you would like to add new services.

- Business Online Banking
- Online Statements
- Business Bill Pay
- Business Spending Report
- Overdraft Protection

Activity summary

| | |
|--|--------------------|
| Beginning balance on 12/18 | \$0.00 |
| Deposits/Credits | 75,200.18 |
| Withdrawals/Debits | - 0.18 |
| Ending balance on 12/31 | \$75,200.00 |
| Average ledger balance this period | \$26,971.42 |

Account number: 7574171950

MARIO MATA
 DBA MARIO A. MATA IOLTA
 TX IOLTA ACCT

Texas/Arkansas account terms and conditions apply

For Direct Deposit and Automatic Payments use
 Routing Number (RTN): 111900659

For Wire Transfers use
 Routing Number (RTN): 121000240

Interest summary

| | |
|---------------------------------------|-------------|
| Interest paid this statement | \$0.18 |
| Average collected balance | \$10,900.00 |
| Annual percentage yield earned | 0.04% |
| Interest earned this statement period | \$0.18 |
| Interest paid this year | \$0.18 |

Account number: 7574171950 ■ December 18, 2013 - December 31, 2013 ■ Page 2 of 3



Transaction history

| Date | Check Number | Description | Deposits/ Credits | Withdrawals/ Debits | Ending daily balance |
|--------------------------------|-----------------|--|----------------------|------------------------|-------------------------|
| 12/19 | | Deposit Made In A Branch/Store | 200.00 | | 200.00 |
| 12/27 | | Deposit Made In A Branch/Store | 75,000.00 | | 75,200.00 |
| 12/31 | | Interest Payment | 0.18 | | |
| 12/31 | | Int. Transferred to TX 000000110507004 | | 0.18 | 75,200.00 |
| Ending balance on 12/31 | | | | | 75,200.00 |
| Totals | | | \$75,200.18 | \$0.18 | |

The Ending Daily Balance does not reflect any pending withdrawals or holds on deposited funds that may have been outstanding on your account when your transactions posted. If you had insufficient available funds when a transaction posted, fees may have been assessed.



Did you know that you can review your safe deposit box information through Wells Fargo Business Online Banking? Sign on to business online banking at wellsfargo.com/biz and go to your account summary page to review details.



General statement policies for Wells Fargo Bank

Notice: Wells Fargo Bank, N.A. may furnish information about accounts belonging to individuals, including sole proprietorships, to consumer reporting agencies. If this applies to you, you have the right to dispute the accuracy of information that we have reported by writing to us at: Overdraft Collections and Recovery, P.O. Box 5058, Portland, OR 97208-5058.

You must describe the specific information that is inaccurate or in dispute and the basis for any dispute with supporting documentation. In the case of information that relates to an identity theft, you will need to provide us with an identity theft report.

Account Balance Calculation Worksheet

- 1. Use the following worksheet to calculate your overall account balance.
2. Go through your register and mark each check, withdrawal, ATM transaction, payment, deposit or other credit listed on your statement. Be sure that your register shows any interest paid into your account and any service charges, automatic payments or ATM transactions withdrawn from your account during this statement period.
3. Use the chart to the right to list any deposits, transfers to your account, outstanding checks, ATM withdrawals, ATM payments or any other withdrawals (including any from previous months) which are listed in your register but not shown on your statement.

ENTER

A. The ending balance shown on your statement \$ _____

ADD

B. Any deposits listed in your register or transfers into your account which are not shown on your statement. \$ _____ \$ _____ \$ _____ + \$ _____ TOTAL \$ _____

CALCULATE THE SUBTOTAL

(Add Parts A and B) TOTAL \$ _____

SUBTRACT

C. The total outstanding checks and withdrawals from the chart above \$ _____

CALCULATE THE ENDING BALANCE

(Part A + Part B - Part C) This amount should be the same as the current balance shown in your check register \$ _____

Table with 3 columns: Number, Items Outstanding, Amount. Includes a 'Total amount \$' label at the bottom right.

Basic Business Checking® IOLTA

Account number: 7574171950 ■ January 1, 2014 - January 31, 2014 ■ Page 1 of 3



MARIO MATA
 DBA MARIO A. MATA IOLTA
 TX IOLTA ACCT
 2804 HUBBARD CIR
 AUSTIN TX 78746-5619

Questions?

Available by phone 24 hours a day, 7 days a week:

1-800-CALL-WELLS (1-800-225-5935)

TTY: 1-800-877-4833

En español: 1-877-337-7454

Online: wells Fargo.com/biz

Write: Wells Fargo Bank, N.A. (308)
 Post Office Box 266000
 Dallas, TX 75326

Your Business and Wells Fargo

Getting ready for tax season can be a challenge! Creating a checklist, and preparing in advance will set you up for a successful meeting with your tax preparer. Remember to bring your deposit routing and account number when preparing your taxes and you may be able to take advantage of using direct deposit for your tax refund into one of your Wells Fargo checking or savings accounts.

Account options

A check mark in the box indicates you have these convenient services with your account. Go to wells Fargo.com/twz or call the number above if you have questions or if you would like to add new services.

- Business Online Banking
- Online Statements
- Business Bill Pay
- Business Spending Report
- Overdraft Protection

Activity summary

| | |
|------------------------------------|--------------------|
| Beginning balance on 1/1 | \$75,200.00 |
| Deposits/Credits | 87,767.20 |
| Withdrawals/Debits | - 87,847.20 |
| Ending balance on 1/31 | \$75,120.00 |
| | |
| Average ledger balance this period | \$83,628.62 |

Account number: 7574171950

MARIO MATA
 DBA MARIO A. MATA IOLTA
 TX IOLTA ACCT

Texas/Arkansas account terms and conditions apply

For Direct Deposit and Automatic Payments use
 Routing Number (RTN): 111900659

For Wire Transfers use
 Routing Number (RTN): 121000210

Interest summary

| | |
|---------------------------------------|-------------|
| Interest paid this statement | \$4.77 |
| Average collected balance | \$83,628.62 |
| Annual percentage yield earned | 0.07% |
| Interest earned this statement period | \$4.77 |
| Interest paid this year | \$4.77 |
| Total interest paid in 2013 | \$0.18 |

Account number: 7574171950 ■ January 1, 2014 - January 31, 2014 ■ Page 2 of 3

**Transaction history**

| Date | Check Number | Description | Deposits/ Credits | Withdrawals/ Debits | Ending daily balance |
|-------------------------------|-----------------|---|----------------------|------------------------|-------------------------|
| 1/7 | | Harland Clarke Check/Acc. 010514 00033587575402 Mario A. Mata IOLTA | | 80.00 | 75,120.00 |
| 1/20 | | Deposit | 87,762.43 | | 162,882.43 |
| 1/31 | | Bank Originated Debit | | 87,762.43 | |
| 1/31 | | Interest Payment | 4.77 | | |
| 1/31 | | Int Transferred to TX 000000110507004 | | 4.77 | 75,120.00 |
| Ending balance on 1/31 | | | | | 75,120.00 |
| Totals | | | \$87,762.20 | \$87,847.20 | |

The Ending Daily Balance does not reflect any pending withdrawals or holds on deposited funds that may have been outstanding on your account when your transactions posted. If you had insufficient available funds when a transaction posted, fees may have been assessed.



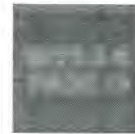
Did you know that you can review your safe deposit box information through Wells Fargo Business Online Banking? Sign on to business online banking at wellsfargo.com/biz and go to your account summary page to review details.

**IMPORTANT ACCOUNT INFORMATION**

We want to let you know of the following fee change effective April 1, 2014:

- Collections - Domestic: Auto Draft - \$25 per item

If you have questions about these changes, or would like a complimentary financial review to ensure that you have the right accounts to meet your financial goals, please contact your local banker or call the phone number listed at the top of your statement.



General statement policies for Wells Fargo Bank

■ **Notice:** Wells Fargo Bank, N.A. may furnish information about accounts belonging to individuals, including sole proprietorships, to consumer reporting agencies. If this applies to you, you have the right to dispute the accuracy of information that we have reported by writing to us at: Overdraft Collections and Recovery, P.O. Box 5058, Portland, OR 97208-5058.

You must describe the specific information that is inaccurate or in dispute and the basis for any dispute with supporting documentation. In the case of information that relates to an identity theft, you will need to provide us with an identity theft report.

Account Balance Calculation Worksheet

1. Use the following worksheet to calculate your overall account balance.
2. Go through your register and mark each check, withdrawal, ATM transaction, payment, deposit or other credit listed on your statement. Be sure that your register shows any interest paid into your account and any service charges, automatic payments or ATM transactions withdrawn from your account during this statement period.
3. Use the chart to the right to list any deposits, transfers to your account, outstanding checks, ATM withdrawals, ATM payments or any other withdrawals (including any from previous months) which are listed in your register but not shown on your statement.

ENTER

A. The ending balance shown on your statement \$ _____

ADD

B. Any deposits listed in your register or transfers into your account which are not shown on your statement. \$ _____
 \$ _____
 \$ _____
 + \$ _____
 **TOTAL \$** _____

CALCULATE THE SUBTOTAL
(Add Parts A and B)

. **TOTAL \$** _____

SUBTRACT

C. The total outstanding checks and withdrawals from the chart above \$ _____

CALCULATE THE ENDING BALANCE
(Part A + Part B - Part C)

This amount should be the same as the current balance shown in your check register \$ _____

| Number | Items Outstanding | Amount |
|------------------------|-------------------|--------|
| | | |
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| | | |
| | | |
| | | |
| Total amount \$ | | |

Basic Business Checking[®] IOLTA

Account number: 7574171950 ■ February 1, 2014 - February 28, 2014 ■ Page 1 of 3



MARIO MATA
 DBA MARIO A. MATA IOLTA
 TX IOLTA ACCT
 2804 HUBBARD CIR
 AUSTIN TX 78746-5819

Questions?

Available by phone 24 hours a day, 7 days a week:

1-800-CALL-WELLS (1-800-225-5935)

TTY: 1-800-877-4833

En español: 1-877-337-7454

Online: wells Fargo.com/biz

Write: Wells Fargo Bank, N.A. (808)

Post Office Box 266000

Dallas, TX 75325

Your Business and Wells Fargo

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Account options

A check mark in the box indicates you have these convenient services with your account(s). Go to wells Fargo.com/biz or call the number above if you have questions or if you would like to add new services.

- Business Online Banking
- Online Statements
- Business Bill Pay
- Business Spending Report
- Overdraft Protection

Activity summary

| | |
|--|--------------------|
| Beginning balance on 2/1 | \$75,120.00 |
| Deposits/Credits | 2.59 |
| Withdrawals/Debits | - 2.59 |
| Ending balance on 2/28 | \$75,120.00 |
| Average ledger balance this period | \$75,120.00 |

Account number: 7574171950

MARIO MATA
 DBA MARIO A. MATA IOLTA
 TX IOLTA ACCT

Texas/Arkansas account terms and conditions apply

For Direct Deposit use

Routing Number (RTN): 111900559

For Wire Transfers use

Routing Number (RTN): 121000240

Interest summary

| | |
|---------------------------------------|-------------|
| Interest paid this statement | \$2.59 |
| Average collected balance | \$75,120.00 |
| Annual percentage yield earned | 0.04% |
| Interest earned this statement period | \$2.59 |
| Interest paid this year | \$7.36 |
| Total interest paid in 2013 | \$0.18 |

Account number: 7574171950 ■ February 1, 2014 - February 28, 2014 ■ Page 2 of 3



Transaction history

| <i>Date</i> | <i>Check Number</i> | <i>Description</i> | <i>Deposits/ Credits</i> | <i>Withdrawals/ Debits</i> | <i>Ending daily balance</i> |
|------------------------|---------------------|---------------------------------------|------------------------------|--------------------------------|---------------------------------|
| 2/28 | | Interest Payment | 2.59 | | |
| 2/28 | | Int Transferred to TX 000000110507004 | | 2.59 | 75,120.00 |
| Ending balance on 2/28 | | | | | 75,120.00 |
| Totals | | | \$2.59 | \$2.59 | |

The Ending Daily Balance does not reflect any pending withdrawals or holds on deposited funds that may have been outstanding on your account when your transactions posted. If you had insufficient available funds when a transaction posted, fees may have been assessed.



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Basic Business Checking[®] IOLTA

Account number: 7574171950 ■ March 1, 2014 - March 31, 2014 ■ Page 1 of 3



MARIO MATA
 DBA MARIO A. MATA IOLTA
 TX IOLTA ACCT
 2804 HUBBARD CIR
 AUSTIN TX 78746-5619

Questions?

Available by phone 24 hours a day, 7 days a week:

1-800-CALL-WELLS (1-800-225-5935)

TTY: 1-800-877-4833

En español: 1-877-337-7454

Online: wells Fargo.com/biz

Write: Wells Fargo Bank, N.A. (308)
 Post Office Box 265000
 Dallas, TX 75326

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- Business Online Banking
- Online Statements
- Business Bill Pay
- Business Spending Report
- Overdraft Protection

Activity summary

| | |
|------------------------------------|--------------------|
| Beginning balance on 3/1 | \$75,120.00 |
| Deposits/Credits | 2.67 |
| Withdrawals/Debits | - 2.67 |
| Ending balance on 3/31 | \$75,120.00 |
| | |
| Average ledger balance this period | \$75,120.00 |

Account number: 7574171950

MARIO MATA
 DBA MARIO A. MATA IOLTA
 TX IOLTA ACCT

Texas/Arkansas account terms and conditions apply

For Direct Deposit use
 Routing Number (RTN): 111900659

For Wire Transfers use
 Routing Number (RTN): 121000240

Interest summary

| | |
|---------------------------------------|-------------|
| Interest paid this statement | \$2.67 |
| Average collected balance | \$75,120.00 |
| Annual percentage yield earned | 0.04% |
| Interest earned this statement period | \$2.67 |
| Interest paid this year | \$10.23 |
| Total interest paid in 2013 | \$0.18 |

Account number: 7574171950 ■ March 1, 2014 - March 31, 2014 ■ Page 2 of 3



Transaction history

| Date | Check Number | Description | Deposits/ Credits | Withdrawals/ Debits | Ending daily balance |
|-------------------------------|--------------|---------------------------------------|----------------------|------------------------|-------------------------|
| 3/31 | | Interest Payment | 2.87 | | |
| 3/31 | | Int Transferred to TX 000000110507004 | | 2.87 | 75,120.00 |
| Ending balance on 3/31 | | | | | 75,120.00 |
| Totals | | | \$2.87 | \$2.87 | |

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Amendment to Funds Availability Policy - Check Deposits at a Wells Fargo ATM

Effective March 10, 2014, we will increase the amount of your deposit that is made immediately available to you when you make a check deposit at a Wells Fargo ATM. The first \$200 of a day's check deposits made at a Wells Fargo ATM will be immediately available for your use or withdrawal on the day we receive the deposit. Cash deposits made at a Wells Fargo ATM are always immediately available.

Basic Business Checking[®] IOLTA

Account number: 7574171950 ■ April 1, 2014 - April 30, 2014 ■ Page 1 of 3



MARIO MATA
 DBA MARIO A. MATA IOLTA
 TX IOLTA ACCT
 2804 HUBBARD CIR
 AUSTIN TX 78746-5619

Questions?

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TTY: 1-800-877-4933

En español: 1-877-337-7454

Online: wellsfargo.com/biz

Write: Wells Fargo Bank, N.A. (308)
 Post Office Box 266000
 Dallas, TX 75326

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- Business Online Banking
- Online Statements
- Business Bill Pay
- Business Spending Report
- Overdraft Protection

Activity summary

| | |
|--|--------------------|
| Beginning balance on 4/1 | \$75,120.00 |
| Deposits/Credits | 2.78 |
| Withdrawals/Debits | - 2.78 |
| Ending balance on 4/30 | \$75,120.00 |
| Average ledger balance this period | \$75,120.00 |

Account number: 7574171950

MARIO MATA
 DBA MARIO A. MATA IOLTA
 TX IOLTA ACCT

Texas/Arkansas account terms and conditions apply

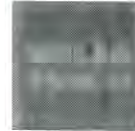
For Direct Deposit use
 Routing Number (RTN): 111900659

For Wire Transfers use
 Routing Number (RTN): 121000246

Interest summary

| | |
|---------------------------------------|-------------|
| Interest paid this statement | \$2.78 |
| Average collected balance | \$75,120.00 |
| Annual percentage yield earned | 0.05% |
| Interest earned this statement period | \$2.78 |
| Interest paid this year | \$13.01 |

Account number: 7574171950 ■ April 1, 2014 - April 30, 2014 ■ Page 2 of 3



Transaction history

| <i>Date</i> | <i>Check Number</i> | <i>Description</i> | <i>Deposits/ Credits</i> | <i>Withdrawals/ Debits</i> | <i>Ending daily balance</i> |
|-------------------------------|-------------------------|---------------------------------------|------------------------------|--------------------------------|---------------------------------|
| 4/30 | | Interest Payment | 2.78 | | |
| 4/30 | | Int Transferred to TX 000000110507004 | | 2.78 | 75,120.00 |
| Ending balance on 4/30 | | | | | 75,120.00 |
| Totals | | | \$2.78 | \$2.78 | |

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Account number: 7574171950 ■ April 1, 2014 - April 30, 2014 ■ Page 3 of 3



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3. Use the chart to the right to list any deposits, transfers to your account, outstanding checks, ATM withdrawals, ATM payments or any other withdrawals (including any from previous months) which are listed in your register but not shown on your statement.

ENTER

A. The ending balance shown on your statement \$

ADD

B. Any deposits listed in your register or transfers into your account which are not shown on your statement. TOTAL \$

CALCULATE THE SUBTOTAL

(Add Parts A and B)

TOTAL \$

SUBTRACT

C. The total outstanding checks and withdrawals from the chart above \$

CALCULATE THE ENDING BALANCE

(Part A + Part B - Part C)

This amount should be the same as the current balance shown in your check register \$

Table with 3 columns: Number, Items Outstanding, Amount. Includes a Total amount \$ row at the bottom.

Basic Business Checking[®] IOLTAAccount number: **7574171950** ■ May 1, 2014 - May 31, 2014 ■ Page 1 of 3

MARIO MATA
DBA MARIO A. MATA IOLTA
TX IOLTA ACCT
2804 HUBBARD CIR
AUSTIN TX 78748-5819

Questions?

Available by phone 24 hours a day, 7 days a week:

1-800-CALL-WELLS (1-800-225-5935)

TTY: 1-800-377-4833

En español: 1-877-337-7454

Online: wellsfargo.com/biz

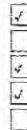
Write: Wells Fargo Bank, N.A. (808)
P.O. Box 6995
Portland, OR 97228-6995

Your Business and Wells Fargo

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Account options

A check mark in the box indicates you have these convenient services with your account(s). Go to wellsfargo.com/biz or call the number above if you have questions or if you would like to add new services.

Business Online Banking Online Statements Business Bill Pay Business Spending Report Overdraft Protection **Activity summary**

| | |
|-------------------------------|--------------------|
| Beginning balance on 5/1 | \$75,120.00 |
| Deposits/Credits | 2.87 |
| Withdrawals/Debits | - 2.87 |
| Ending balance on 5/31 | \$75,120.00 |

Average ledger balance this period \$75,120.00

Account number: **7574171950**

MARIO MATA
DBA MARIO A. MATA IOLTA
TX IOLTA ACCT

Taxes/Arkansas account terms and conditions apply

For Direct Deposit use

Routing Number (RTN): 111900659

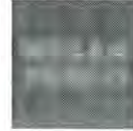
For Wire Transfers use

Routing Number (RTN): 121000240

Interest summary

| | |
|---------------------------------------|-------------|
| Interest paid this statement | \$2.87 |
| Average collected balance | \$75,120.00 |
| Annual percentage yield earned | 0.04% |
| Interest earned this statement period | \$2.87 |
| Interest paid this year | \$15.88 |

Account number: 7574171950 ■ May 1, 2014 - May 31, 2014 ■ Page 2 of 3



Transaction history

| Date | Check Number | Description | Deposits/ Credits | Withdrawals/ Debits | Ending daily balance |
|-------------------------------|--------------|---------------------------------------|----------------------|------------------------|-------------------------|
| 5/30 | | Interest Payment | 2.87 | | |
| 5/30 | | Int Transferred to TX 000000110507004 | | 2.87 | 75,120.00 |
| Ending balance on 5/31 | | | | | 75,120.00 |
| Totals | | | \$2.87 | \$2.87 | |

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Did you know that you can review your safe deposit box information through Wells Fargo Business Online Banking? Sign on to business online banking at wellsfargo.com/biz and go to your account summary page to review details.

Account number: 7574171950 ■ May 1, 2014 - May 31, 2014 ■ Page 3 of 3



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3. Use the chart to the right to list any deposits, transfers to your account, outstanding checks, ATM withdrawals, ATM payments or any other withdrawals (including any from previous months) which are listed in your register but not shown on your statement.

ENTER

A. The ending balance shown on your statement \$

ADD

B. Any deposits listed in your register or transfers into your account which are not shown on your statement. \$
. \$
. \$
+ \$
. TOTAL \$

CALCULATE THE SUBTOTAL

(Add Parts A and B)

. TOTAL \$

SUBTRACT

C. The total outstanding checks and withdrawals from the chart above \$

CALCULATE THE ENDING BALANCE

(Part A + Part B - Part C)

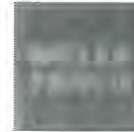
This amount should be the same as the current balance shown in your check register \$

Table with 3 columns: Number, Items Outstanding, Amount. It is a large grid for recording transactions.

