BEFORE THE BOARD OF DISCIPLINARY APPEALS APPOINTED BY THE SUPREME COURT OF TEXAS

AGREED JUDGMENT OF PUBLIC REPRIMAND

On this day the above-styled and numbered reciprocal disciplinary action was called for hearing before the Board of Disciplinary Appeals. Petitioner appeared by attorney and Respondent appeared in person as indicated by their respective signatures below and announced that they agree to the findings of fact, conclusions of law, and orders set forth below solely for the purposes of this proceeding which has not been fully adjudicated. Respondent waives any and all defenses that could be asserted under Rule 9.04 of the Texas Rules of Disciplinary Procedure. The Board of Disciplinary Appeals, having reviewed the file and in consideration of the agreement of the parties, is of the opinion that Petitioner is entitled to entry of the following findings, conclusions, and orders:

Findings of Fact. The Board of Disciplinary Appeals finds that:

- (1) Respondent, Rumit Ranjit Kanakia, Bar Card number 24124286, is an attorney licensed and authorized by the Supreme Court of Texas to practice law in the State of Texas.
- (2) On or about May 8, 2023, a Final Order Pursuant to 37 C.F.R. § 11.26 (redacted) was entered in a matter styled, Proceeding No. D2023-25, *In the Matter of Rumit R. Kanakia, Respondent*, United States Patent and Trademark Office, Before the Director of the United States Patent and Trademark Office, which states in pertinent part:
 - 58. Respondent acknowledges that, based on the information contained in the Joint Stipulated Facts, above, that Respondent's acts and omissions violated the following provisions of the USPTO Rules of Professional Conduct:

- 37 C.F.R. § 11.103 (diligence) inter alia by (i) not always conducting a reasonable inquiry under the circumstances as required by 37 C.F.R. § 11.18 prior to claiming micro entity status to the USPTO (e.g., at the time of filing applications or when paying issue fees for issued patents); (ii) failing to have in place reasonable procedures to corroborate information on which he relied to sign micro entity certification forms or otherwise present entity status claims to the USPTO on behalf of applicant (e.g., at the time of filing applications and when paying issue fees for issued patents); (iii) presenting incorrect certifications of micro entity status to the USPTO at the time applications were filed; (iv) claiming micro entity status when authorizing the payment of micro entity issue fees even though the applicant was no longer entitled to micro entity status; and (v) not notifying the USPTO of applicants' loss of micro entity status and not paying the required issue fee in the small entity or undiscounted amount, as appropriate; and
- 37 C.F.R. § 11.804(d) (conduct prejudicial to the administration of the USPTO patent process) inter alia by (i) not always conducting a reasonable inquiry under the circumstances as required by 37 C.F.R. § 11.18 prior to claiming micro entity status to the USPTO (e.g., at the time of filing applications or when paying issue fees