Total amount \$

Basic Business Checking® IOLTA

Account number: 7574171950 ■ June 1, 2014 - June 30, 2014 ■ Page 1 of 3



MARIO MATA
 DBA MARIO A. MATA IOLTA
 TX IOLTA ACCT
 2804 HUBBARD CIR
 AUSTIN TX 78746-5619

Questions?

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TTY: 1-800-877-4833

En español: 1-877-337-7454

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 Portland, OR 97228-6995

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- Business Online Banking
- Online Statements
- Business Bill Pay
- Business Spending Report
- Overdraft Protection

Activity summary

| | |
|--|--------------------|
| Beginning balance on 6/1 | \$75,120.00 |
| Deposits/Credits | 2.78 |
| Withdrawals/Debits | - 2.78 |
| Ending balance on 6/30 | \$75,120.00 |
| Average ledger balance this period | \$75,120.00 |

Account number: 7574171950

MARIO MATA
 DBA MARIO A. MATA IOLTA
 TX IOLTA ACCT

Texas/Arkansas account terms and conditions apply

For Direct Deposit use
 Routing Number (RTN): 111900659

For Wire Transfers use
 Routing Number (RTN): 121000240

Interest summary

| | |
|---------------------------------------|-------------|
| Interest paid this statement | \$2.78 |
| Average collected balance | \$75,120.00 |
| Annual percentage yield earned | 0.05% |
| Interest earned this statement period | \$2.78 |
| Interest paid this year | \$18.66 |

Account number: 7574171950 ■ June 1, 2014 - June 30, 2014 ■ Page 2 of 3



Transaction history

| Date | Check Number | Description | Deposits/ Credits | Withdrawals/ Debits | Ending daily balance |
|-------------------------------|-----------------|---------------------------------------|----------------------|------------------------|-------------------------|
| 6/30 | | Interest Payment | 2.78 | | |
| 6/30 | | Int Transferred to TX 000000110507004 | | 2.78 | 75,120.00 |
| Ending balance on 6/30 | | | | | 75,120.00 |
| Totals | | | \$2.78 | \$2.78 | |

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3. Use the chart to the right to list any deposits, transfers to your account, outstanding checks, ATM withdrawals, ATM payments or any other withdrawals (including any from previous months) which are listed in your register but not shown on your statement.

ENTER

A. The ending balance shown on your statement \$

ADD

B. Any deposits listed in your register or transfers into your account which are not shown on your statement. \$ \$ \$ + \$ TOTAL \$

CALCULATE THE SUBTOTAL

(Add Parts A and B)

TOTAL \$

SUBTRACT

C. The total outstanding checks and withdrawals from the chart above \$

CALCULATE THE ENDING BALANCE

(Part A + Part B - Part C)

This amount should be the same as the current balance shown in your check register \$

Table with 3 columns: Number, Items Outstanding, Amount. Includes a 'Total amount \$' label at the bottom right.

Basic Business Checking® IOLTA

Account number: 7574171950 ■ July 1, 2014 - July 31, 2014 ■ Page 1 of 3



MARIO MATA
 DBA MARIO A. MATA IOLTA
 TX IOLTA ACCT
 2804 HUBBARD CIR
 AUSTIN TX 78746-5619

Questions?

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- Business Online Banking
- Online Statements
- Business Bill Pay
- Business Spending Report
- Overdraft Protection

Activity summary

| | |
|--|--------------------|
| Beginning balance on 7/1 | \$75,120.00 |
| Deposits/Credits | 32.42 |
| Withdrawals/Debits | - 22,502.42 |
| Ending balance on 7/31 | \$52,700.00 |
| Average ledger balance this period | \$63,423.22 |

Account number: 7574171950

MARIO MATA
 DBA MARIO A. MATA IOLTA
 TX IOLTA ACCT

Taxes/Arkansas account terms and conditions apply

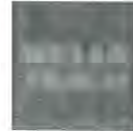
For Direct Deposit use
 Routing Number (RTN): 111900659

For Wire Transfers use
 Routing Number (RTN): 121000240

Interest summary

| | |
|---------------------------------------|-------------|
| Interest paid this statement | \$2.42 |
| Average collected balance | \$63,423.22 |
| Annual percentage yield earned | 0.04% |
| Interest earned this statement period | \$2.42 |
| Interest paid this year | \$21.08 |

Account number: **7574171950** ■ July 1, 2014 - July 31, 2014 ■ Page 2 of 3



Transaction history

| Date | Check Number | Description | Deposits/ Credits | Withdrawals/ Debits | Ending daily balance |
|-------------------------------|--------------|---------------------------------------|----------------------|------------------------|-------------------------|
| 7/2 | | Deposit | 80.00 | | |
| 7/2 | 1001 | Check | | 7,500.00 | 67,700.00 |
| 7/10 | 1002 | Check | | 5,000.00 | 62,700.00 |
| 7/29 | 1003 | Check | | 10,000.00 | 52,700.00 |
| 7/31 | | Interest Payment | 2.42 | | |
| 7/31 | | Int Transferred to TX 000000110507004 | | 2.42 | 52,700.00 |
| Ending balance on 7/31 | | | | | 52,700.00 |
| Totals | | | \$82.42 | \$22,502.42 | |

The Ending Daily Balance does not reflect any pending withdrawals or holds on deposited funds that may have been outstanding on your account when your transactions posted. If you had insufficient available funds when a transaction posted, fees may have been assessed.

Summary of checks written (checks listed are also displayed in the preceding Transaction history)

| Number | Date | Amount | Number | Date | Amount | Number | Date | Amount |
|--------|------|----------|--------|------|----------|--------|------|-----------|
| 1001 | 7/2 | 7,500.00 | 1002 | 7/10 | 5,000.00 | 1003 | 7/29 | 10,000.00 |



Did you know that you can review your safe deposit box information through Wells Fargo Business Online Banking? Sign on to business online banking at wellsfargo.com/biz and go to your account summary page to review details.

Account number: 7574171950 July 1, 2014 - July 31, 2014 Page 3 of 3



General statement policies for Wells Fargo Bank

Notice: Wells Fargo Bank, N.A. may furnish information about accounts belonging to individuals, including sole proprietorships, to consumer reporting agencies. If this applies to you, you have the right to dispute the accuracy of information that we have reported by writing to us at: Overdraft Collections and Recovery, P.O. Box 5058, Portland, OR 97208-5058.

You must describe the specific information that is inaccurate or in dispute and the basis for any dispute with supporting documentation. In the case of information that relates to an identity theft, you will need to provide us with an identity theft report.

Account Balance Calculation Worksheet

- 1. Use the following worksheet to calculate your overall account balance.
2. Go through your register and mark each check, withdrawal, ATM transaction, payment, deposit or other credit listed on your statement. Be sure that your register shows any interest paid into your account and any service charges, automatic payments or ATM transactions withdrawn from your account during this statement period.
3. Use the chart to the right to list any deposits, transfers to your account, outstanding checks, ATM withdrawals, ATM payments or any other withdrawals (including any from previous months) which are listed in your register but not shown on your statement.

ENTER

A. The ending balance shown on your statement \$

ADD

B. Any deposits listed in your register or transfers into your account which are not shown on your statement. TOTAL \$

CALCULATE THE SUBTOTAL (Add Parts A and B) TOTAL \$

SUBTRACT

C. The total outstanding checks and withdrawals from the chart above \$

CALCULATE THE ENDING BALANCE (Part A + Part B - Part C)

This amount should be the same as the current balance shown in your check register \$

Table with 3 columns: Number, Items Outstanding, Amount. Includes a 'Total amount \$' label at the bottom right.

Basic Business Checking[®] IOLTA

Account number: **7574171950** ■ August 1, 2014 - August 31, 2014 ■ Page 1 of 3



MARIO MATA
 DBA MARIO A. MATA IOLTA
 TX IOLTA ACCT
 2804 HUBBARD CIR
 AUSTIN TX 78746-5619

Questions?

Available by phone 24 hours a day, 7 days a week:

1-800-CALL-WELLS (1-800-225-5935)

TTY: 1-800-877-4833

En español: 1-877-337-7454

Online: wellsfargo.com/biz

Write: Wells Fargo Bank, N.A. (808)
 P.O. Box 6995
 Portland, OR 97228-6995

Your Business and Wells Fargo

Wells Fargo Works for Small Business website

The Wells Fargo Works site offers free access to business information and advice through videos, articles, and other small business resources. This site offers objective information from industry experts, best practices from real business owners, as well as numerous Wells Fargo solutions that can help you run your business. Learn more about Wells Fargo Works at wellsfargoworks.com

Account options

A check mark in the box indicates you have these convenient services with your account(s). Go to wellsfargo.com/biz or call the number above if you have questions or if you would like to add new services.

- Business Online Banking
- Online Statements
- Business Bill Pay
- Business Spending Report
- Overdraft Protection

IMPORTANT ACCOUNT INFORMATION

Enhancements coming to your transaction descriptions including cash back detail

Over the next few months, you will notice changes to the descriptions for debit, ATM or prepaid card transactions. These enhancements provide more detail about your transactions, and include new descriptions for purchases with cash back. For debit, ATM, or prepaid card merchant purchases with a request for cash back, the transaction description will include the words "cash" or "cash back," and may include the dollar amount of cash requested.

Activity summary

| | |
|--|--------------------|
| Beginning balance on 8/1 | \$52,700.00 |
| Deposits/Credits | 1.66 |
| Withdrawals/Debits | - 20,001.66 |
| Ending balance on 8/31 | \$32,700.00 |
| Average ledger balance this period | \$43,506.45 |

Account number: **7574171950**

MARIO MATA
DBA MARIO A. MATA IOLTA
TX IOLTA ACCT

Texas/Arkansas account terms and conditions apply.

For Direct Deposit use
 Routing Number (RTN): 111900559

For Wire Transfers use
 Routing Number (RTN): 121000248

Account number: 7574171950 ■ August 1, 2014 - August 31, 2014 ■ Page 2 of 3



Interest summary

| | |
|---------------------------------------|-------------|
| Interest paid this statement | \$1.66 |
| Average collected balance | \$43,506.45 |
| Annual percentage yield earned | 0.04% |
| Interest earned this statement period | \$1.66 |
| Interest paid this year | \$22.74 |

Transaction history

| Date | Check Number | Description | Deposits/ Credits | Withdrawals/ Debits | Ending daily balance |
|-------------------------------|--------------|---------------------------------------|----------------------|------------------------|-------------------------|
| 8/8 | 1004 | Check | | 7,500.00 | 45,200.00 |
| 8/20 | 1005 | Check | | 7,500.00 | 37,700.00 |
| 8/29 | 1006 | Check | | 5,000.00 | |
| 8/29 | | Interest Payment | 1.66 | | |
| 8/29 | | Int Transferred to TX 000000110507004 | | 1.66 | 32,700.00 |
| Ending balance on 8/31 | | | | | 32,700.00 |
| Totals | | | \$1.66 | \$20,001.66 | |

The Ending Daily Balance does not reflect any pending withdrawals or holds on deposited funds that may have been outstanding on your account when your transactions posted. If you had insufficient available funds when a transaction posted, fees may have been assessed.

Summary of checks written (checks listed are also displayed in the preceding Transaction history)

| Number | Date | Amount | Number | Date | Amount | Number | Date | Amount |
|--------|------|----------|--------|------|----------|--------|------|----------|
| 1004 | 8/8 | 7,500.00 | 1005 | 8/20 | 7,500.00 | 1006 | 8/29 | 5,000.00 |

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Basic Business Checking[®] IOLTA

Account number: 7574171950 ■ September 1, 2014 - September 30, 2014 ■ Page 1 of 4



MARIO MATA
 DBA MARIO A. MATA IOLTA
 TX IOLTA ACCT
 2804 HUBBARD CIR
 AUSTIN TX 78746-5619

Questions?

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1-800-CALL-WELLS (1-800-225-5935)

TTY: 1-800-877-4833
 En español: 1-877-337-7454

Online: wellsfargo.com/biz

Write: Wells Fargo Bank, N.A. (808)
 P.O. Box 6995
 Portland, OR 97228-6995

Your Business and Wells Fargo

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The Wells Fargo Works site offers free access to business information and advice through videos, articles, and other small business resources. This site offers objective information from industry experts, best practices from real business owners, as well as numerous Wells Fargo solutions that can help you run your business. Learn more about Wells Fargo Works at wellsfargoworks.com

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- Online Statements
- Business Bill Pay
- Business Spending Report
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IMPORTANT ACCOUNT INFORMATION

Enhancements coming to your transaction descriptions including cash back detail

Over the next few months, you will notice changes to the descriptions for debit, ATM or prepaid card transactions. These enhancements provide more detail about your transactions, and include new descriptions for purchases with cash back. For debit, ATM, or prepaid card merchant purchases with a request for cash back, the transaction description will include the words "cash" or "cash back," and may include the dollar amount of cash requested.

Activity summary

| | |
|------------------------------------|--------------------|
| Beginning balance on 9/1 | \$32,700.00 |
| Deposits/Credits | 0.56 |
| Withdrawals/Debits | - 7,500.96 |
| Ending balance on 9/30 | \$25,200.00 |
| | |
| Average ledger balance this period | \$25,950.00 |

Account number: 7574171950

MARIO MATA
 DBA MARIO A. MATA IOLTA
 TX IOLTA ACCT

Texas/Arkansas account terms and conditions apply.

For Direct Deposit use
 Routing Number (RTN): 111900659

For Wire Transfers use
 Routing Number (RTN): 121000248

Account number: 7574171950 • September 1, 2014 - September 30, 2014 • Page 2 of 4



Interest summary

| | |
|---------------------------------------|-------------|
| Interest paid this statement | \$0.96 |
| Average collected balance | \$25,950.00 |
| Annual percentage yield earned | 0.05% |
| Interest earned this statement period | \$0.96 |
| Interest paid this year | \$23.70 |


Transaction history

| Date | Check Number | Description | Deposits/ Credits | Withdrawals/ Debits | Ending daily balance |
|-------------------------------|--------------|---------------------------------------|----------------------|------------------------|-------------------------|
| 9/4 | 1007 | Check | | 7,500.00 | 25,200.00 |
| 9/30 | | Interest Payment | 0.96 | | |
| 9/30 | | Int Transferred to TX 000000110507004 | | 0.96 | 25,200.00 |
| Ending balance on 9/30 | | | | | 25,200.00 |
| Totals | | | \$0.96 | \$7,500.96 | |

The Ending Daily Balance does not reflect any pending withdrawals or holds on deposited funds that may have been outstanding on your account when your transactions posted. If you had insufficient available funds when a transaction posted, fees may have been assessed.

Summary of checks written (checks listed are also displayed in the preceding Transaction history)

| Number | Date | Amount |
|--------|------|----------|
| 1007 | 9/4 | 7,500.00 |

 **IMPORTANT ACCOUNT INFORMATION**

The following provisions are being added to the Business Account Agreement and the Selected Terms and Conditions for Wells Fargo Business Debit Cards, Business ATM Cards and Business Deposit Cards (each, an "Agreement") to clarify the use of a Business Debit Card through a mobile device.

Using your Business Debit Card through a Mobile Device

If you make Card transactions through a Mobile Device using a Business Debit Card, the terms of the Agreement apply with the same effect and coverage, including (i) any limitations the Bank places on the frequency or dollar amount of your Card transactions; and (ii) your rights and responsibilities for unauthorized transactions.

As used in this Agreement, "Mobile Device" means a smartphone, tablet, or any other hand held or wearable communication device that allows you to electronically store or electronically present your Card or Card number ("Electronic Card Information") and use that Electronic Card Information to make Card transactions.

If you use your Card through a Mobile Device, you should secure the Mobile Device the same as you would your cash, checks, credit cards, and other valuable information. The Bank encourages you to password protect or lock your Mobile Device to help prevent an unauthorized person from using it. Please notify the Bank promptly if your Mobile Device containing Electronic Card Information is lost or stolen. You may contact the Bank's National Business Banking Center at the number listed on the statement for reporting a lost or stolen Card (1-800-225-5935). Please note that your mobile carrier may charge you message and data rates, or other fees, when you use your Card through a Mobile Device.

Account number: **7574171950** ■ September 1, 2014 - September 30, 2014 ■ Page 3 of 4



Card transactions made through a Mobile Device may involve the electronic transmission of your Card information across wireless and computer networks. Third parties, such as merchants, card association networks, mobile carriers, mobile wallet operators, mobile device manufacturers, and software application providers may use and receive Electronic Card Information in connection with your Card transaction. Third parties may also receive information about your Mobile Device when you use it to make a Card transaction. When you use your Card through a Mobile Device, information about your Mobile Device may be transmitted to the Bank.

The Bank may, at any time, partially or fully restrict your ability to make Card transactions through a Mobile Device. If you want to remove Electronic Card Information from your Mobile Device, please contact the Bank at 1-800-225-5935.

If you have enrolled in an overdraft protection plan, the terms of that plan will apply to Card transactions made through a Mobile Device. If there are insufficient available funds under the plan to cover the amount of the overdraft, or if you do not have an overdraft protection plan, a Card transaction made through a Mobile Device will be considered to be a one-time debit card transaction. Overdraft fees will apply as provided for in the Agreement and the Business Account Fees and Information Schedule.

The Wells Fargo Business Account Agreement will be updated effective October 29, 2014, and the Terms & Conditions for Wells Fargo Business Debit Cards will be updated effective November 15, 2014, to clarify that if you attempt to make a debit card purchase that causes you to exceed your daily purchase limit, we may, in certain circumstances, authorize that debit card transaction provided you have a sufficient balance to cover the purchase. Your daily limits are subject to periodic review and are subject to change based on account history, activity, and other factors. (Not available for certain accounts such as savings accounts.)

For more details, refer to the Business Account Agreement Addenda at wellsfargo.com/biz/products/accounts/fee_information or contact your local banker.

Basic Business Checking[®] IOLTA

Account number: 7574171950 ■ October 1, 2014 - October 31, 2014 ■ Page 1 of 4



MARIO MATA
 DBA MARIO A. MATA IOLTA
 TX IOLTA ACCT
 2804 HUBBARD CIR
 AUSTIN TX 78746-5619

Questions?

Available by phone 24 hours a day, 7 days a week:

1-800-CALL-WELLS (1-800-225-5935)

TTY: 1-800-877-4833

En español: 1-877-337-7454

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Account options

A check mark in the box indicates you have these convenient services with your account(s). Go to wells Fargo.com/biz or call the number above if you have questions or if you would like to add new services.

- Business Online Banking
- Online Statements
- Business Bill Pay
- Business Spending Report
- Overdraft Protection

Activity summary

| | |
|--|-------------------|
| Beginning balance on 10/1 | \$25,200.00 |
| Deposits/Credits | 0.29 |
| Withdrawals/Debits | - 22,500.29 |
| Ending balance on 10/31 | \$2,700.00 |
| Average ledger balance this period | \$7,377.41 |

Account number: 7574171950

MARIO MATA
 DBA MARIO A. MATA IOLTA
 TX IOLTA ACCT

Texas/Arkansas account terms and conditions apply

For Direct Deposit use
 Routing Number (RTN): 111900659

For Wire Transfers use
 Routing Number (RTN): 121000240

Interest summary

| | |
|---------------------------------------|------------|
| Interest paid this statement | \$0.29 |
| Average collected balance | \$7,377.41 |
| Annual percentage yield earned | 0.05% |
| Interest earned this statement period | \$0.29 |
| Interest paid this year | \$23.99 |

Account number: 7574171950 ■ October 1, 2014 - October 31, 2014 ■ Page 2 of 4



Transaction history

| Date | Check Number | Description | Deposits/ Credits | Withdrawals/ Debits | Ending daily balance |
|-------------------------|--------------|--|----------------------|------------------------|-------------------------|
| 10/2 | 1008 | Check | | 7,500.00 | 17,700.00 |
| 10/6 | | Online Transfer to Mario A. Mata, Pllc Ref #lbe5Kvhyc4 Business Checking #100556 | | 5,000.00 | 12,700.00 |
| 10/9 | 1009 | Check | | 2,500.00 | 10,200.00 |
| 10/9 | | Online Transfer to Mario A. Mata, Pllc Ref #lbe5Kvhyc4 Business Checking M100556 | | 5,000.00 | 5,200.00 |
| 10/23 | | Online Transfer to Mario A. Mata, Pllc Ref #lbe5Kvhyc4 Business Checking M100556 | | 2,500.00 | 2,700.00 |
| 10/31 | | Interest Payment | 0.29 | | |
| 10/31 | | Int Transferred to TX 000000110507004 | | 0.29 | 2,700.00 |
| Ending balance on 10/31 | | | | | 2,700.00 |
| Totals | | | \$0.29 | \$22,500.29 | |

The Ending Daily Balance does not reflect any pending withdrawals or holds on deposited funds that may have been outstanding on your account when your transactions posted. If you had insufficient available funds when a transaction posted, fees may have been assessed.

Summary of checks written (checks listed are also displayed in the preceding Transaction history)

| Number | Date | Amount | Number | Date | Amount |
|--------|------|----------|--------|------|----------|
| 1008 | 10/2 | 7,500.00 | 1009 | 10/9 | 2,500.00 |



You could go to Super Bowl XLIX in Arizona courtesy of Visa!
Visit wellsfargo.com/visafootball for details and Official Rules.

NO PURCHASE OR OBLIGATION NECESSARY TO ENTER OR WIN.



IMPORTANT ACCOUNT INFORMATION

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As used in this Agreement, "Mobile Device" means a smartphone, tablet, or any other hand held or wearable communication device that allows you to electronically store or electronically present your Card or Card number ("Electronic Card Information") and use that Electronic Card Information to make Card transactions.

If you use your Card through a Mobile Device, you should secure the Mobile Device the same as you would your cash, checks, credit cards, and other valuable information. The Bank encourages you to password protect or lock your Mobile Device to help prevent an

Account number: **7574171950** ■ October 1, 2014 - October 31, 2014 ■ Page 3 of 4

unauthorized person from using it. Please notify the Bank promptly if your Mobile Device containing Electronic Card Information is lost or stolen. You may contact the Bank's National Business Banking Center at the number listed on the statement for reporting a lost or stolen Card (1-800-225-5935). Please note that your mobile carrier may charge you message and data rates, or other fees, when you use your Card through a Mobile Device.

Card transactions made through a Mobile Device may involve the electronic transmission of your Card Information across wireless and computer networks. Third parties, such as merchants, card association networks, mobile carriers, mobile wallet operators, mobile device manufacturers, and software application providers may use and receive Electronic Card Information in connection with your Card transaction. Third parties may also receive information about your Mobile Device when you use it to make a Card transaction. When you use your Card through a Mobile Device, information about your Mobile Device may be transmitted to the Bank.

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If you have enrolled in an overdraft protection plan, the terms of that plan will apply to Card transactions made through a Mobile Device. If there are insufficient available funds under the plan to cover the amount of the overdraft, or if you do not have an overdraft protection plan, a Card transaction made through a Mobile Device will be considered to be a one-time debit card transaction. Overdraft fees will apply as provided for in the Agreement and the Business Account Fee and Information Schedule.

Basic Business Checking® IOLTA

Account number: 7574171950 ■ November 1, 2014 - November 30, 2014 ■ Page 1 of 3



MARIO MATA
 DBA MARIO A. MATA IOLTA
 TX IOLTA ACCT
 2604 HUBBARD CIR
 AUSTIN TX 78748-5619

Questions?

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1-800-CALL-WELLS (1-800-225-5935)

TTY: 1-800-877-4833

En español: 1-877-337-7454

Online: wellsfargo.com/biz

Write: Wells Fargo Bank, N.A. (808)
 P.O. Box 6935
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Your Business and Wells Fargo

Wells Fargo Works for Small Business website

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Account options

A check mark in the box indicates you have these convenient services with your account(s). Go to wellsfargo.com/biz or call the number above if you have questions or if you would like to add new services.

- Business Online Banking
- Online Statements
- Business Bill Pay
- Business Spending Report
- Overdraft Protection

Activity summary

| | |
|------------------------------------|---------------|
| Beginning balance on 11/1 | \$2,700.00 |
| Deposits/Credits | 275.01 |
| Withdrawals/Debits | - 2,975.01 |
| Ending balance on 11/30 | \$0.00 |
| | |
| Average ledger balance this period | \$327.50 |

Account number: 7574171950

MARIO MATA
 DBA MARIO A. MATA IOLTA
 TX IOLTA ACCT

Texas/Arkansas account terms and conditions apply

For Direct Deposit use
 Routing Number (RTN): 111900659

For Wire Transfer use
 Routing Number (RTN): 121000240

Interest summary

| | |
|---------------------------------------|----------|
| Interest paid this statement | \$0.01 |
| Average collected balance | \$300.00 |
| Annual percentage yield earned | 0.04% |
| Interest earned this statement period | \$0.01 |
| Interest paid this year | \$24.00 |

Account number: 7574171950 ■ November 1, 2014 - November 30, 2014 ■ Page 2 of 3



Transaction history

| <i>Date</i> | <i>Check Number</i> | <i>Description</i> | <i>Deposits/ Credits</i> | <i>Withdrawals/ Debits</i> | <i>Ending daily balance</i> |
|--------------------------------|-------------------------|---|------------------------------|--------------------------------|---------------------------------|
| 11/13 | | Online Transfer to Mario A. Mata, Pllc Ref #lbc2P3Dw69 Business Checking M100566 Advance | | 2,500.00 | 200.00 |
| 11/21 | | Deposit | 275.00 | | |
| 11/21 | | Online Transfer to Marlo A. Mata, Pllc Ref #lbcvln6Zgr Business Checking Refund Original Contribution to Account | | 200.00 | 275.00 |
| 11/24 | | Online Transfer to Marlo A. Mata, Pllc Ref #lbcqylxwrg Business Checking Reimbursement of Contribution By LLP | | 275.00 | 0.00 |
| 11/28 | | Interest Payment | 0.01 | | |
| 11/28 | | Int Transferred to TX 000000110507004 | | 0.01 | 0.00 |
| Ending balance on 11/30 | | | | | 0.00 |
| Totals | | | \$275.01 | \$2,975.01 | |

The Ending Daily Balance does not reflect any pending withdrawals or holds on deposited funds that may have been outstanding on your account when your transactions posted. If you had insufficient available funds when a transaction posted, fees may have been assessed.

Basic Business Checking® IOLTA

Account number: 7574171950 ■ December 1, 2014 - December 31, 2014 ■ Page 1 of 2



REDACTED INFORMATION FALLS OUTSIDE THE SCOPE OF THE ORDER

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 DBA MARIO A. MATA IOLTA
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 2804 HUBBARD CIR
 AUSTIN TX 78746-5619

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Online: wellsfargo.com/biz

Write: Wells Fargo Bank, N.A. (308)
 P.O. Box 6995
 Portland, OR 97228-6995

Your Business and Wells Fargo

Don't forget to notify us of your business travel plans to help avoid issues when using your Wells Fargo cards while traveling. It's easy to notify us online at wellsfargo.com/travelplan, through the Wells Fargo mobile app, or by calling the phone number on the back of your card.

Account options

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- Business Online Banking
- Online Statements
- Business Bill Pay
- Business Spending Report
- Overdraft Protection

Activity summary

| | |
|---------------------------|------------|
| Beginning balance on 12/1 | \$0.00 |
| Deposits/Credits | [REDACTED] |
| Withdrawals/Debits | [REDACTED] |
| Ending balance on 12/31 | [REDACTED] |

Account number: 7574171950

MARIO MATA
 DBA MARIO A. MATA IOLTA
 TX IOLTA ACCT

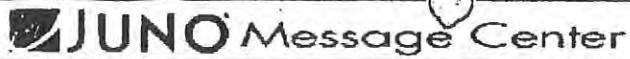
Texas/Arkansas account terms and conditions apply

For Direct Deposit use
 Routing Number (RTN): 111900659

For Wire Transfers use
 Routing Number (RTN): 121000248

Interest summary

| | |
|---------------------------------------|------------|
| Interest paid this statement | \$0.00 |
| Average collected balance | [REDACTED] |
| Annual percentage yield earned | 0.00% |
| Interest earned this statement period | \$0.00 |
| Interest paid this year | \$24.00 |

The logo for Juno Message Center, featuring the word "JUNO" in a bold, sans-serif font, followed by "Message Center" in a smaller, regular font. The logo is positioned at the top left of the page, above a horizontal line.

From: "jcdanish@juno.com" <jcdanish@juno.com>

To: mario.mata@privatewealthlaw.com

Sent: Tue, Oct 13, 2015, 04:36 PM

Subject: Dr. Joel Anthony Moser; Your File No. M94475

Moser, Joel.10.12.... (60KB)

Attorney Mata,

Attached, please find my transmittal letter concerning the above matter.

— Please note that this letter has also been sent to you via Certified Mail Return Receipt Requested, Regular Mail, and Fax.

Please do not hesitate to contact my office at (972) 554-0500 with questions or concerns. Thank you.

Yours very truly,

John C. Danish, Atty.
1117 Capitol Court
Irving, TX 75060
Tel: 972-554-0500
Fax: 972-579-1343
jcdanish@juno.com

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Petitioner's
Exhibit

10

JOHN C. DANISH
Attorney and Counselor at Law
1117 Capitol Court
Irving, Texas 75060-6200

Telephone: (972) 554-0500
Toll Free: (888) 866-6501

Facsimile: (972) 579-1343
E-Mail: jcdanish@juno.com

October 13, 2015

VIA CERTIFIED MAIL RETURN RECEIPT REQUESTED # 7015 0640 0004 7806 3876
REGULAR MAIL, FACSIMILE (214) 276-7305, AND
ELECTRONIC MAIL <mario.mata@privatewealthlaw.com>

Attorney Mario A. Mata
500 E. 4th St., Ste. 353
Austin, Texas 78701

Re: Dr. Joel Anthony Moser; Your File No. M94475

Dear Attorney Mata:

Please be advised that I represent Dr. Joel Anthony Moser regarding the above matter. As you may recall, in 2005 you assisted Dr. Moser in the formation and implementation of **The Joel A. Moser Family Trust**, an international trust which was registered with the Cook Islands Registrar on October 24, 2005.

Further, as you know, you communicated with my client on or about August 8, 2013, concerning your willingness to facilitate Dr. Moser regarding his desire to make an additional \$270,000.00 contribution into The Joel A. Moser Family Trust.

During this August 8, 2013, communication, you suggested that my client deposit said \$270,000.00 into your IOLTA Trust Account, and in return, you would assist in the disbursement of the \$270,000.00 into my client's The Joel A. Moser Family Trust.

Per your suggestion, my client deposited \$270,000.00 into your IOLTA CitiBank Trust Account, Account Number 9786201289, the following day, August 9, 2013. A copy of the August 9, 2013, Deposit Slip and Transaction Receipt is attached hereto as Exhibit "A" and incorporated by reference herein.

As you know, unfortunately, the \$270,000.00 was never disbursed into my client's trust account.

It is my understanding that Dr. Moser as well as Dr. Moser's Certified Public Accountants, Millian & Co., P.C., have tried contacting you since January 2014, to complete this transfer of his funds. Sadly, Dr. Moser has been forced to retain my services to attempt to resolve this matter and to reclaim his \$ 270,000.00.

Letter to Attorney Mario Mata
October 12, 2015
Page 2 of 2

Upon your receipt of this letter, please provide to my office proof of disbursement from your IOLTA Trust account concerning your assisting Dr. Moser in making the \$270,000.00 contribution into his The Joel A. Moser Family Trust.

If you find that this \$270,000.00 remains in your IOLTA Trust Account, please remit the \$270,000.00, immediately, in its entirety, by returning it into Dr. Moser's Texas Bank and Trust account named **The Moser Family Trust**, Account No. 1456407. The Mailing Address for Texas Bank and Trust is PO Box 3188, Longview, Texas 75606, and the telephone number for Texas Bank and Trust is (903) 237-5500.

I remain hopeful that this matter can be amicably resolved.

If you have any questions or concerns, please feel free to contact my office via my above referenced contact information. Thank you.

Yours very truly,



John C. Danish

John C. Danish

cc: Dr. Joel A. Moser
1506 Oak Knoll Street
Dallas, Texas 75208

JOHN C. DANISH

Attorney and Counselor at Law
1117 Capitol Court
Irving, Texas 75060-6200

Telephone: (972) 554-0500
Toll Free: (888) 866-6501

Facsimile: (972) 579-1343
E-Mail: jcdanish@juno.com

Fax Transmittal Sheet

ATTN: ATTORNEY MARIO A. MATA

FROM: John C. Danish

DATE: October 13, 2015

FAX: (214) 276-7305

NUMBER OF PAGES INCLUDING COVER PAGE: THREE (3)

RE: Dr. Joel Anthony Moser; Your File No. M94475

COMMENTS: Enclosed, please find my transmittal letter concerning the above-referenced matter. Please do not hesitate to contact my office at (972) 554-0500 with questions or concerns. Thank you for your assistance in this matter.

PLEASE NOTE: The information contained in this facsimile transmission is intended only for the use of the individual or entity to which it is addressed and may contain information that is privileged under the attorney-client privilege.

SEND REPORT

TERMINAL # 123 OCT-13-15 TUE 13:50 P.01

| NO. | DATE | START | RECEIVER | TX TIME | PAGES | NOTE |
|-------------|--------|-------|----------------|-------------------|-------------|------|
| 1 | OCT-13 | 15:48 | (214) 276-7305 | 2' 21" | 3 | OK |
| TOTAL | | | | 2' 21" | 3 | |
| GRAND TOTAL | | | | TIME: 55M 49M 04S | PAGES: 1251 | |

JOHN C. DANISH
Attorney and Counselor at Law
1117 Capitol Court
Irving, Texas 75060-6200

Telephone: (972) 554-0500
Toll Free: (888) 866-6501

Facsimile: (972) 579-1343
E-Mail: jcdanish@juno.com

October 13, 2015

VIA CERTIFIED MAIL RETURN RECEIPT REQUESTED # 7015 0640 0004 7806 3876
REGULAR MAIL, FACSIMILE (214) 276-7305, AND
ELECTRONIC MAIL <mario.mata@privatewealthlaw.com>

Attorney Mario A. Mata
500 E. 4th St., Ste. 353
Austin, Texas 78701

Re: Dr. Joel Anthony Moser; Your File No. M94475

Dear Attorney Mata:

Please be advised that I represent Dr. Joel Anthony Moser regarding the above matter. As you may recall, in 2005 you assisted Dr. Moser in the formation and implementation of **The Joel A. Moser Family Trust**, an international trust which was registered with the Cook Islands Registrar on October 24, 2005.

Further, as you know, you communicated with my client on or about August 8, 2013, concerning your willingness to facilitate Dr. Moser regarding his desire to make an additional \$270,000.00 contribution into The Joel A. Moser Family Trust.

During this August 8, 2013, communication, you suggested that my client deposit said \$270,000.00 into your IOLTA Trust Account, and in return, you would assist in the disbursement of the \$270,000.00 into my client's The Joel A. Moser Family Trust.

Per your suggestion, my client deposited \$270,000.00 into your IOLTA CitiBank Trust Account, Account Number 9786201289, the following day, August 9, 2013. A copy of the August 9, 2013, Deposit Slip and Transaction Receipt is attached hereto as Exhibit "A" and incorporated by reference herein.

As you know, unfortunately, the \$270,000.00 was never disbursed into my client's trust account.

It is my understanding that Dr. Moser as well as Dr. Moser's Certified Public Accountants, Millian & Co., P.C., have tried contacting you since January 2014, to complete this transfer of his funds. Sadly, Dr. Moser has been forced to retain my services to attempt to resolve this matter and to reclaim his \$ 270,000.00.

Letter to Attorney Mario P. Mata
October 12, 2015
Page 2 of 2

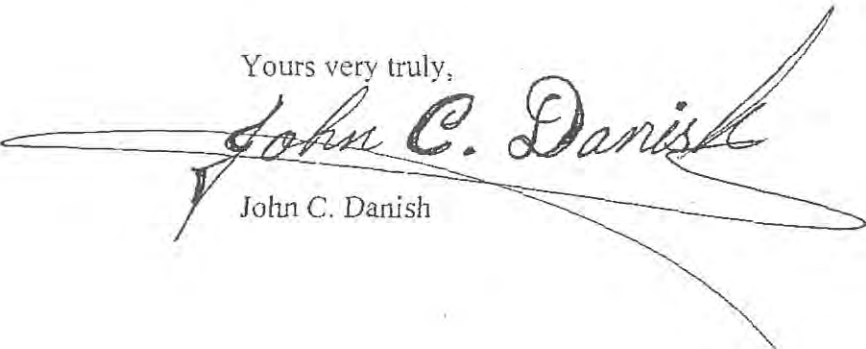
Upon your receipt of this letter, please provide to my office proof of disbursement from your IOLTA Trust account concerning your assisting Dr. Moser in making the \$270,000.00 contribution into his The Joel A. Moser Family Trust.

If you find that this \$270,000.00 remains in your IOLTA Trust Account, please remit the \$270,000.00, immediately, in its entirety, by returning it into Dr. Moser's Texas Bank and Trust account named **The Moser Family Trust**, Account No. 1456407. The Mailing Address for Texas Bank and Trust is PO Box 3188, Longview, Texas 75606, and the telephone number for Texas Bank and Trust is (903) 237-5500.

I remain hopeful that this matter can be amicably resolved.

If you have any questions or concerns, please feel free to contact my office via my above referenced contact information. Thank you.

Yours very truly,



John C. Danish

John C. Danish

cc: Dr. Joel A. Moser
1506 Oak Knoll Street
Dallas, Texas 75208

JOHN C. DANISH
Attorney and Counselor at Law
1117 Capitol Court
Irving, Texas 75060-6200

Telephone: (972) 554-0500
Toll Free: (888) 866-6501

Facsimile: (972) 579-1343
E-Mail: jcdanish@juno.com

February 9, 2016

VIA CERTIFIED MAIL RETURN RECEIPT REQUESTED # 7015 0640 0004 7805 7554
REGULAR MAIL, FACSIMILE (214) 276-7305, AND
ELECTRONIC MAIL <mario.mata@privatewealthlaw.com>

Attorney Mario A. Mata
500 E. 4th St., Ste. 353
Austin, Texas 78701

Re: Dr. Joel Anthony Moser; Your File No. M94475

Dear Attorney Mata:

As you know, I represent Dr. Joel Anthony Moser regarding the above matter. Please find this letter as a follow-up to my letter to you dated October 13, 2015, and my telephone conversation with you on October 23, 2015.

As stated within my October 13, 2015, letter to you, in 2005 you assisted Dr. Moser in the formation and implementation of *The Joel A. Moser Family Trust*, an international trust which was registered with the Cook Islands Registrar on October 24, 2005.

As discussed within my October 13, 2015, letter to you, you communicated with my client on or about August 8, 2013, concerning your willingness to facilitate Dr. Moser regarding his desire to make an additional \$270,000.00 contribution into *The Joel A. Moser Family Trust*.

During this August 8, 2013, communication, you suggested that my client deposit said \$270,000.00 into your IOLTA Trust Account, and in return, you would assist in the disbursement of the \$270,000.00 into my client's *The Joel A. Moser Family Trust*.

Per your suggestion, my client deposited \$270,000.00 into your CitiBank IOLTA Trust Account, Account Number 9786201289, the following day, August 9, 2013.

A copy of the August 9, 2013, Deposit Slip and Transaction Receipt is attached hereto as Exhibit "A" and incorporated by reference herein.

Further, a copy of the \$270,000.00 Longview Bank and Trust Check, Check No. 1132, which was deposited into your CitiBank IOLTA Trust Account on August 12, 2015, is attached hereto as Exhibit "B" and incorporated by reference herein.

**Petitioner's
Exhibit**



Letter to Attorney Mario A. Mata
February 9, 2016
Page 2 of 2

The enclosed Exhibits "A" and "B" show that the \$270,000.00 was deposited into your CitiBank IOLTA Trust Account. As you know, however, unfortunately, the \$270,000.00 was never disbursed into my client's trust account. My client, as well as his accountants, has been attempting to reach out to you since January 2014 to resolve this matter

As you also know, during my telephone conversation with you on October 23, 2015, you assured both me and my client that you would have the matter resolved within the last quarter of 2015, and you would have the \$270,000.00 returned to my client's Texas Bank and Trust account named **The Moser Family Trust**, Account No. 1456407 by the 2015 year end.

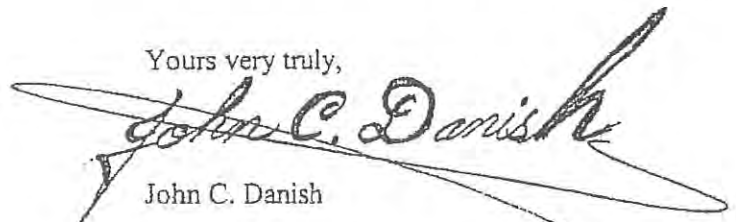
My client has been waiting patiently for the return on the \$270,000.00 back to his above account. However, as you know, you have yet to return his money.

Please remit the \$270,000.00, within 10 days following your receipt of this letter, in its entirety, by returning it into Dr. Moser's Texas Bank and Trust account named **The Moser Family Trust**, Account No. 1456407. The Mailing Address for Texas Bank and Trust is PO Box 3188, Longview, Texas 75606, and the telephone number for Texas Bank and Trust is (903) 237-5500.

I have advised my client of his right to confer with the District Attorney's Office of Dallas County, Texas, as well as the Texas State Bar concerning the loss his \$270,000.00 which, as shown via the enclosed Exhibits "A" and "B", he deposited into your CitiBank IOLTA Trust Account.

If you have any questions or concerns, please feel free to contact my office via my above referenced contact information. Thank you.

Yours very truly,



John C. Danish

Encl: (1) EXHIBIT A
(2) EXHIBIT B

cc: Dr. Joel A. Moser
1506 Oak Knoll Street
Dallas, Texas 75208
[with Enclosures]

10/12/2015 8:11 AM FAX ++2146302130

0002/0003

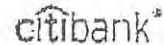
Transaction Receipt / Funds Availability Notice

CKG: DEPOSIT 08/09/13 17:03 FC#00148 FA# 002
065-01 Acct# xxxxxxxx289 \$270,000.00 ONL

Funds from your deposit/check cashing transaction will be delayed. Reasons and funds availability schedule follow.

\$250.00 Available Today
\$99.800 08/10/13 Standard delay
\$169.950 08/13/13 Total check(s) deposited/cashed on this day exceed \$100,000.

Thank you for banking with Citibank.



Date: 8/9/13 Check your type of account below

Deposit to: Checking Money Market Account

Deposit to: Savings Certificate of Deposit
 Passbook Savings Market Rate Account

Checks and other items are received for deposit subject to the applicable account rules and regulations. Deposits may not be available for withdrawal immediately.

Account Name MARIO MARTO LLP/ALT TRUST A.

Address 244 Cedar Grove Rd

THANK YOU

Account Number * 9786201289

Cash

| | |
|--------------------|----------------------|
| 1 | |
| 2 | |
| 3 | |
| 4 | |
| 5 | |
| Subtotal | |
| Less Cash Received | |
| TOTAL | \$ 270,000.00 |

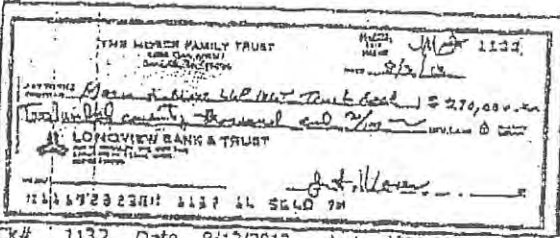
DOLLARS CENTS

CUSTOMER COPY

EXHIBIT "A"

Date 9/4/2013
Account

Page 3
1456407



Chk# 1132 Date 8/12/2013 Amt \$270,000.00

BEFORE THE DISTRICT 6 GRIEVANCE COMMITTEE
EVIDENTIARY PANEL 6-A1
STATE BAR OF TEXAS

COMMISSION FOR LAWYER
DISCIPLINE,
Petitioner

V.

MARIO A. MATA,
Respondent

§
§
§
§
§
§
§

D0071040676



JUDGMENT OF PROBATED SUSPENSION

Parties and Appearance

On April 5, 2012, came to be heard the above styled and numbered cause. Petitioner, the Commission for Lawyer Discipline, appeared by and through its attorney of record and announced ready. Respondent, Mario A. Mata, Texas Bar Number 13184400, appeared in person and announced ready.

Jurisdiction and Venue

The Evidentiary Panel 6-A1, having been duly appointed to hear this complaint by the chair of the Grievance Committee for State Bar of Texas District 6, finds that it has jurisdiction over the parties and the subject matter of this action and that venue is proper.

Professional Misconduct

The Evidentiary Panel, having considered all of the pleadings, evidence, stipulations, and argument, finds Respondent has committed Professional Misconduct as defined by Rule 1.06(V) of the Texas Rules of Disciplinary Procedure.

Findings of Fact

The Evidentiary Panel, having considered the pleadings, evidence and argument of



counsel, makes the following findings of fact and conclusions of law:

1. Respondent is an attorney licensed to practice law in Texas and is a member of the State Bar of Texas. *sh*
2. Respondent ~~resides in~~ and maintains his ~~principal place of practice~~ *in law office sh* in Dallas County, Texas.
3. In representing Keith Zagar, Respondent neglected the case by failing to prepare a limited partnership agreement and perform related estate planning.
4. Respondent failed to keep Keith Zagar reasonably informed about the status of the limited partnership agreement and related estate planning.
5. Respondent failed to hold funds belonging to Keith Zagar that were in Respondent's possession in connection with the representation separate from Respondent's own property.
6. Upon termination of the representation, Respondent failed to refund the advance payment of a fee that had not been earned.
7. The Chief Disciplinary Counsel of the State Bar of Texas has incurred reasonable attorneys' fees and direct expenses associated with this Disciplinary Proceeding in the amount of Four Thousand One Hundred Eighty Dollars and 86/100 (\$4,180.86).
8. Respondent owes restitution in the amount of Seven Thousand Five Hundred Dollars and No/100 (\$7,500.00) payable to Keith Zagar.

Conclusions of Law

The Evidentiary Panel concludes that, based on foregoing findings of fact, the following Texas Disciplinary Rules of Professional Conduct have been violated: 1.01(b)(1), 1.03(a), 1.14(a), and 1.15(d).

Sanction

The Evidentiary Panel, having found that Respondent has committed professional misconduct, heard and considered additional evidence regarding the appropriate sanction to be imposed against Respondent. After hearing all evidence and argument and after having considered the factors in Rule 2.18 of the Texas Rules of Disciplinary Procedure, the Evidentiary Panel finds that the proper discipline of the Respondent for each act of Professional Misconduct is a Probated Suspension.

Accordingly, it is ORDERED, ADJUDGED and DECREED that Respondent be

suspended from the practice of law for a period of one (1) year, with the suspension being fully probated pursuant to the terms stated below. The period of probated suspension shall begin on May 1, 2012, and shall end on April 30, 2013.

Terms of Probation

It is further ORDERED that during all periods of suspension, Respondent shall be under the following terms and conditions:

1. Respondent shall not violate any term of this judgment.
2. Respondent shall not engage in professional misconduct as defined by Rule 1.06(V) of the Texas Rules of Disciplinary Procedure.
3. Respondent shall not violate any state or federal criminal statutes.
4. Respondent shall keep the State Bar of Texas Membership Department notified of current mailing, residence and business addresses and telephone numbers.
5. Respondent shall comply with Minimum Continuing Legal Education requirements.
6. Respondent shall comply with Interest on Lawyers Trust Account (IOLTA) requirements.
7. Respondent shall promptly respond to any request for information from the Chief Disciplinary Counsel's office in connection with any investigation of any allegations of professional misconduct.
8. Respondent shall pay all reasonable and necessary attorneys' fees and direct expenses to the State Bar of Texas in the amount of Four Thousand One Hundred Eighty Dollars and 86/100 (\$4,180.86). The payment shall be due and payable on or before June 1, 2012, and shall be made by certified or cashier's check or money order. Respondent shall forward the funds, made payable to the State Bar of Texas, to the Chief Disciplinary Counsel's Office, P.O. Box 12487, Austin, TX 78711-2487 (1414 Colorado St., Austin, TX 78701).
9. Respondent shall pay restitution on or before June 1, 2012, to Keith Zagar, in the amount of Seven Thousand Five Hundred Dollars and No/100 (\$7,500.00). Respondent shall pay the restitution by certified or cashier's check or money order made payable to Keith Zagar and delivered to the State Bar of Texas, Chief Disciplinary Counsel's Office, P.O. Box 12487, Austin, TX 78711-2487 (1414 Colorado St., Austin, TX 78701).
10. In addition to complying with the Minimum Continuing Legal Education (MCLE) requirements of the State Bar of Texas, Respondent shall complete ten (10) additional hours of continuing legal education in the area of Ethics. These additional hours of CLE are to be completed between May 1, 2012, and May 31, 2013. Within ten (10) days of the completion of these additional CLE hours, Respondent shall verify completion of the course to the State Bar of Texas, via **USPS: Office of the CDC, State Bar of Texas, P.O. Box 12487, Austin, TX 78711-2487; or via Delivery: Office of the CDC, State Bar of Texas, 1414**

Colorado St., Suite 200, Austin, TX 78701.

11. Respondent shall make contact with the Chief Disciplinary Counsel's Offices' Compliance Monitor at 877-953-5535, ext. 1334 and Special Programs Coordinator at 877-953-5535, ext. 1323, not later than seven (7) days after receipt of a copy of this judgment to coordinate Respondent's compliance with the terms of the judgment.

Probation Revocation

Upon determination that Respondent has violated any term of this judgment, the Chief Disciplinary Counsel may, in addition to all other remedies available, file a motion to revoke probation pursuant to Rule 2.23 of the Texas Rules of Disciplinary Procedure with the Board of Disciplinary Appeals (BODA) and serve a copy of the motion on Respondent pursuant to Tex.R.Civ.P. 21a.

BODA shall conduct an evidentiary hearing. At the hearing, BODA shall determine by a preponderance of the evidence whether Respondent has violated any term of this Judgment. If BODA finds grounds for revocation, BODA shall enter an order revoking probation and placing Respondent on active suspension from the date of such revocation order. Respondent shall not be given credit for any term of probation served prior to revocation.

It is further ORDERED that any conduct on the part of Respondent which serves as the basis for a motion to revoke probation may also be brought as independent grounds for discipline as allowed under the Texas Disciplinary Rules of Professional Conduct and the Texas Rules of Disciplinary Procedure.

Restitution, Attorneys' Fees and Expenses

It is further ORDERED that Respondent shall pay restitution on or before June 1, 2012, to Keith Zagar in amount of Seven Thousand Five Hundred Dollars and No/100 (\$7,500.00). Respondent shall pay the restitution by certified or cashier's check or money

order made payable to Keith Zagar and delivered to the State Bar of Texas, Chief Disciplinary Counsel's Office, P.O. Box 12487, Austin, TX 78711-2487 (1414 Colorado St., Austin, TX 78701).

It is further ORDERED that Respondent shall pay all reasonable and necessary attorneys' fees and direct expenses to the State Bar of Texas the amount of Four Thousand One Hundred Eighty Dollars and 86/100 (\$4,180.86). The payment shall be due and payable on or before June 1, 2012, and shall be made by certified or cashier's check or money order. Respondent shall forward the funds, made payable to the State Bar of Texas, to the Chief Disciplinary Counsel's Office, P.O. Box 12487, Austin, TX 78711-2487 (1414 Colorado St., Austin, TX 78701).

It is further ORDERED that all amounts ordered herein are due to the misconduct of Respondent, and are assessed as a part of the sanction in accordance with Rule 1.06(Y) of the Texas Rules of Disciplinary Procedure. Any amount not paid shall accrue interest at the maximum legal rate per annum until paid and the State Bar of Texas shall have all writs and other post-judgment remedies against Respondent in order to collect all unpaid amounts.

Publication

This suspension shall be made a matter of record and appropriately published in accordance with the Texas Rules of Disciplinary Procedure.

Other Relief

All requested relief not expressly granted herein is expressly DENIED.

SIGNED this 26th day of April, 2012.

**EVIDENTIARY PANEL 6-A1
DISTRICT NO. 6
STATE BAR OF TEXAS**



**Eileen Hall
District 6-A1 Panel Chair**



Office of the Chief Disciplinary Counsel
Attorney's Fees and Expenses

Fees

Judith Gres DeBerry - Trial Attorney

Moser, Joel A. - Mata, Mario A. - 201800134

| Date | Activity | Time | Rate | Total |
|-------------------------------------|--|--------------|----------|-------------------|
| 6/25/2018 | Review file, allegations, and EP, edit EP | 0.42 | \$250.00 | \$105.00 |
| 7/31/2018 | T/C from C re: status, process, outside remedies, etc | 0.33 | \$250.00 | \$82.50 |
| 8/2/2018 | review file, prepare discovery requests | 1.92 | \$250.00 | \$480.00 |
| 9/18/2018 | receive/review discovery responses - ID deficient responses | 0.75 | \$250.00 | \$187.50 |
| 9/20/2018 | send email to R re: insufficient discovery responses, C waived atty-client priv | 0.33 | \$250.00 | \$82.50 |
| 11/13/2018 | T/C to C re: any subsequent contact w/ R, settlement discussion, discussion of atty-client priv., process, cact issues in case | 0.33 | \$250.00 | \$82.50 |
| 11/13/2018 | Prepare motion to compel with exhibits Sanctions \$300 | 0.02 | \$250.00 | \$5.00 |
| 11/13/2018 | prepare 2d request for Interrogatories & 2nd request for production | 0.92 | \$250.00 | \$230.00 |
| 3/15/2019 | prepare for Mata deposition - review file ID exhibits needed | 1.50 | \$250.00 | \$375.00 |
| 3/18/2019 | Mata depo prep - review trust account records, put w/d on spreadsheet, review copies of checks & transfer documents | 5.50 | \$250.00 | \$1,375.00 |
| 3/19/2019 | Mata deposition | 2.00 | \$250.00 | \$500.00 |
| 6/9/2019 | email from R re: depo dates & respond | 0.17 | \$275.00 | \$46.75 |
| 6/12/2019 | T/C to C re: depo date July 15 | 0.08 | \$275.00 | \$22.00 |
| 6/17/2019 | T/C to C re: deposition dates, email to R | 0.33 | \$275.00 | \$90.75 |
| 7/12/2019 | Prep for Moser depo - review R's depo, time-line events - ID exhibits | 1.08 | \$275.00 | \$297.00 |
| 7/12/2019 | prepare outline for C's depo | 1.58 | \$275.00 | \$434.50 |
| 7/15/2019 | Moser Depo, conference w/ Danish after depo | 1.50 | \$275.00 | \$412.50 |
| 11/15/2019 | begin trial ID exhibits needed for trail | 1.00 | \$275.00 | \$275.00 |
| 11/21/2019 | trial prep - opening stmt, - closing - start PPT - begin outline of witness testimony Moser | 3.08 | \$275.00 | \$847.00 |
| 11/22/2019 | trial prep- outline testimony for Moser, Mata | 1.50 | \$275.00 | \$412.50 |
| 11/25/2019 | complete outline of witness Moser & Mata testimony, review deposition transcripts & parts to read into record or to rebut, identify misrepresentations | 2.25 | \$275.00 | \$618.75 |
| 11/27/2019 | review testimony outline, add missing topics, finalize closing stgmt, mark depos | 2.00 | \$275.00 | \$550.00 |
| 12/3/2019 | review file - ppt - testimony outline & exhibits -sanction evid for prior discipline & conduct during proceeding | 1.92 | \$275.00 | \$528.00 |
| 3/3/2020 | review trial outline, ppt in prep for trial; check exhibits | 1.50 | \$275.00 | \$412.50 |
| 3/4/2020 | Estimated time for hearing | 4.00 | \$275.00 | \$1,100.00 |
| Case Total: | | 36.01 | | \$9,552.25 |
| 36.01 Hours: Assigned Attorney Time | | Total Fees: | | \$9,552.25 |

Expenses

Moser, Joel A. - Mata, Mario A. - 201800134

| Date | Description | Total |
|-----------|-------------------------------|--------|
| 4/17/2018 | Certified Mail Return Receipt | \$6.67 |
| 5/22/2018 | Certified Mail Return Receipt | \$6.74 |

**Petitioner's
 Exhibit**
13



Office of the Chief Disciplinary Counsel

| | | |
|------------|-------------------------------|--------------------------------|
| 6/27/2018 | Certified Mail Return Receipt | \$6.74 |
| 7/19/2018 | Certified Mail Return Receipt | \$6.74 |
| 8/3/2018 | Certified Mail Return Receipt | \$7.86 |
| 11/14/2018 | Certified Mail Return Receipt | \$7.65 |
| 1/24/2019 | Certified Mail Return Receipt | \$6.74 |
| 3/4/2019 | Costs | \$234.32 |
| 3/20/2019 | Personal Service | \$51.00 |
| 4/3/2019 | Personal Service | \$51.00 |
| 4/3/2019 | Business Records Request | \$44.25 |
| 4/10/2019 | Deposition Transcripts | \$595.30 |
| 5/6/2019 | Costs | \$44.25 |
| 7/15/2019 | Costs | \$234.32 |
| 8/12/2019 | Deposition Transcripts | \$407.80 |
| 3/4/2020 | Costs | \$554.94 |
| | | Subtotal: \$2266.32 |
| | | Total Case Expenses: \$2266.32 |
| | | Total Expenses: \$2266.32 |

Total Time: 36.01

Total Amount: \$11,818.57

2000

2nd will
create two trusts

COPY

LAST WILL AND TESTAMENT

OF

MARY ELIZABETH MOSER GARRETT

STATE OF TEXAS §
 § KNOW ALL MEN BY THESE PRESENTS:
COUNTY OF GREGG §

I, MARY ELIZABETH MOSER GARRETT, of Gregg County, Texas, being of sound mind and disposing memory, do make, publish, and declare this my Last Will and Testament, hereby revoking all other Wills and Codicils previously made by me.

SECTION I

PAYMENT OF DEBTS, EXPENSES, AND TAXES

Except as otherwise provided by this Will, I direct that my legally enforceable debts, funeral and estate administration expenses, and all federal and state estate, inheritance, and succession taxes, including interest and penalties, arising by reason of my death be paid out of my residuary estate without reimbursement from any person. The above direction shall not be construed to require the payment of any debt before it is due. My Executor is specifically given the right to renew and extend, in any form that he deems best, any debt or charge existing at the time of my death. My Executor shall have the power to incur indebtedness for the purpose of paying any or all of the debts, expenses, and taxes.

EXHIBIT 1
WIT: Respondent
DATE: 3-4-20
AMY M. CLARK, CSR

No policy loan against a life insurance policy owned by me on my life or on the life of any other person shall be treated as a debt to be paid out of my estate. Any policy loan against a life insurance policy owned by me on my life shall be paid out of the proceeds of the policy. Any life insurance policy owned by me on the life of any other person shall be distributed to the person or entity entitled to said policy subject to any policy loan.

Notwithstanding the above, if any tax, including interest and penalties, is imposed on property includable in my gross estate by reason of Section 2044 of the Internal Revenue Code or a similar provision of any state law, I direct my Executor to recover the tax attributable to that property from the person or entity in possession of or receiving the property as provided in Section 2207A of the Internal Revenue Code or a similar provision of state law.

SECTION II

GIFT OF CERTAIN PERSONAL PROPERTY

I give to my son, JOEL A. MOSER, all of my interest in my personal belongings such as household furniture and furnishings, appliances, kitchenware, tools, objects of art, clothing, jewelry, china, crystal, silver, and other personal property of a similar nature, use, and classification.

In the event my son does not survive me, then the property he would have received under Section II shall pass as part of the rest and residue of my estate.

SECTION III

MARITAL GIFT

If my husband, JAMES H. GARRETT, survives me, then I give to the Trustee named below the following specified property, which is hereafter referred to as the "marital deduction gift," to be held in trust subject to the following terms and provisions:

A. I give the following property in trust as the marital deduction gift:

1. The real property, with all improvements thereon, located at 512 Meadowbrook, Longview, Gregg County, Texas 75601;
2. The real property, with all improvements thereon, located at 1304 America, Landsdowne Addition, Longview, Gregg County, Texas; and
3. All real property, with all improvements thereon, which are located in Marshall, Harrison County, Texas.

B. To the extent there are sufficient assets of my residuary estate to pay all federal estate and state inheritance taxes (including interest and penalties), administration expenses, funeral expenses, and debts and claims against my estate, such items shall not be charged against the marital deduction gift.

C. The marital deduction gift shall be satisfied with the property specified above only if it is of a character which qualifies for the marital deduction gift, and said gift shall be reduced to the extent it cannot be funded with qualifying property.

D. My Executor shall use the values current at the time or times of distribution in determining the amount of the marital deduction gift.

E. If my husband does not survive me then this gift shall lapse, and the assets specified above shall be distributed as part of the rest and residue of my estate.

F. The marital deduction gift shall be held by the Trustee named below subject to the terms and conditions set forth below and for the following purposes:

1. Name and Beneficiary. This trust shall be called THE GARRETT MARITAL TRUST, and my husband, JAMES H. GARRETT, shall be the sole beneficiary of this trust.

2. Distributions During Term. The Trustee shall hold, manage, sell, invest, and reinvest the principal and any accumulated income, shall collect the rents and revenues of the trust, and after the payment of expenses shall make distributions as follows:

a. Distributions of Income. The Trustee must pay to my husband, JAMES H. GARRETT, all of the net income from this trust, quarterly or more often, during the lifetime of my husband. For purposes of this paragraph, the term "net income" shall mean the amount required to be distributed to my husband under the provisions of the Internal Revenue Code and regulations issued thereunder, so that this trust may qualify for the federal estate tax marital deduction under the provisions of the Internal Revenue Code in effect at the time of my death. Upon the death of my husband, all undistributed and accrued income must be distributed to my husband's estate.

b. Distributions of Principal. The Trustee may pay to my husband, JAMES H. GARRETT, such additional amounts of principal as the Trustee, from time to time, in his sole and absolute discretion, determines is necessary to support and maintain my husband in the standard of living to which he was accustomed to during my lifetime.

3. Non-productive Property. Notwithstanding all other provisions found within this instrument, my husband, JAMES H. GARRETT, shall have the power to require the Trustee either to make non-productive property of THE GARRETT MARITAL TRUST productive or convert said property to producing property within a reasonable amount of time.

4. Payment of Estate Taxes. Upon the death of my husband, JAMES H. GARRETT, the Trustee shall pay to the Executor of my husband's estate, out of the principal of the trust, an amount equal to the estate, inheritance, transfer, succession, or other death taxes, federal, state, and other taxes, payable by reason of the inclusion of the value of the trust property in my husband's estate. Such payment shall be equal to the amount by which (1) the total of such death taxes paid by my husband's estate exceeds (2) the total death taxes which would have been payable if the value of the trust property had not been included in my husband's estate. I direct my Trustee to pay such amount promptly upon written request of my husband's Executor. The final determination of the amount due hereunder shall be based upon the values as finally determined for federal estate tax purposes in my husband's estate. After payment of the amount finally determined to be due hereunder, my Trustee shall be discharged from any further liability with respect to such payment. My husband may waive his estate's right to payment under this paragraph by will, executed after my death, in which my husband specifically refers to the right to payment hereby given to his estate.

5. Terminating and Liquidating Distributions. This trust shall terminate upon the death of my husband, JAMES H. GARRETT, and all remaining assets of this trust shall be distributed to THE MOSER FAMILY TRUST to be administered and distributed according to the terms of Section IV, below, as if originally a part of said trust.

SECTION IV

THE MOSER FAMILY TRUST

I give all of the rest and residue of my estate, whether it be real, personal, or mixed and wherever situated, to the Trustee named below to be held in trust subject to the terms and conditions set forth below and for the following purposes (this trust shall include the amount which can pass free of federal estate tax in my estate by reason of the unified credit available at the time of my death):

1. Name and Beneficiary. This trust shall be called THE MOSER FAMILY TRUST, and my son, JOEL A. MOSER, shall be the sole beneficiary of this trust.

B. Distributions During Term. After the payment of trust expenses, the Trustee shall make distributions as follows:

1. Distributions of Income. The Trustee shall distribute sums of income as are, in the sole discretion of the Trustee, necessary for my son's health, support, maintenance, and education.

2. Distributions of Principal. In the event the net income is not sufficient for the health, support, maintenance, and education of my son, the Trustee may also distribute to my son sums from principal as are, in the sole discretion of the Trustee, necessary for my son's health, support, maintenance, and education.

3. Considerations. In making distributions of income and principal to my son, the Trustee shall take into account other funds available to my son for such purposes. The objective of preserving principal for distribution to future beneficiaries shall be secondary in importance to the objective of providing full maintenance and support to my son in accordance with the above stated standards.

4. Accumulations. All income not distributed shall be accumulated and added to principal by the Trustee, annually.

C. Termination and Liquidating Distributions. This trust shall terminate on the date of death of my son or whenever the trust assets are exhausted, whichever occurs first. Upon the death of my son, all remaining principal and income, if any, shall be distributed to the following named individuals who are then living, share and share alike:

1. My sister, LOUISE DAVIS;
2. My sister, AGNES ELLSWORTH;
3. My sister, MARTHA CROCKETT;
4. My niece, SHEILIA;
5. My niece, CAROLYN;
6. My nephew, ROBERT SANDERS, JR.;
7. My niece, BABARA BARBER;
8. My nephew, MICHAEL SANDERS;
9. My niece, JOANNE STONE;
10. My niece, LORI BENTLEY; and
11. My nephew, GREGG SANDERS.

In the event my son, JOEL A. MOSER, predeceases me, then the portion of my estate my son would have received in accordance with this section if living shall be distributed as specified above in paragraph C.

SECTION V

TRUSTEE AND TRUST POWERS

A. Appointment of Trustee. I appoint my son, JOEL A. MOSER, as Trustee of all trusts created under this Will. In the event of the death, resignation, failure, refusal, or inability of my son to serve, I then appoint LONGVIEW BANK & TRUST, Longview, Texas as Trustee of all trusts. In the event both of the above named fail to serve for any reason, then they shall be succeeded by a bank or trust corporation having trust powers and authorized to act as a trustee as shall be designated by a majority of the adult trust beneficiaries then receiving or entitled to receive income from the trusts, or if there be none, by a majority of the parents or legal guardians of the minor beneficiaries then receiving or entitled to receive income from the trusts.

B. Resignation of Trustee. Any Trustee named may at any time resign upon giving to the adult trust beneficiaries then receiving or entitled to receive income from the trusts, or if there be none to the parents or legal guardians of each minor beneficiary then receiving or entitled to receive income from the trusts, thirty (30) days' written notice of such resignation.

C. Spendthrift. The interest of any beneficiary in principal or income shall not be subject to assignment, alienation, pledge, attachment, or claims of creditors, and shall not be otherwise voluntarily alienated or encumbered by the beneficiary.

D. Bond. No Trustee shall be required to furnish bond, and no Trustee shall be liable for any mistake in judgment, but shall be liable only in case of bad faith or dishonesty.

E. Compensation. Only a professional corporate Trustee shall be entitled to receive a fair and just compensation for its services; however, all Trustees shall be entitled to be reimbursed for all reasonable and necessary expenses incurred in the management and protection of the trust estate.

F. General Investment and Management Powers. The Trustee shall have full power and authority to manage, handle, invest, convert, reconvert, sell for cash or credit, exchange, hold, dispose of, lease for any period, improve, repair, maintain, work, develop, operate, use, mortgage, or pledge, all or any part of the funds, assets, and property constituting from time to time any part of the trusts. Furthermore, the Trustee shall have full power and authority to engage in and carry on any business or undertaking; enter into any partnership with any person, firm, or corporation, or any trustee under any other trust; borrow money; enter into contracts; execute obligations, negotiable and non-negotiable; vote shares of stock in person and by proxy, with or without power of substitution; form, reorganize, or extend the life of any corporation; exercise and perform any and all rights, privileges, and powers inuring to the holder of any stock or securities comprising at any time a part of the trusts created hereunder; exercise any stock options and borrow money for such purpose; sue and be sued; settle, compromise, or adjust, by arbitration or otherwise, any disputes or controversies in favor of or against the trusts created hereunder; waive or release rights of any kind; appoint, remove, and act through agents, managers, and employees, and confer upon them such power and authority as may be deemed necessary or advisable; sell, convey, lease, or otherwise deal with any oil, gas, and other minerals and mineral rights and royalties; operate and develop oil, gas, and other mineral properties and interests; pay all reasonable expenses; execute and deliver any deeds, conveyances, leases, contracts, or written instruments of any character appropriate to any of the powers or duties as Trustee. The Trustee may act freely under any of the powers in all matters concerning the trusts created in this Will without the necessity of obtaining the consent or permission of any person or the consent or approval of any court, and notwithstanding that the Trustee may also be acting as trustee of any other trusts interested in the same matters.

G. Selection and Retention of Investments. Unless otherwise specified herein, any property acquired by the Trustee shall be deemed a proper investment, and the Trustee shall be under no obligation to dispose of or convert any property. Investments need not be diversified, may be of a wasting nature, and may be made or retained with a view to possible increase in value. The Trustee is expressly authorized to invest in non-income earning or non-producing

property if in the Trustee's judgment the best interest of the particular trust estate will be served. The Trustee shall have as wide a latitude in the selection, retention, or making of investments as an individual would have in retaining or investing his own funds.

H. Powers Cumulative. The Trustee shall have all the rights, powers, and privileges, and be subject to all of the duties, responsibilities, and conditions set forth in the Texas Trust Code. Except as otherwise provided, the powers conferred upon the Trustee within this Will shall not be construed as in limitation of any authority conferred by law, but shall be construed as in addition thereto.

I. Methods of Payment to Beneficiaries. The Trustee may make a distribution to a beneficiary in any of the following ways when the beneficiary is a minor or a person who in the judgment of the Trustee is incapacitated by reason of legal incapacity or physical or mental illness or infirmity:

1. To the beneficiary directly;
2. To the guardian of the beneficiary's person or estate;
3. By utilizing the distribution, without the interposition of a guardian, for the health, support, maintenance, or education of the beneficiary;
4. To a custodian for the minor beneficiary under the Texas Uniform Transfers to Minors Act or similar uniform transfers to minors act of another state; or
5. By reimbursing the person who is actually taking care of the beneficiary, even though the person is not the legal guardian, for expenditures made by the person for the benefit of the beneficiary.

The written receipts of persons receiving distributions under this paragraph are full and complete acquittances to the Trustee.

J. Transactions With Beneficiaries and Fiduciaries. The Trustee is authorized to enter into any transaction permitted by this Will even though the other party to that transaction is a beneficiary; the estate of a beneficiary; a trust created by or for the benefit of a beneficiary, whether living or deceased; the estate of my husband or me; an executor or administrator of any estate, including that of my husband or me; or a trustee of any trust, including the Trustee under this Will acting individually. This paragraph shall control except to the extent the Texas Trust Code may expressly prohibit me from authorizing any corporate Trustee from engaging in any such transaction.

SECTION VI

DEFINITIONS

As used in this Will, all references to "my child" or "my son" shall mean JOEL

A. MOSER.

As used in this Will, the words "child," "children," "grandchildren," "descendant," "descendants," and other similar words shall include children and descendants by birth and adoption.

All references to "Internal Revenue Code" shall be to the Internal Revenue Code of 1986, as it exists at the time of execution of this Will or as later amended.

As used in this Will, the word "Trustee" shall mean any and all Trustees serving and shall refer both to the original Trustee and to any successor or substitute Trustee.

As used in this Will, the word "Executor" shall include the original or any successor Executor or Executrix acting under this Will at anytime, whether one or more.

As used in this Will, the masculine, feminine, and neuter genders shall each be deemed to include the others unless the context requires otherwise. The singular shall include the plural and the plural shall include the singular wherever the context of this Will permits.

SECTION VII

PROVISIONS RELATING TO EXECUTOR

I appoint my son, JOEL A. MOSER, Independent Executor to serve without bond of this, my Last Will and Testament. In the event my son should predecease me, fail, refuse, or be unable to serve for any reason, I then appoint LONGVIEW BANK & TRUST, Longview, Texas, Independent Executor to serve without bond of this, my Last Will and Testament.

I direct that no action shall be had in any court concerning the settlement of my estate other than to probate this Will and record an inventory, appraisal, and list of claims of my estate, if one is required.

During the administration of my estate my Executor shall have, in addition to the powers given by law or the terms of this Will, all of the administrative powers and powers of sale granted to the Trustee of the trust created in this Will, such powers to be exercised without court supervision or control.

My Executor shall have full power and authority to make all estate, inheritance, and income tax elections available to my Executor including specifically (i) the date and method which should be selected for the valuation of property in my gross estate for federal estate and state inheritance tax purposes and the payment of all such taxes, (ii) whether a deduction shall be taken as an income tax deduction or an estate tax deduction, (iii) the election to extend the time for the payment of federal estate and state inheritance taxes and the election to pay any such tax in installments, and (iv) the allocation of any exemption from the generation-skipping transfer tax to any property with respect to which I am the transferor for purposes of such tax (regardless of whether such property is included in my probate estate) and to exclude any property from the allocation. My Executor shall incur no liability to any beneficiary of my estate on account of making any such elections, regardless of the fact that any federal estate, state inheritance, generation-skipping, or income tax imposed on my estate is increased or that there is a change in the proportion in which any beneficiary shares in my estate. My Executor's decisions with respect

to all of the matters mentioned in this paragraph shall be binding and conclusive upon all concerned. No compensating adjustments between income, principal, or in the amount of any bequest shall be made as a result of any such decision.

My Executor shall have the power to join with my husband in the filing of any tax return for any years which I have not filed prior to my death and to pay any taxes, together with any interest and penalties, as my Executor deems proper. I further authorize and empower my Executor to consent that any gifts made by my husband prior to my death be treated as made one-half ($\frac{1}{2}$) by me for the purposes of any gift tax laws and returns.

I authorize my Executor to distribute my estate in whole or in part at such times as my Executor deems advisable, and my Executor is authorized to make such distributions in cash or in kind, or partly in cash and partly in kind. My Executor is further authorized to distribute my estate subject to any and all indebtedness incurred by me or by my Executor, which in the opinion of my Executor need not first be paid, and subject to any or all mortgages, deeds of trust, or other liens created by me or by my Executor, except as otherwise provided in this Will.

If my husband survives me, my Executor shall be authorized, in his sole, exclusive, and unrestricted discretion, to determine whether to elect (under Section 2056[b] [7] of the Internal Revenue Code) to qualify all or a specific portion of THE GARRETT MARITAL TRUST for the federal estate tax marital deduction and any marital deduction available under the law of the state in which I am domiciled or in which I may have property at the time of my death. I suggest, but do not direct, that in exercising this discretion, my Executor attempt to minimize (or eliminate, if possible) the federal estate, state inheritance, or other death taxes payable by my

estate at the time of my death. However, my Executor shall also consider the effect of its election upon the federal estate, state inheritance, or other death taxes which will be payable by my husband's estate at his death, particularly if he dies before the election must be made. The decision of my Executor with respect to the exercise of the election shall be final and conclusive upon all persons whose interests in my estate are directly or indirectly affected by the election.

IN TESTIMONY WHEREOF I sign my name in the presence of the attesting witnesses who sign their names as attesting witnesses at my request, in my presence, and in the presence of each other this 18 day of February, 2000.

Mary Beth Moser Garrett
MARY ELIZABETH MOSER GARRETT,
Testatrix

The above and foregoing instrument is here and now declared, published, and subscribed by the said Testatrix, MARY ELIZABETH MOSER GARRETT, in our presence, and we, at her request and in her presence and in the presence of each other, sign our names as attesting witnesses this 18 day of February, 2000.

Longview, TX *David P. ...*

Longview, TX *Anna Allen Anderson*
Addresses Witnesses

Mario A. Mata LLP
ATTORNEY AT LAW
Austin ♦ Dallas ♦ Houston

Telephone: 214-800-2363
Facsimile: 214-276-7305

4 June 2013

STRICTLY PRIVATE, PERSONAL & CONFIDENTIAL COMMUNICATION
PROTECTED BY STATUTORY PRIVACY LAWS

Via FedEx International Priority Courier Airbill#: 7999 5836 4861, and
Via Facsimile 011 41 43 343 9567 (w/o enclosures) and
Via U.S. Post with Complete Enclosures

Swiss American Advisors AG
Gartenstrasse 36
CH-8002 Zuerich
Switzerland

Re: The Joel A. Moser Family Trust
Matter: Source of Funds of Moser Trust
File#: 94475

Gentlemen:

As you know, I had the privilege in 2005 of being asked by Mr. Joel A. Moser to assist in the formation and implementation of an international trust. I was the attorney who drafted the Deed of Settlement by and between Mr. Joel A. Moser, as Settlor, and Asiatrust Limited, as Trustee, in settlement of **The Joel A. Moser Family Trust** (the "Moser Trust") dated as of 29 July 2005 and registered with the Cook Islands Registrar on 24 October 2005 pursuant to the **International Trusts Act 1984** of the Cook Islands.

Notwithstanding the foregoing, I am legally authorized to practice law only in the State of Texas. However, Texas law, along with the law of all other states in the United States, allows me to draft documents and participate in legal transactions outside of the State of Texas so long as I am assisted by local legal counsel. In this regard, I was privileged to have the original input of Mr. Adrian Taylor, the attorney in the Cook Islands who helped start the Cook Islands office of Asiatrust Limited, together with subsequent in-house legal counsel of Asiatrust Limited in the Cook Islands, all of whom are legal counsel authorized to practice law in New Zealand and the Cook Islands.

For the same reasons stated above, I am legally authorized to represent Mr. Joel A. Moser, the Settlor and sole beneficiary of the Moser Trust, since all legal advice is provided to either Mr. Moser or the Trustee, either telephonically or in writing but, in any event, originates in the State of Texas.

AUSTIN OFFICE
One Congress Plaza
111 Congress Avenue, Suite 400
Austin, Texas 78701

DALLAS OFFICE
Dallas-Uptown Office
1717 McKinney Ave., Suite 700
Dallas, Texas 75202

HOUSTON OFFICE
Galleria/Post Oak Area
1330 Post Oak Blvd., Suite 1600
Houston, Texas 77056



Lastly, but just as important, is my ability to serve as legal counsel to the Moser Trust subject to the limitations provided herein. This is possible pursuant to the written consent that Mr. Moser provided in one original agreement that he executed when he first engaged me as his attorney for international trust planning in 2005. In that agreement, it is explained that, from time to time, the Trustee may seek legal advice from U. S. legal counsel to ensure that any action or proposed action on the part of the Trustee, or the beneficiary, is lawful under U. S. law or is consistent with the provisions drafted into the Deed of Settlement of the Moser Trust. As such, the agreement signed by Mr. Moser expressly authorizes me to act as legal counsel for the Moser Trust when the Trustee seeks legal advice on any matter involving the Moser Trust.

Of course, as an attorney licensed in the State of Texas, I am also governed by certain Disciplinary Rules of Conduct which, among other things, specifically identify situations in which an attorney may not act as legal counsel for two or more individuals. The obvious example is any situation that would create a conflict of interests between two clients. Thus, since Mr. Joel A. Moser was the first to retain my legal services and, as such, is my principal client, I cannot provide any legal advice to the Trustee of the Moser Trust if such advice is in conflict with the best interests of Mr. Joel A. Moser. However, so long as no conflict of interests or potential conflict of interests exists, I am free to provide legal advice to the Trustee. This is particularly significant since such legal advice will be protected by the same attorney-client privilege that exists in communications between the undersigned, as legal counsel, and any other client that I provide legal advice to.

Clarification of Terms Used Herein Due to Similarity of Some Trust Names.

The undersigned attorney has been asked to provide information and documentation resulting from my investigation of the source of funds which were initially contributed to The Joel A. Moser Family Trust of which Asiatrust Limited is the Trustee. The only account that the "Moser Trust" has maintained since it was initially implemented and funded is at Zürcher Kantonalbank.

All of the funds that were contributed to the "Moser Trust" were inherited by the settlor/beneficiary of the Moser Trust, Dr. Joel A. Moser, upon the death of his mother, Mary Elizabeth Moser Garrett. However, in addition to the specific personal gifts of jewelry and other personal items, the bulk of the estate was basically distributed into two testamentary trusts, discussed in more detail below, which is very common in the United States. This type of Last Will and Testament is referred to as an "**A and B Trust**" Last Will and Testament. Unfortunately, the name of at least one of the trusts that was established by Mrs. Moser through the Last Will and Testament, a trust that is typically called by tax practitioners as the "Bypass Trust" or a "Family Trust," not surprisingly, has a name that is deceptively similar to the Moser Trust of which Asiatrust Limited is the Trustee. Specifically, the funds that were transferred to the Moser Trust were transferred directly from the Moser Bypass Trust, of which Joel A. Moser was the sole trustee and beneficiary where the possibility for confusion stems from the fact that the Bypass Trust provided for in the Last Will & Testament of Mary Elizabeth Moser Garrett. Is

technically named "**The Moser Family Trust.**" The forgoing testamentary domestic trust is not the same trust of which Asiatrust Limited is the Trustee, being the Joel A. Moser Family Trust. However, since such a trust, from a tax standpoint is typically referred to as a "Bypass Family Trust." I will refer to The Moser Family Trust, which was established for the benefit of the decedent's son, Joel A. Moser, as the "Moser Bypass Family Trust" rather than by its given name, The Moser Family Trust.

A second trust was also established by the Last Will and Testament of the decedent's mother for the benefit of her surviving husband. However, although upon the recent death of the surviving husband of Mrs. Garrett who died in 2002, Dr. Moser also inherited the assets that were transferred into the trust for the benefit of the decedent's surviving husband, were transferred into what is commonly referred to as a "marital deduction trust" and was, in this case, named "The Garrett Marital Trust." Fortunately, no assets from The Garrett Marital Trust, which were automatically inherited by Joel A. Moser upon Mr. Garrett's death two years ago, have yet to be transferred to the Moser Trust of which Asiatrust Limited is the Trustee.

To summarize, and in an attempt to differentiate between the various trusts involved in the funding process, references herein to the "Moser Trust" shall be to the Joel A. Moser Family Trust of which Asiatrust Limited is the Trustee in the Cook Islands. References to the "Moser Bypass Trust" shall represent the trust that was established exclusively for the benefit of the decedent's son, Joel A. Moser, of which Dr. Moser is the sole trustee and sole beneficiary.

Moser Trust Source of Funding.

Based upon my knowledge of the Deed of Settlement for the Moser Trust, as well as my review of the documents that are referenced below regarding the formation and initial funding of the Moser Trust, I can make the following statements, representations and conclusions:

1. I am the attorney for Joel A. Moser, individually, and **The Joel A. Moser Family Trust** (the "Moser Trust"). I can serve in that capacity so long as no conflict of interest exists. I have no knowledge that such conflict exists as of this date. I am the primary attorney for Mr. Moser in matters involving the Moser Trust.
2. I have reviewed various documents that were either originally used or subsequently obtained by the undersigned in connection with the initial funding of the Moser Trust including the following documents that I have reviewed and relied upon copies provided me:
 - a. Executed copy of Deed of Settlement dated 29 July 2005 by and between Joel A. Moser, of Dallas, Texas, United States of America, as Settlor, and Asiatrust Limited, of Rarotonga, Cook Islands, as Trustee, established under the Cook Islands International Trust Act, 1984 and known as The Joel A. Moser Family Trust.

**Swiss American Advisors AG, Investment Advisors to
Asiatrust Limited, Trustee of The Joel A. Moser Family Trust**

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- b. Executed copy of the Last Will and Testament of Mary Elizabeth Moser Garrett, of Gregg County, Texas, said Last Will and Testament having been probated in the County Court of Gregg County, Texas upon the death of Mrs. Mary Elizabeth Moser Garrett on 17 June 2002. Mrs. Mary Elizabeth Moser Garrett is the birth mother of The Joel A. Moser Family Trust.
- c. Register of Actions reflecting the documents filed in connection with the probate of the Last Will and Testament of Mary Elizabeth Moser Garrett including reference to the appointment of Joel A. Moser, the Decedent's son, as Independent Executor of the Estate of Mary Elizabeth Moser Garrett (**Exhibit "A"**).
- d. Inventory, Appraisement, and List of Claims, filed by in the testamentary court proceedings in the County Court of Gregg County, Texas, sitting in probate, reflecting the assets and liabilities, as identified by the Independent Executor of the Mary Elizabeth Moser Garrett Estate, Joel A. Moser, as said assets and liabilities existed upon date of her death, 17 June 2002, and filed under sworn oath by Joel A. Moser, as Executor, on 7 November 2002 (**Exhibit "B"**).
- e. Investment Statement issued by the brokerage firm Smith Barney, then a Division of Citigroup, dated 31 December 2005, reflecting a summary of the breakdown of assets included in the account with a year-end value of **\$599,573.10**, held by Joel A. Moser, Trustee of the "Moser Bypass Family Trust" under the Will of Mary Elizabeth Moser Garrett dated 2 October 2002 (**Exhibit "C"**).
- f. Notice of wire transfer issued by Texas Bank & Trust of Longview, Texas on 27 March 2007, confirming the wire transfer of **\$100,000.00** on that date from the Moser Bypass Family Trust to Asiatrust Limited as Trustee of the Moser Trust (**Exhibit "D"**).
- g. Notice of wire transfer issued by Texas Bank & Trust of Longview, Texas on 27 March 2007, confirming the wire transfer of **\$150,000.00** on that date from the Moser Bypass Family Trust to Asiatrust Limited as Trustee of the Moser Trust (**Exhibit "E"**).
- h. Confirmation receipt issued by JPMorgan Chase confirming the wire transfer of the sum of **\$110,109.50** from the Moser Bypass Family Trust, Joel A. Moser, Trustee to the account of Asiatrust Limited as Trustee of the Moser Trust, for credit to the account of

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the foregoing at the account held by the Moser Trust with Zürcher Kantonalbank on 23 March 2007 (**Exhibit F**).

The initial source funding of the Moser Trust consisted entirely of assets that were inherited by the Settlor/Beneficiary of the Moser Trust, Dr. Joel A. Moser, of Dallas, Texas. Upon the death of Dr. Moser's mother on 17 June 2002, Dr. Moser filed an application with the County Court of Gregg County, Texas to be named as Executor of his mother's estate as provided for in the Last Will and Testament of Mary Elizabeth Moser Garrett. The application to be named as Independent Executor of the Estate was filed on 11 July 2002, along with the original of the Last Will and Testament. On 24 July 2002, as shown on the Register of Actions included herein, Dr. Joel Moser was appointed as Independent Executor of his mother's estate and issued Letters Testamentary that expressly allowed him to independently administer the administration of his mother's estate pursuant to the terms of her Last Will and Testament.

As indicated earlier, the Last Will and Testament of Mary Elizabeth Moser Garrett was designed as what is typically called in the United States an "A and B Trust" Last Will and Testament. In other words, that portion of Mrs. Garrett's estate that was subject to federal estate taxation was placed in a separate trust for the benefit of her surviving husband for the duration of his life ("the Garrett Marital Trust"). The assets that were transferred to the Garrett Marital Trust for the benefit of Mrs. Garrett's surviving husband were basically real estate and **not** involved in the funding of The Joel A. Moser Family Trust which is the subject of this letter. Thus, those assets will not be discussed further herein.

As is customary with this type of Last Will and Testament that portion of the estate that was not subject to estate taxation ("Trust B") be transferred to a simply family trust, commonly known as a "Bypass Family Trust," of which Dr. Joel A. Moser was named as the sole trustee and sole beneficiary of the Moser Bypass Family Trust. As trustee, Dr. Moser was allowed to make distributions to himself, the sole beneficiary of the Moser Bypass Family Trust but also the sole trustee, as he felt prudent or necessary in his sole and absolute discretion.

Unfortunately, although accounts for the Moser Bypass Family Trust for the benefit of Dr. Moser were established as provided in the Last Will and Testament of his deceased mother, the fact that Dr. Moser was named as both the Trustee and the Trust Beneficiary of the Moser Bypass Family Trust with the sole and absolute discretion to make distributions out of the Moser Bypass Family Trust as he desired, in his sole and absolute discretion, made the assets of that testamentary trust particularly vulnerable to unexpected contingencies and claims that might face Dr. Moser in the future, particularly in light of his medical practice. Therefore, Dr. Moser was advised to seek a more secure trust with an independent trustee that ultimately resulted in Dr. Moser contacting and hiring the undersigned to design and implement what is now The Joel A. Moser Family Trust of which Asiatrust Limited is the Trustee in the Cook Islands.

Dr. Moser basically decided to fund his new international trust by transferring cash in the amount of **\$360,109.05** representing the total of the three (3) wire transfers that are referenced above in paragraphs "2f," "2g" and "2h" above.

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In addition to the wire transfers of the foregoing funds, Dr. Moser transferred the entire balance of the account that he inherited from his mother at Smith Barney that had a value of **\$599,573.10** as of 31 December 2005. All assets that were easily transferable via DTC transfer were immediately transferred, although those amounts held in closed end funds and mutual funds were liquidated and the cash proceeds resulting therefrom transferred to Zürcher Kantonalbank for the benefit of The Joel A. Moser Family Trust of which Asiatrust Limited was the Trustee.

The total of the wire transfers referenced above as well as the transfer of the Smith Barney Citigroup securities account, all of which represented funds and securities inherited by Dr. Moser for his benefit and disposal, at his discretion, totaled **\$969,682.60** at the time that they were transferred. All of the foregoing assets were transferred into the account of the Moser Trust at Zürcher Kantonalbank styled "**Asiatrust Limited, as Trustee of The Joel A. Moser Family Trust.**" Unfortunately, as a result of recent regulations promulgated by the United States Securities & Exchange Commission, Zürcher Kantonalbank halted the practice of mailing courtesy copies of account statements to anyone in the United States including the Trust Beneficiary, Dr. Joel A. Moser, and the undersigned attorney who is the U. S. Tax Agent for the Moser Trust for purposes of any inquiries by the Internal Revenue Service. We are currently in the process of obtaining copies of all investment statements issued by Zürcher Kantonalbank in regard to the Moser Trust for the calendar year 2012. These documents will be used to prepare the required federal income and related tax returns for the Moser Trust for the calendar year 2012. However, as of the period ending the 31st of October, 2012, the Moser Trust had a balance in its account briefly summarized as follows:

| | |
|------------------------------------|------------------------------|
| Marketable Securities | \$ 495,210.00 |
| Cash Deposit (U. S. Dollars) | <u>692,254.00</u> |
| Total Account Balance | <u>\$1,187,464.00</u> |

The difference between the original approximate balance of **\$969,682.00** contributed to the Moser Trust and the recent balance of the account of **\$1,187,464.00** constitutes income that has been accumulated to the Moser Trust from the investments of the trust. No further contributions have been made by Dr. Moser to the Moser Trust, although additional contributions are contemplated in the near future as Dr. Moser prepares to retire in the next year or two. However, if and when those transfers are made, separate documentation will be provided regarding the source of funds.

In regard to the specific transfers that are referenced above, an examination of the Inventory, Appraisal and List of Claims filed with the Court will reflect the identification and disclosure of the source of the funds as filed and disclosed to the Probate Court in Gregg County, Texas. For example, the two wire transfers from Longview Bank & Trust represent the \$100,000.00 Certificate of Deposit at Longview Bank & Trust as well as the accumulation and consolidation of other smaller cash balances into the account of the Moser Bypass Family Trust such as the cash on hand at Regions Bank that was consolidated into Longview Bank & Trust. Likewise, the approximate \$100,000.00 wire transfer from Chase Bank represents the proceeds

**Swiss American Advisors AG, Investment Advisors to
Asiatrust Limited, Trustee of The Joel A. Moser Family Trust**

04 June 2013

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from one of the Certificates of Deposit from what, at that time, was BankOne, which later became Chase Bank.

Lastly, although it only had a fair market value at the date of death of \$424,227.76, page 3 of the Inventory and Appraisal reflects item No. 8 which is the account of the decedent at Smith Barney which was also transferred in its entirety to the account of the Moser Trust at Zürcher Kantonalbank. We were lucky that we still had copies of some of the funding documents that were used at the time when the Trust was implemented and funded. The information was found in the files of my former law firm, Cantey & Hanger LLP, where I was a partner at the time that the Moser Trust was formed.

The docket sheet, referenced above as the Register of Actions, was taken directly from the online records of the Gregg County Courthouse, whereas the Inventory and Appraisal for listing of assets and liabilities, as well as other documents associated with the Estate of Mary Elizabeth Moser Garrett, were copies that Dr. Moser was able to locate. In any event, should any additional documentation be required by you or a third party, please let me know as soon as possible so that I can determine whether it is a document or information that can be reasonably acquired.

The foregoing observations and conclusions are limited by, subject to, and based upon the following:

- (a) The opinion and recommendations stated herein are as of the date hereof. I assume no obligation to update or supplement this opinion letter to reflect any facts or circumstances which may hereafter come to our attention or any change in the law which may hereafter occur. Of course, should such circumstances occur while I am still serving as legal counsel to either Joel A. Moser or to the Moser Trust, I shall make my best effort to bring these matters or change of circumstances, particularly changes in applicable law, to the attention of the Trustee and, if applicable, the beneficiary of that Trust. At this time, we do not anticipate any such changes in the law. However, any such obligation shall terminate should the relationship of the undersigned attorney be terminated by the principal client, Joel A. Moser, or should the legal relationship be terminated by law or by the undersigned attorney. Furthermore, should a serious conflict of interest occur in the future between the Moser Trust and Mr. Moser, I will no longer be able to provide legal advice to the Trustee but will continue to serve as legal counsel for Mr. Moser so long as he elects to continue to seek my legal consultation and advice.
- (c) No representation is made or conclusion reached in this letter shall be deemed to be investment advice under any circumstances.
- (d) Pursuant to requirements relating to practice before the Internal Revenue Service, any tax advice in this communication (including any attachments) is not intended

**Swiss American Advisors AG, Investment Advisors to
Asiatrust Limited, Trustee of The Joel A. Moser Family Trust**

04 June 2013

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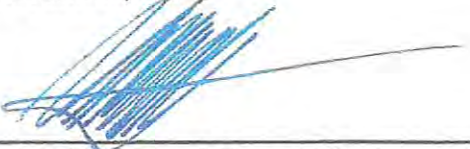
to be used, and cannot be used, for purposes of (i) avoiding penalties imposed under the United States Internal Revenue Code or (ii) promoting, marketing or recommending to another person any tax-related matter.

Should you have any questions regarding this or any other related matter, please feel free to contact the undersigned.

Sincerely,

MARIO A. MATA, LLP

By: _____


**Mario A. Mata, Legal Counsel for
The Joel A. Moser Family Trust
and legal counsel for Joel A. Moser,
sole discretionary beneficiary of the Moser Trust**

cc: Dr. Joel A Moser (Via Post)
cc: Asiatrust Limited-Cook Islands

**EXHIBITS REFERENCED IN THIS SOURCE OF FUNDS LETTER
DATED 4 JUNE 2013 REGARDING THE JOEL A. MOSER FAMILY TRUST AND
ATTACHED HERETO AND INCORPORATED HEREIN BY REFERENCE.**

- Exhibit A** Register of Actions reflecting the documents filed in connection with the probate of the Last Will and Testament of Mary Elizabeth Moser Garrett including reference to the appointment of Joel A. Moser, the Decedent's son, as Independent Executor of the Estate of Mary Elizabeth Moser Garrett.
- Exhibit B** Inventory, Appraisal, and List of Claims, filed by in the testamentary court proceedings in the County Court of Gregg County, Texas, sitting in probate, reflecting the assets and liabilities, as identified by the Independent Executor of the Mary Elizabeth Moser Garrett Estate, Joel A. Moser, as said assets and liabilities existed upon date of her death, 17 June 2002, and filed under sworn oath by Joel A. Moser, as Executor, on 7 November 2002.
- Exhibit C** Investment Statement issued by the brokerage firm Smith Barney, then a Division of Citigroup, dated 31 December 2005, reflecting a summary of the breakdown of assets included in the account with a year-end value of **\$599,573.10**, held by Joel A. Moser, Trustee of the "Moser Bypass Family Trust" under the Will of Mary Elizabeth Moser Garrett dated 2 October 2002.
- Exhibit D** Notice of wire transfer issued by Texas Bank & Trust of Longview, Texas on 27 March 2007, confirming the wire transfer of **\$100,000.00** on that date from the Moser Bypass Family Trust to Asiatrust Limited as Trustee of the Moser Trust.
- Exhibit E** Notice of wire transfer issued by Texas Bank & Trust of Longview, Texas on 27 March 2007, confirming the wire transfer of **\$150,000.00** on that date from the Moser Bypass Family Trust to Asiatrust Limited as Trustee of the Moser Trust.
- Exhibit F** Confirmation receipt issued by JPMorgan Chase confirming the wire transfer of the sum of **\$110,109.50** from the Moser Bypass Family Trust, Joel A. Moser, Trustee to the account of Asiatrust Limited as Trustee of the Moser Trust, for credit to the account of the foregoing at the account held by the Moser Trust with Zürcher Kantonalbank on 23 March 2007.

REGISTER OF ACTIONS
 CASE NO. 2002-0234-P

Mary Elizabeth Moser Garrett vs. Joel A. Moser

S
 07/11/2002 07/11/2002 07/11/2002

Case Type: Application To Probate Will-
 Letters Testamentary
 Date Filed: 07/11/2002
 Location: County Court
 Judicial Officer: Mickey D. Smith, Not Known
 Civil Case Legacy ID: 338525

PARTY INFORMATION

Applicant Moser, Joel A

Lead Attorneys
 Sandra Pinson

Retained

903-758-1667(W)

Decedent Garrett, Mary Elizabeth Moser

DOD: 06/17/2002

EVENTS & ORDERS OF THE COURT

OTHER EVENTS AND HEARINGS

| | | | |
|------------|---|--------------|------------|
| 07/11/2002 | Application for Letters Testamentary (OCA) | | |
| | Vol./Book , Page | | |
| 07/11/2002 | Will | | |
| 07/11/2002 | Citation | | |
| 07/11/2002 | Memorandum | | |
| 07/11/2002 | Citation by Posting | | |
| 07/11/2002 | Citation By Posting | | |
| | Moser, Joel A | Served | 07/11/2002 |
| | | Response Due | 08/05/2002 |
| 07/23/2002 | Proof Of Death | | |
| 07/23/2002 | Waiver | | |
| 07/23/2002 | Certified Copy Of A Death Certificate | | |
| 07/24/2002 | Order Admitting Will And Issuing Letters Testamentary | | |
| 07/30/2002 | Docket Sheet Civil | | |
| 07/31/2002 | Court Instructions | | |
| 08/01/2002 | Oath | | |
| 08/01/2002 | Inventory And Appraisement | | |
| 08/05/2002 | Letter Testamentary | | |
| 09/03/2002 | Letter Testamentary | | |
| 09/12/2002 | Letter Testamentary | | |
| 10/02/2002 | Letter Testamentary | | |
| 11/07/2002 | Inventory And Appraisement | | |
| 11/07/2002 | Filed | | |
| 11/13/2002 | Order Approving Inventory And Appraisement | | |
| 01/30/2003 | Letter Testamentary | | |
| 09/16/2003 | Letter Testamentary | | |
| 04/06/2004 | Letter Testamentary | | |
| 08/06/2007 | Letter Testamentary | | |

FILED
 THE 7 DAY OF Nov
 2008
 Laurie Woloszyn, County Clerk
 Gregg County, Texas
 K. K. K. Deputy

CAUSE NO. 2002-0234-P

IN RE: ESTATE OF § IN THE COUNTY COURT OF
 MARY ELIZABETH GARRETT § GREGG COUNTY, TEXAS
 DECEASED § SITTING IN PROBATE

INVENTORY, APPRAISEMENT, AND LIST OF CLAIMS

Now comes, JOEL A. MOSER, Independent Executor of the ESTATE OF MARY ELIZABETH GARRETT, Deceased, and returns this Inventory, Appraisement, and List of Claims of the estate in accordance with §§ 250-252 of the Texas Probate Code. To the best of the Independent Executor's knowledge and belief, this document contains a full and complete list of all property which is within the jurisdiction of this court, whether it be real, personal, or mixed, and all claims owing to the estate. The approximate fair market value of each item has been determined as of the date of Decedent's death, and said fair market value is the amount set opposite each of the following items:

I.

REAL PROPERTY

Community Property: None.

Separate Property:

1. 2842 Singleton Blvd., Lots 9,10,
 and 11, Block 1, Dallas County, Texas.
 (Dental Office)
 Approximate Value \$ 61,800.00

2. Commercial Real Estate,
 103 and 105 East Grand Avenue
 Marshall, Harrison County, Texas
 (Bearing Supply, Inc.)
 Approximate Value \$ 91,110.00

| | | |
|---------------------|--|-----------------------------------|
| 3. | 1304 America Drive Longview, Gregg County, Texas Approximate Value | 45,000 \$ 58,850.00 |
| 4. | Lots 24 and 25, Gilmore Terrace Approximate Value | \$ 1,800.00 |
| 5. | 512 Meadowbrook Drive Longview, Gregg County, Texas (Homestead) Approximate Value | \$ 97,000.00 |
| Total Real Property | | <u>\$310,560.00</u> |

II.

PERSONAL PROPERTY

Community Property:

| | | |
|----|---|-----------------------|
| 1. | Bank One Checking Account #3833254615 Decedents community one-half (½) interest Accrued Interest | \$ 1,164.51 \$.22 |
|----|---|-----------------------|

Separate Property:

| | | |
|----|---|----------------------------|
| 1. | Longview Bank and Trust Checking Account #1456407 Approximate Value | \$ 41,073.78 |
| 2. | Longview Bank and Trust Certificate of Deposit #50433 Approximate Value Accrued Interest | \$ 100,000.00 \$ 150.69 |
| 3. | Bank One Savings Account #1607378849 Approximate Value Accrued Interest | \$ 100,170.44 \$ 28.53 |

| | | |
|-----|--|-----------------------------|
| 4. | Bank One Certificate of Deposit #880029722375 Approximate Value Accrued Interest (POD Joel Moser) | \$ 100,000.00 \$ 146.29 |
| 5. | BSC Securities Account #309443526 Approximate Value Accrued Interest | \$ 60,000.00 \$ 1,387.88 |
| 6. | Nuveen Account #12779738173 Approximate Value | \$ 59,645.56 |
| 7. | Primerica Account # _____ Approximate Value | \$ 87,390.30 |
| 8. | Smith Barney Account #779-03649-18 011 Approximate Value | \$ 424,227.76 |
| 9. | Regions Bank Account # 84-3167-0085 Approximate Value | \$ 25,808.11 |
| 10. | Regions Bank Account # 84-3200-8209 Approximate Value | \$ 40,363.41 |
| 11. | East Texas Professional Credit Union Savings Account #711-0 Approximate Value Accrued Interest (POD Joel Moser) | \$ 1,524.15 \$ 7.60 |
| 12. | East Texas Professional Credit Union Life Insurance Account #711-2 Approximate Value Accrued Interest (POD Joel Moser) | \$ 2,839.27 \$ 15.93 |

| | | |
|-----|---|-----------------------|
| 13. | 1991 Cadillac Seville automobile Value | \$ 3,000.00 |
| 14. | Miscellaneous personal belongings and household furniture and furnishings Approximate Value | \$ 1,000.00 |
| | Total Personal Property | <u>\$1,049,944.20</u> |

III.

LIST OF NOTES AND CLAIMS DUE AND OWING TO ESTATE

None.


IV.

RECAPITULATION

| | |
|----------------------------------|------------------------------|
| Real Property | \$ 310,560.00 |
| Personal Property | \$ 1,049,944.20 |
| Notes and Claims Owing to Estate | \$ 0.00 |
| TOTAL GROSS ESTATE | <u>\$1,360,504.20</u> |

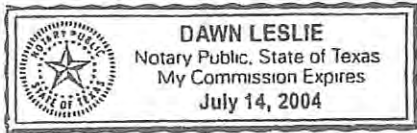
AFFIDAVIT


I, JOEL A. MOSER, Independent Executor of the ESTATE OF MARY ELIZABETH GARRETT, do solemnly swear that the foregoing pages shown as the Inventory, Appraisalment, and List of Claims of the ESTATE OF MARY ELIZABETH GARRETT, Decedent, is made by me as Independent Executor of this estate, and is a true, correct, full, and complete statement of the property and claims of the estate that have come to my possession or knowledge. Furthermore, I do solemnly swear that the appraised value listed opposite to each item on this inventory is a full and fair appraisalment of the property and is the approximate fair market value as of the date of death of MARY ELIZABETH GARRETT.



JOEL A. MOSER, Independent Executor of
the Estate of Mary Elizabeth Garrett

SUBSCRIBED AND SWORN TO BEFORE ME by the said JOEL A. MOSER,
on this the 7th day of November, 2002, to certify which witness my hand and seal of office.





Notary Public in and for the
State of Texas
My Commission Expires: 7-14-04

779L0501103766000010039 305364A A01 TMPAFD10A

JOEL A MOSER TTEE
MOSER FAMILY TRUST
UWO MARY MOSER DTD 10/2102
FBQ JOEL A MOSER
1506 OAK KNOLL
DALLAS TX 75208 - 2527

|||||

Signature Client Statement
December 1 - December 31, 2005

Your Broker/Dealer is
CITIGROUP GLOBAL MKTS INC.

Account number 779-03766-15 011

Year Financial Consultant
DIANNE FARRAR WRIGHT
911 W LOOP 281
SUITE 310
LONGVIEW TX 75604
903-241-7555
www.smithbarney.com

Signature Client Service Center: 866-483-2964
Branch: 800-388-1208

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| Account value | Last period | This period | % |
|---|----------------------|----------------------|---------------|
| Cash balance | \$ 20.89 | \$ 20.89 | |
| Pending reinvested cash | 0.00 | 85.85 | .01 |
| Bank Deposit Program SM -principal | 97,538.38 | 98,030.00 | 16.35 |
| Bank Deposit Program-acrued interest | 162.13 | 0.00 | |
| Common stocks & options | 405,385.31 | 400,594.76 | 66.81 |
| Closed end funds | 19,806.69 | 19,844.45 | 3.31 |
| Accrued interest on bonds/CDs | 601.55 | 773.43 | .13 |
| Mutual funds | 42,811.42 | 42,854.57 | 7.15 |
| Municipal bonds | 37,324.40 | 37,331.90 | 6.23 |
| Income account balance | 283.20 | 36.25 | .01 |
| Total value | \$ 603,933.97 | \$ 599,573.10 | 100.00 |
| Total value (excluding accrued interest) | \$ 603,170.29 | \$ 598,799.67 | |

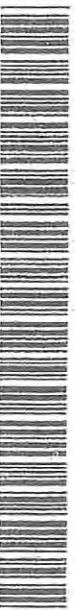
Cash, money fund, bank deposits

| | This period | This year |
|--|--------------|-------------|
| Opening balance | \$ 97,842.47 | |
| Securities bought and other subtractions | (241.34) | |
| Securities sold and other additions | 0.00 | |
| Deposits | 0.00 | 35,000.00 |
| Withdrawals | (1,761.76) | (11,151.06) |
| Dividends credited | 1,756.15 | |
| Bank Deposit Program SM interest reinvested | 491.62 | |
| Closing balance | \$ 98,087.14 | |

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for on our books and records, these funds may be used for our business purposes.

Portfolio summary

| | This period | This year |
|--|---------------|---------------|
| Beginning total value (excl. accr. int.) | \$ 603,170.29 | \$ 521,075.06 |
| Net security deposits/withdrawals | 0.00 | 0.00 |
| Net cash deposits/withdrawals | (1,761.76) | 23,848.94 |
| Beginning value net of deposits/withdrawals | 601,408.53 | 544,924.00 |
| Total income | 2,247.77 | 16,710.15 |
| Asset increase/(decrease) | (4,856.63) | 37,165.52 |
| Total value as of 12/30/2005(excl. accr. int.) | \$ 598,799.67 | \$ 598,799.67 |
| Total return | (\$ 2,608.86) | \$ 53,875.67 |



TEXAS BANK AND TRUST
LONGVIEW TEXAS 75606

3/27/07

NOTICE OF WIRE TRANSFER

Funds in the amount of \$ 100,000.00 have been wired to
ASIA TRUST LTD AS TRUSTEE OF THE
from account 4012631

Transfer fee.....\$20.00

THE MOSER FAMILY TRUST
1506 OAK KNOLL ST
DALLAS TX 75208-2527

TEXAS BANK AND TRUST
LONGVIEW TEXAS 75606

3/27/07

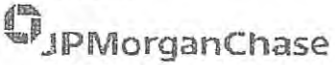
NOTICE OF WIRE TRANSFER

Funds in the amount of \$ 150,000.00 have been wired to
ASIA TRUST LTD AS TRUSTEE OF
from account 1456407

Transfer fee.....\$20.00

THE MOSER FAMILY TRUST
1506 OAK KNOLL ST
DALLAS TX 75208-2527

04522



JPMorgan Chase Bank, N.A.
Funds Transfer
P.O. Box 31339
Tampa, FL 33631-3339

ORIGINAL
ADVICE OF DEBIT

WE DEBIT YOUR ACCOUNT NO 1619926486
FOR PAYMENT INDICATED SAME DAY FUNDS

\$110,109.50**

THE MOSER FAMILY TRUST JOEL A MOSER
DDS TRUSTEE
1506 OAK KNOLL
DALLAS, TX 75208

Date 07/03/23
Our Ref. (TRN) NO. 0502100082ES
Please mention our Reference No. (TRN) in any correspondence.
Originator's Date 07/03/23
Related Ref. No. DCI OF 07/03/23

BENEFICIARY:
ASIATRUST LIMITED AS TRUSTEE OF THE

ACCOUNT WITH:
ZURCHER KANTONALBANK
P.O. BOX 715
ZURICH, SWITZERLAND 8010

PAID THRU CHIPS TO:
HSBC BANK USA
FMR MARINE MIDLAND BK NA
500 STANTON-CHRISTIANA ROAD
NEWARK DE 19713

CHIPSSN: 0288315


Authorized Signature

000522P 8671 DU01 v

From: (214) 800-2363
Mario Mata
Mario A. Mata, LLP
111 Congress
Suite 400
Austin, TX 78701
UNITED STATES

Origin ID: AUSA



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Ship Date: 19JUN13
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CAD: 101219608/INET3370

REF: M94475 JAM-M100533 IBC
DESC-1: Legal Documents
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DESC-3:
DESC-4:
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COUNTRY MFG: US
CARRIAGE VALUE: 1.00 USD
CUSTOMS VALUE: 1.00 USD
T/C: S 486221046 D/T: S 486221046
SIGN: Mario Mata
EIN/VAT:
PKG TYPE: PAK

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Markus Grieb
Swiss American Advisors AG
Gartenstrasse 36

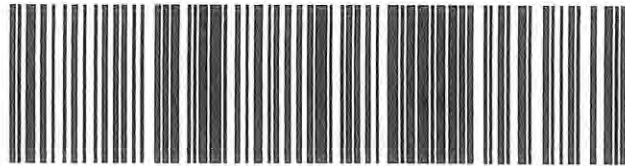
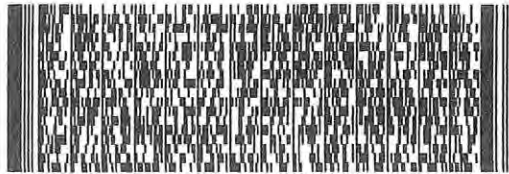
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The Warsaw Convention, as amended, will then govern and in most cases limit FedEx's liability for loss, delay of, or damage to your shipment. The Warsaw Convention, as amended, limits FedEx's liability. For example in the U.S. liability is limited to \$9.07 per pound (20\$ per kilogram), unless a higher value for carriage is declared as described below and you pay any applicable supplementary charges. The interpretation and operation of the Warsaw Convention's liability limits may vary in each country. There are no specific stopping places which are agreed to and FedEx reserves the right to route the shipment in any way FedEx deems appropriate. **ROAD TRANSPORT NOTICE.** Shipments transported solely by road to or from a country which is a party to the Warsaw Convention or the Contract for the International Carriage of Goods by Road (the "CMR") are subject to the terms and conditions of the CMR, notwithstanding any other provision of this Air Waybill to the contrary. For those shipments transported solely by road, if a conflict arises between the provisions of the CMR and this Air Waybill, the terms of the CMR shall prevail. **LIMITATION OF LIABILITY.** If not governed by the Warsaw Convention, the CMR, or other international treaties, laws, other government regulations, orders, or requirements, FedEx's maximum liability for damage, loss, delay, shortage, mis-delivery, nondelivery, misinformation or failure to provide information in connection with your shipment is limited by this Agreement and as set out in the terms and conditions of the contract of carriage. Please refer to the contract of carriage set forth in the applicable FedEx Service Guide or its equivalent to determine the contractual limitation. FedEx does not provide cargo liability or all-risk insurance, but you may pay an additional charge for each additional U.S. \$100 (or equivalent local currency for the country of origin) of declared value for carriage. If a higher value for carriage is declared and the additional charge is paid, FedEx's maximum liability will be the lesser of the declared value for carriage or your actual damages. **LIABILITIES NOT ASSUMED. IN ANY EVENT, FEDEX WON'T BE LIABLE FOR ANY DAMAGES, WHETHER DIRECT, INDIRECT, INCIDENTAL, SPECIAL OR CONSEQUENTIAL IN EXCESS OF THE DECLARED VALUE FOR CARRIAGE (INCLUDING BUT NOT LIMITED TO LOSS OF INCOME OR PROFITS) OR THE ACTUAL VALUE OF THE SHIPMENT, IF LOWER, WHETHER OR NOT FEDEX HAD ANY KNOWLEDGE THAT SUCH DAMAGES MIGHT BE INCURRED.** FedEx won't be liable for your acts or omissions, including but not limited to incorrect declaration of cargo, improper or insufficient packaging, securing, marking or addressing of the shipment, or for the acts or omissions of the recipient or anyone else with an interest in the shipment or violations by any party of the terms of this agreement. FedEx won't be liable for damage, loss, delay, shortage, mis-delivery, non-delivery, misinformation or failure to provide information in connection with shipments of cash, currency or other prohibited items or in instances beyond our control, such as acts of God, perils of the air, weather conditions, mechanical delays, acts of public enemies, war, strike, civil commotion, or acts or omissions of public authorities (including customs and health officials) with actual or apparent authority. **NO WARRANTY.** We make no warranties, express or implied. **CLAIMS FOR LOSS, DAMAGE OR DELAY. ALL CLAIMS MUST BE MADE IN WRITING AND WITHIN STRICT TIME LIMITS. SEE OUR TARIFF, APPLICABLE FEDEX SERVICE GUIDE, OR STANDARD CONDITIONS OF CARRIAGE FOR DETAILS.** The Warsaw Convention provides specific written claims procedures for damage, delay or non-delivery of your shipment. Moreover, the interpretation and operation of the Warsaw Convention's claims provisions may vary in each country. Refer to the Convention to determine the claims period for your shipment. The right to damages against us shall be extinguished unless an action is brought within two years, as set forth in the Convention. FedEx is not obligated to act on any claim until all transportation charges have been paid. The claim amount may not be deducted from the transportation charges. If the recipient accepts the shipment without noting any damage on the delivery record, FedEx will assume the shipment was delivered in good condition. In order for us to consider a claim for damage, the contents, original shipping carton and packing must be made available to us for inspection. **MANDATORY LAW.** Insofar as any provision contained or referred to in this Air Waybill may be contrary to any applicable international treaties, laws, government regulations, orders or requirements such provisions shall remain in effect as a part of our agreement to the extent that it is not overridden. The invalidity or unenforceability of any provisions shall not affect any other part of this Air Waybill. Unless otherwise indicated, **FEDERAL EXPRESS CORPORATION, 2005 Corporate Avenue, Memphis, TN 38132, USA,** is the first carrier of this shipment. Email address located at www.fedex.com.



Shipment Receipt

Address Information

Ship to:

Markus Grieb
Swiss American Advisors AG
Gartenstrasse 36

Zurich,
8002
CH
41433439565
None

Ship from:

Mario Mata
Mario A. Mata, LLP
111 Congress
Suite 400
Austin, TX
78701
US
214-800-2363

Shipment Information:

Tracking no.: 796048784973
Ship date: 06/19/2013
Estimated shipping charges: 74.62

Package Information

Service type: International Priority
Package type: FedEx Pak
Number of packages: 1
Total weight: 1 LBS
Declared Value: 1.00 USD
Special Services:
Pickup/Drop-off: Use an already scheduled pickup at my location

Billing Information:

Bill transportation to: My Account - 046-046
Bill duties/taxes/fees to: My Account - 046-046
Your reference: M94475 JAM-M100533 IBC
P.O. no.:
Invoice no.:
Department no.:

Thank you for shipping online with FedEx ShipManager at fedex.com.

Please Note

FedEx will not be responsible for any claim in excess of \$100 per package, whether the result of loss, damage, delay, non-delivery, misdelivery, or misinformation, unless you declare a higher value, pay an additional charge, document your actual loss and file a timely claim. Limitations found in the current FedEx Service Guide apply. Your right to recover from FedEx for any loss, including intrinsic value of the package, loss of sales, income interest, profit, attorney's fees, costs, and other forms of damage whether direct, incidental, consequential, or special is limited to the greater of \$100 or the authorized declared value. Recovery cannot exceed actual documented loss. Maximum for items of extraordinary value is \$500, e.g., jewelry, precious metals, negotiable instruments and other items listed in our Service Guide. Written claims must be filed within strict time limits; Consult the applicable FedEx Service Guide for details.

The estimated shipping charge may be different than the actual charges for your shipment. Differences may occur based on actual weight, dimensions, and other factors. Consult the applicable [FedEx Service Guide](#) or the FedEx Rate Sheets for details on how shipping charges are calculated.



799958364861

Ship (P/U) date :
Mon 6/10/2013 11:04 pm

MARIO A. MATA, LLP
MARIO MATA
111 CONGRESS
SUITE 400
AUSTIN, TX US 78701
214 800-2363



Delivered
Signed for by: HUEPPI

Actual delivery :
Thur 6/13/2013 10:55 am

SWISS AMERICAN ADVISORS
AG
MARIUS GRIER
GARTENSTRASSE 36
ZURICH CH 8002
4 143 343-9565

Travel History

| ▲ Date/Time | Activity | Location |
|-------------------------|--|--------------------------------------|
| - 6/13/2013 - Thursday | | |
| 10:55 am | Delivered | ZURICH CH |
| 9:57 am | On FedEx vehicle for delivery | GLATTBRUGG CH |
| 9:26 am | Delivery exception Package at station, arrived after courier dispatch | GLATTBRUGG CH |
| 9:20 am | At local FedEx facility | GLATTBRUGG CH |
| 9:06 am | International shipment release - Import | BASEL CH |
| 9:06 am | In transit Package available for clearance | BASEL CH |
| 5:25 am | At destination sort facility | BASEL CH |
| 4:41 am | Departed FedEx location | ROISSY CHARLES DE GAULLE CEDEX FR |
| - 6/12/2013 - Wednesday | | |
| 11:00 pm | Shipment exception Delay beyond our control | ROISSY CHARLES DE GAULLE CEDEX FR |
| 9:27 pm | Departed FedEx location | ROISSY CHARLES DE GAULLE CEDEX FR |
| 6:39 pm | Arrived at FedEx location | ROISSY CHARLES DE GAULLE CEDEX FR |
| 5:14 am | In transit | MEMPHIS, TN |
| 1:23 am | Departed FedEx location | MEMPHIS, TN |
| 12:00 am | Arrived at FedEx location | MEMPHIS, TN |
| - 6/11/2013 - Tuesday | | |
| 9:59 pm | Left FedEx origin facility | AUSTIN, TX |
| 3:18 pm | Picked up | AUSTIN, TX |
| - 6/10/2013 - Monday | | |
| 11:04 pm | At local FedEx facility | AUSTIN, TX |
| 11:46 am | Shipment information sent to FedEx | |

Local Scan Time

Shipment Facts

| | | | |
|--------------------------|-------------------------|-------------------------------|------------------------------|
| Tracking number | 799958364861 | Service | FedEx International Priority |
| Weight | 0.5 lbs | Delivery attempts | 1 |
| Delivered To | Receptionist/Front Desk | Total pieces | 1 |
| Total shipment weight | 0.5 lbs / 0.2 kgs | Terms | Shipper |
| Shipper reference | M94475 JAM Trust | Packaging | FedEx Envelope |
| Special handling section | Deliver Weekday | Commodity information section | US, LEGAL DOCUMENTS |



August 7,2013

Dear Customer:

The following is the proof-of-delivery for tracking number **799958364861**.

Delivery Information:

| | | | |
|--------------------------|------------------------------|---------------------------|---------------------------------|
| Status: | Delivered | Delivered to: | Receptionist/Front Desk |
| Signed for by: | .HUEPPI | Delivery location: | GARTENSTRASSE 36 ZURICH 8002 |
| Service type: | FedEx International Priority | Delivery date: | Jun 13, 2013 10:55 |
| Special Handling: | Deliver Weekday | | |



Shipping Information:

| | | | |
|-------------------------|--------------|-------------------|----------------|
| Tracking number: | 799958364861 | Ship date: | Jun 10, 2013 |
| | | Weight: | 0.5 lbs/0.2 kg |

Recipient:
MARKUS GRIEB
SWISS AMERICAN ADVISORS AG
GARTENSTRASSE 36
ZURICH 8002 CH

Shipper:
MARIO MATA
MARIO A. MATA, LLP
111 CONGRESS
SUITE 400
AUSTIN, TX 78701 US
M94475 JAM Trust

Reference

Thank you for choosing FedEx.

Beneficiaries of mothers will probably
on this list

SANDERS' FAMILY 7-19- 2010 LIST

Atkinson, Evan & Kristin
1008 Pin Oak Drive
Belton, TX 76513
kristinatkinson1@yahoo.com
Ethan b. 11-2006

Atkinson, Jarrod
12219 Montego Plaza
Dallas, TX 75230
469-360-5358 cell
dallasjarrod@gmail.com

Barber, Joel & Barbara
306 Fairview Dr.
Greenville, SC 29609-6639
864-292-5647
Barb@barberraceworks.com

Bentley, Butch & Lori Beth
1108 Brookhollow
Irving, TX 75061-5516
972-438-3022
LBentley1492@hotmail.com
mysite.verizon.net/butchandlori
Len

Bentley, Len
7205A Martin Way
PMB
Olympia, WA 98516
Lenliger2@gmail.com

Burton, Jack & Ingrid
5111 Concho Creek Bend
Austin, TX 78735-6444
512-891-6006
ingridburton@mac.com
Ian Mackie 08-23-07

Burt, James Theo, Jr & Carolyn Kay
Hwy 281 South San Antonio, TX
78264210-626-2552 cburt411@aol.com
Kelly Kaye, Karin Kay, Kimberly Kae,

Bunch, Julie
P.O. Box 793
Yosemite, CA 95389
209-372-4770
juliebunch@hotmail.com
Holly 04-22-02

Chenoweth, Kendall & Crystal
43 Beaver Dam Road
Natick, MA 01760-3366
508-651-1782
Annabelle Bradley 9-06-02
William Blake 11-25-06
Charlton Little 04-03-09
cj@cyberbears.net
www.cyberbears.net

Crockett, Moton H. & Martha
P.O. Box 2066
1355 Lost Creek Blvd.
Austin, TX 78768-2066
512-327-4835
MHCJR@swbell.net
Moton III, Elaine

Crockett, Elaine
1407 Lost Creek Blvd.
Austin, TX 78746
512-669-0966 Cell
512-906-2844 Home
helainecrockett@yahoo.com
Ingrid

Crockett, Moton H. III
705C Sparks Ave.
Austin, TX 78705
512-476-4154

Davis, Benjamin V. & Tracy
563 Sterling Water Dr.
Monroe, GA 30655
770-207-9877
tracykiwi@alltel.net

Davis, Dianne
12219 Montego Plaza
Dallas, TX 75230
972-239-3594
Carie

Davis, Louise
4623 Wildwood Rd.
Dallas, TX 75209
214-352-1932
Dianne, Ted

Davis, Wallace E. Jr. & Mary V.
14 Ashmere Court
Dallas, TX 75225
214-691-1260
Mary3709@aol.com
Mari, Ben

Ellsworth, Agnes
4350 Shady Bend Dr.
Dallas, TX 75244
972-661-5678
agnesellsworth@gmail.com
Leon III, David, Ruth

Ellsworth, Alex
300 8th Ave. Apt 5i
Brooklyn, NY 11215
aellsworth617@hotmail.com

Ellsworth, Bill & Julia
901B Ridgefield Circle
Clinton, MA 01510
978-368-4202
bill.ellsworth@comcast.net

Ellsworth, Leon III & Miriam
1123 Portner Rd.
Alexandria, VA 22314
512-784-3551
lellsworth@advscsl.com

Ellsworth, W. David & Gail
3025 Canandaigua Road
Macedon, NY 14502-9340
315-986-4917
wdegepe@gmail.com
Bill, Crystal, Keith

Ellsworth, Keith and Kristin
6195 Hunters Drive
Farmington, NY 14425
keithellsworth@gmail.com

Harrison, George O. & Pinky
6700 One Oak Road
Austin, TX 78749
512-892-2529
drummergeorge@sbcglobal.net
Joanne, Greg, Lori Beth

Hauck, David & Gina
11405 Naples Cove
Austin, TX 78739
512-301-4952
gina.hauck@att.net
Megan 7-7-1998
Kristin 8-16-01

Herndon, Richard & Sheila
3845 Parkwood
San Angelo, TX 76904
325-949-6040
dish3842@verizon.net
Rusty, Randall

Herndon, Russell & Sue Ellen
2842 Atkins
Christoval, TX 76935
325-896-2646
rsal@wcc.net
Alyse 11-15-1986
Lance 12-5-1988

Herndon, Randall & Lauri
3525 Sunset Drive
San Angelo, TX 70904

325-947-2636
Samantha 7-11-98 Helen Ruth 11-10-02

Howell, Kenneth & Kelly Kaye
9015 Brickwood
San Antonio, TX 78250
210-521-6159
Sarah Elizabeth 1-28-93
Megan 07-05-96

Jones, Ruth & Dave
3507 Rolling Forest Dr.
Spring, TX 77388
281-288-5639
Jones.ruthanddave@gmail.com
Stephanie

Minault, Gail
4518 Ave C
Austin, TX 78751
512-459-9854
gminault@mail.utexas.edu

Minault, Laila
13137 Briar Creek Loop
Manor, TX 78653
512-494-5222
lailaminault@yahoo.com
Brian Paul 11-30-07

Moser, Joel A.
1506 Oak Knoll
Dallas, TX 75208
214-946-1311

Nearpass, Susan
1639 Cedar Hill
Dallas, TX 75208
214-948-5950
snearpass1@aol.com

Rodas, Rob & April
1080 Troon Dr.E.
Niceville, FL 32578

318-349-9211
aprilrodas@yahoo.com
Allison 6-2-01 Anna 6-11-03
Sanders, Gregory W. & Debbie
9007 Eustis Ave.
Dallas, TX 75218
214-893-7155
dngsanders@sbcglobal.net
Kyle, April, Jeffrey

Sanders, Jeffrey & Jennifer
210 Mulberry Drive
Rockwall TX 75087
214-766-4283
jen08231977@yahoo.com
jsanders@lsilegal.com

Sanders, Kyle
783 NW Naito Pkwy #514
Portland, OR 97209
503-327-6939
kylews2004@yahoo.com

Sanders, Mike & Jerri
cell 816-520-8303
msanders2006@comcast.net

Sanders, Jerre
7733 NW Roanridge Rd., Apt. G
Kansas City, MO 64151
816-587-8354
Bob, Barbara, Mike

Sanders, Jr., Robert L. & Kimberlee
8130 Deer Run Way
South Weber, UT 84405
801-476-4124
KMS56@comcast.net
Julie, Bobby, Janelle

Sanders, Bobby & Michelle
7641 Pleasant Colony Ct.
Las Vegas, NV 89131
702-541-6291
Christian 12-02 Collin John 10-04-09

Sanders, Janelle
PO Box 85
70 Yosemite, CA 95389
209-372-4468
jsnut@msn.com

Stone, Joanne
1505 Canon Yeoman's Trail
Austin, TX 78748
512-282-6162
jojoaus@yahoo.com
Gina, Matthew

Stone, Matthew and Carolina
34215 Paisley Ave
Spanish Fort, AL 36527.
215-937-3904
giddings05@yahoo.com
Hannah-04-07-06, Hailee-08-20-07

Thetford, Michael & Kimberly Kae
250 Woodvalley
Adkins, TX 78109
830-217-5959
Alexa Rae, Michael Shane

Williams, Philip & Mari L.
6214 Stefani
Dallas, TX 75225
214-361-4199
mdavis@hhomesltd.com

Wise, Daniel, Carie & Connor
203 Old Medina Highway
Bandera, TX 78003
830-796-9979
dwise@indian-creek.net
Jarrod, Connor, Evan

Woodall, Ernest & Karin Kay
4715 Rock Nettle
San Antonio, TX 78247
210-490-8801
Zachary 05-27-93
Katherine 03-02-99

Zeisloft, Christopher & Stephanie
15846 Mesa Gardens Dr.
Houston, TX 77095
806-290-0879 Cell 806-290-0879
zeisloftdvm@yahoo.com
ChrisZeisloft@yahoo.com
Audrey LaRue born 04-14-2010

RECEIVED

JAN 02 2018

Chief Disciplinary Counsel
State Bar of Texas

OFFICE OF THE CHIEF DISCIPLINARY COUNSEL
STATE BAR OF TEXAS
GRIEVANCE FORM

ONLINE FILING AVAILABLE AT <http://cdcc.texasbar.com>

I. GENERAL INFORMATION

Before you fill out this paperwork, there may be a faster way to resolve the issue you are currently having with an attorney.

If you are considering filing a grievance against a Texas attorney for any of the following reasons:

- ~ You are concerned about the progress of your case.
- ~ Communication with your attorney is difficult.
- ~ Your case is over or you have fired your attorney and you need documents from your file or your former attorney.

You may want to consider contacting the Client-Attorney Assistance Program (CAAP) at 1-800-932-1900.

CAAP was established by the State Bar of Texas to help people resolve these kinds of issues with attorneys quickly, without the filing of a formal grievance.

CAAP can resolve many problems without a grievance being filed by providing information, by suggesting various self-help options for dealing with the situation, or by contacting the attorney either by telephone or letter.

I have _____ I have not contacted the Client-Attorney Assistance Program.

If you prefer, you have the option to file your grievance online at <http://cdcc.texasbar.com>.

In order for us to comply with our deadlines, additional information/documentation that you would like to include as part of your grievance submission must be received in this office by mail or fax within (10) days after submission of your grievance. This information will be added to your pending grievance. Information received after that timeframe will be returned and not considered. Thank you for your cooperation in this matter.

NOTE: Please be sure to fill out each section completely. Do not leave any section blank. If you do not know the answer to any question, write "I don't know."

6

II. INFORMATION ABOUT YOU — PLEASE KEEP CURRENT

1. TDCJ/SID # _____
Immigration # _____

Mr.

Ms.

Name: Joel A. Moser

Address: 1506 Oak Knoll

City: Dallas State: Tx Zip Code: 75208

2. Employer: retired

Employer's Address: _____

3. Telephone numbers: Residence: 214-946-1311 Work: N/A
Cell: 214-274-5421

4. Email: N/A

5. Drivers License # 01572950 Date of Birth 2/24/44

6. Name, address, and telephone number of person who can always reach you.

Name Susan Nearpass Address 1639 Cedar Hill
Dallas 75208 Telephone 214 868 3286

7. Do you understand and write in the English language? yes

If no, what is your primary language? N/A

Who helped you prepare this form? N/A

Will they be available to translate future correspondence during this process? N/A

8. Are you a Judge? NO

If yes, please provide Court, County, City, State: _____

III. INFORMATION ABOUT ATTORNEY

Note: Grievances are not accepted against law firms. You must specifically name the attorney against whom you are complaining. A separate grievance form must be completed for each attorney against whom you are complaining.

1. Attorney name: Mario A Mata Address: One Congress Plaza
11- Congress Ave Suite 400
Austin Tx 78701

City: Austin State: TX Zip Code: 78701

2. Telephone number: Work 214-800-2363 Home _____ Other _____
3. Have you or a member of your family filed a grievance about this attorney previously?
Yes ___ No If "yes", please state its approximate date and outcome. _____

Have you or a member of your family ever filed an appeal with the Board of Disciplinary Appeals about this attorney?

Yes ___ No If "yes," please state its approximate date and outcome.

4. Please check one of the following:
- This attorney was **hired** to represent me.
 This attorney was **appointed** to represent me.
 This attorney was hired to represent **someone else**.

Please give the date the attorney was hired or appointed. 2005?

Please state what the attorney was hired or appointed to do. _____

set up foreign trust and transfer assets to the trust

5. What was your fee arrangement with the attorney? set fee

How much did you pay the attorney? a five figure sum - approximate 15-25k

If you signed a contract and have a copy, please attach.
If you have copies of checks and/or receipts, please attach.
Do not send originals.

6. If you did not hire the attorney, what is your connection with the attorney? Explain briefly
N/A

7. Are you currently represented by an attorney? yes
If yes, please provide information about your current attorney _____
John C. Danish 1117 Capital Court Irving 75060

8. Do you claim the attorney has an impairment, such as depression or a substance use disorder? If yes, please provide specifics (your **personal** observations of the attorney such as slurred speech, odor of alcohol, ingestion of alcohol or drugs in your presence etc., including the date you observed this, the time of day, and location).

NO

9. Did the attorney ever make any statements or admissions to you or in your presence that would indicate that the attorney may be experiencing an impairment, such as depression or a substance use disorder? If so, please provide details.

NO

IV. INFORMATION ABOUT YOUR GRIEVANCE

1. Where did the activity you are complaining about occur?

County: Dallas City: Dallas

2. If your grievance is about a lawsuit, answer the following, if known:

a. Name of court _____

b. Title of the suit _____

c. Case number and date suit was filed _____

d. If you are not a party to this suit, what is your connection with it? Explain briefly.

If you have copies of court documents, please attach.

3. Explain in detail why you think this attorney has done something improper or has failed to do something which should have been done. Attach additional sheets of paper if necessary.

Supporting documents, such as copies of a retainer agreement, proof of payment, correspondence between you and your attorney, the case name and number if a specific case is involved, and copies of papers filed in connection with the case, may be useful to our investigation. Do not send originals, as they will not be returned. Additionally, please do not use staples, post-it notes, or binding.

Include the names, addresses, and telephone number of all persons who know something about your grievance.

Also, please be advised that a copy of your grievance will be forwarded to the attorney named in your grievance.

Oct 13 2013

I deposited 270k from the Moser Family Trust into M. Mato's for 10LT Trust Account for the purpose of wiring the money to the foreign trust, the Joel A. Moser Family Trust.

From Bank
Vontobel →

When the end of year statement came in Jan '14 it was discovered that no transfer had taken place. To date the location and fate of the 270k is unknown. Enclosed is a letter from my attorney which should explain all facts.

V. HOW DID YOU LEARN ABOUT THE STATE BAR OF TEXAS' ATTORNEY GRIEVANCE PROCESS?

| | | | |
|--------------------------|--------------|-------------------------------------|----------|
| <input type="checkbox"/> | Yellow Pages | <input type="checkbox"/> | CAAP |
| <input type="checkbox"/> | Internet | <input checked="" type="checkbox"/> | Attorney |
| <input type="checkbox"/> | Other | <input type="checkbox"/> | Website |

VI. ATTORNEY-CLIENT PRIVILEGE WAIVER

I hereby expressly waive any attorney-client privilege as to the attorney, the subject of this grievance, and authorize such attorney to reveal any information in the professional relationship to the Office of Chief Disciplinary Counsel of the State Bar of Texas.

I understand that the Office of Chief Disciplinary Counsel maintains as confidential the processing of Grievances.

I hereby swear and affirm that I am the person named in Section II, Question 1 of this form (the Complainant) and that the information provided in this complaint is true and correct to the best of my knowledge.

Signature: JA Meyer Date: 12/30/17

TO ENSURE PROMPT ATTENTION, THE GRIEVANCE SHOULD BE MAILED TO:

**THE OFFICE OF CHIEF DISCIPLINARY COUNSEL
P.O. Box 13287
Austin, TX 78711
Fax: (512) 427-4169**

JOHN C. DANISH
Attorney and Counselor at Law
1117 Capitol Court
Irving, Texas 75060-6200

Telephone: (972) 554-0500
Toll Free: (888) 866-6501

Facsimile: (972) 579-1343
E-Mail: jcdanish@juno.com

February 9, 2016

VIA CERTIFIED MAIL RETURN RECEIPT REQUESTED # 7015 0640 0004 7805 7554
REGULAR MAIL, FACSIMILE (214) 276-7305, AND
ELECTRONIC MAIL <mario.mata@privatewealthlaw.com>

Attorney Mario A. Mata
500 E. 4th St., Ste. 353
Austin, Texas 78701

Re: **Dr. Joel Anthony Moser; Your File No. M94475**

Dear Attorney Mata:

As you know, I represent Dr. Joel Anthony Moser regarding the above matter. Please find this letter as a follow-up to my letter to you dated October 13, 2015, and my telephone conversation with you on October 23, 2015.

As stated within my October 13, 2015, letter to you, in 2005 you assisted Dr. Moser in the formation and implementation of **The Joel A. Moser Family Trust**, an international trust which was registered with the Cook Islands Registrar on October 24, 2005.

As discussed within my October 13, 2015, letter to you, you communicated with my client on or about August 8, 2013, concerning your willingness to facilitate Dr. Moser regarding his desire to make an additional \$270,000.00 contribution into The Joel A. Moser Family Trust.

During this August 8, 2013, communication, you suggested that my client deposit said \$270,000.00 into your IOLTA Trust Account, and in return, you would assist in the disbursement of the \$270,000.00 into my client's The Joel A. Moser Family Trust.

Per your suggestion, my client deposited \$270,000.00 into your CitiBank IOLTA Trust Account, Account Number 9786201289, the following day, August 9, 2013.

A copy of the August 9, 2013, Deposit Slip and Transaction Receipt is attached hereto as Exhibit "A" and incorporated by reference herein.

Further, a copy of the \$270,000.00 Longview Bank and Trust Check, Check No. 1132, which was deposited into your CitiBank IOLTA Trust Account on August 12, 2015, is attached hereto as Exhibit "B" and incorporated by reference herein.

Letter to Attorney Mario A. Mata
February 9, 2016
Page 2 of 2

The enclosed Exhibits "A" and "B" show that the \$270,000.00 was deposited into your CitiBank IOLTA Trust Account. As you know, however, unfortunately, the \$270,000.00 was never disbursed into my client's trust account. My client, as well as his accountants, has been attempting to reach out to you since January 2014 to resolve this matter

As you also know, during my telephone conversation with you on October 23, 2015, you assured both me and my client that you would have the matter resolved within the last quarter of 2015, and you would have the \$270,000.00 returned to my client's Texas Bank and Trust account named **The Moser Family Trust**, Account No. 1456407 by the 2015 year end.

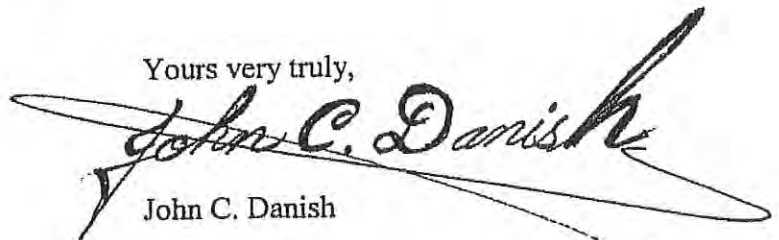
My client has been waiting patiently for the return on the \$270,000.00 back to his above account. However, as you know, you have yet to return his money.

Please remit the \$270,000.00, within 10 days following your receipt of this letter, in its entirety, by returning it into Dr. Moser's Texas Bank and Trust account named **The Moser Family Trust**, Account No. 1456407. The Mailing Address for Texas Bank and Trust is PO Box 3188, Longview, Texas 75606, and the telephone number for Texas Bank and Trust is (903) 237-5500.

I have advised my client of his right to confer with the District Attorney's Office of Dallas County, Texas, as well as the Texas State Bar concerning the loss his \$270,000.00 which, as shown via the enclosed Exhibits "A" and "B", he deposited into your CitiBank IOLTA Trust Account.

If you have any questions or concerns, please feel free to contact my office via my above referenced contact information. Thank you.

Yours very truly,



John C. Danish

Encl: (1) EXHIBIT A
(2) EXHIBIT B

cc: Dr. Joel A. Moser
1506 Oak Knoll Street
Dallas, Texas 75208
[with Enclosures]

Date 9/4/2013

Page 3

Account

1456407

THE MORSE FAMILY TRUST
1800 GUN ARMS
DALLAS, TX 75204

Check # 1132
DATE 8/12/13

PAY TO THE ORDER OF *John & Alex LLP Trust Acct* \$ 270,000.00
Two hundred seventy thousand & 00/100

LONGVIEW BANK & TRUST
1000 W. UNIVERSITY BLVD. SUITE 1000
LONGVIEW, TX 75701

MEMO: *John & Alex LLP Trust Acct*

12147232301 6187 64 5640 78

Ck# 1132 Date 8/12/2013 Amt \$270,000.00

10/12/2015 8:11 AM FAX ++2146302130

0002/0003

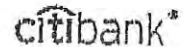
Transaction Receipt / Funds Availability Notice

CKG: DEPOSIT 08/09/13 17:03 FC#00148 FA# 002
065-01 Acct# xxxxxxxx289 \$270.000.00 ONL

Funds from your deposit/check cashing transaction will be delayed. Reasons and funds availability schedule follow.

\$250.00 Available Today
\$99,800 08/10/13 Standard delay
\$169,950 08/13/13 Total check(s) deposited/cashed on this day exceed \$100,000.

Thank you for banking with Citibank.



Date: 8/9/13 Check your type of account below

Deposit to: Checking Money Market Account

Deposit to: Savings Certificate of Deposit
 Passbook Savings Market Rate Account

Checks and other items are received for deposit subject to the applicable account rules and regulations. Deposits may not be available for immediate withdrawal.

Account Name Mario Mata LLP/PLT Trust A.

Address 244 Cedar Spring Rd

THANK YOU

Account Number * 9786201289

Cash 1
2
3
4
5

Subtotal
*Less Cash Received

TOTAL \$ 270000.XX

DOLLARS CENTS

CUSTOMER COPY

EXHIBIT "A"

FROM

JA Mosser
1506 Oak Knoll
Dallas TX 75208

PLEASE STICKER FOR CE ENVELOPE TO THE RIGHT
OF THE RETURN ADDRESS FOR CERTIFIED MAIL

CERTIFIED MAIL



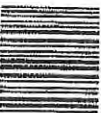
7017 1450 0000 6231 6894

TO

Office of CDC
P.O. Box 13287
Austin, TX
ZIP 78711



1000



78711

U.S. POSTAGE
PAID
DALLAS TX
DEC 30
AMOUNT
\$7.50
R3304E100002 5R

RECEIVED

JAN 02 2017

Chief Disciplinary Counsel
State Bar of Texas



Mario A. Mata PLLC
ATTORNEY AT LAW

Telephone: 214-800-2363
Facsimile: 214-276-4020

January 10, 2019

Judith Gres DeBerry
Assistant Disciplinary Counsel
Office of Chief Disciplinary Counsel
State Bar of Texas
P.O. Box 12487
Austin, Texas 78711-2487

RE: Delivery of Select Documents requested by Judith Gres DeBerry.

Dear Ms. DeBerry:

In my Response to the Petitioner's Second Request for Production, I indicated that all responsive documents were ready to be inspected at a mutually agreed to location and copies made by Petitioner and copies made of any documents you so designated, at your expense. However, you recently asked for copies of the Investment Statements issued to The Joel A. Moser Family Trust (the "Moser Trust"). Specifically, you asked for copies of the Investment Statements issued for the period September 1, 2013 through March 31, 2015. We agreed upon a date to produce to the documents although the Statements come in A4 paper, I offered to produce copies in letter size paper which you indicated was fine.

Enclosed herein are copies of the Investment Statements you requested for the period September 1, 2013 through March 31, 2015. The dates are sometimes confusing as they are stated in a common, although not unique) European style with the day first, followed by the month and then the year. In many places, the dates are stated in the common United State style. Other than that, the Investment Statements should be self-explanatory. However, should you have any questions regarding the Statements, please let me know.

Regards,



Mario A. Mata

PRINCIPAL OFFICE ADDRESS

Mario A. Mata, Attorney-at-Law
500 East 4th Street, Suite 353
Austin, Texas 78701-3720

Mario A. Mata

From: Tanya Galinger <Tanya.Galinger@TEXASBAR.COM>
Sent: Thursday, January 10, 2019 2:53 PM
To: Mario A. Mata
Cc: Judith DeBerry
Subject: RE: 201800134 CFLD v. Mata

Hello,

Our receptionist received the documents from the 1st floor desk and delivered them to me.

Thank you for checking,
Tanya

From: Mario A. Mata
Sent: Thursday, January 10, 2019 2:51 PM
To: Tanya Galinger
Cc: Judith DeBerry
Subject: 201800134 CFLD v. Mata

Dear Ms. Galinger:

A package for Ms. Judith DeBerry was left at the 1st floor Reception Desk. Did you or someone in your department receive a message saying it was there?

Thank you.

Mario A. Mata

Mario A. Mata

From: Judith DeBerry <Judith.DeBerry@TEXASBAR.COM>
Sent: Thursday, December 20, 2018 10:10 AM
To: Mario A. Mata
Subject: RE: Moser

Letter size is fine.

Judith Gres DeBerry
Assistant Disciplinary Counsel
Office of Chief Disciplinary Counsel
State Bar of Texas
P.O. Box 12487
Austin, Texas 78711-2487
Tel: 512-427-1350
Fax: 512-427-4167

From: Mario A. Mata [<mailto:mario.mata@privatewealthlaw.com>]
Sent: Thursday, December 20, 2018 9:40 AM
To: Judith DeBerry <Judith.DeBerry@TEXASBAR.COM>
Cc: Tanya Galinger <Tanya.Galinger@TEXASBAR.COM>
Subject: RE: Moser

Ms.: DeBerry

I am driving today as soon as I finish this e-mail but yes, the 10th is more than enough. I presume letter size is find with you. I do not have legal or A-4 paper but can get some before I start copying. I have never had anyone ask for anything but letter size paper.

I am only going to Dallas for a doctor appointment, thus will be back in the office tomorrow. I DO NOT HAVE THE CAPACITY TO LOOK AT E-MAIL VIA MY CELL.

Regards,

Mario

From: Judith DeBerry [<mailto:Judith.DeBerry@TEXASBAR.COM>]
Sent: Thursday, December 20, 2018 9:01 AM
To: Mario A. Mata
Cc: Tanya Galinger
Subject: Moser

Mr. Mata,

I received your responses to the CFLD's Second Request for Production of Documents. Regarding your response to Request No. 2, you are correct in assuming that I am referring to The Joel A. Moser Family Trust. It is the trust you set up for Dr. Moser in the Cook Islands. Also, Petitioner agrees to the option to allow you "a few days" to copy the requested documents. Considering the holidays, would you agree that January 10, 2019, is a reasonable time in which to produce the documents?

Thank you,
JGD

Judith Gres DeBerry

Assistant Disciplinary Counsel
Office of Chief Disciplinary Counsel
State Bar of Texas
P.O. Box 12487
Austin, Texas 78711-2487
Tel: 512-427-1350
Fax: 512-427-4167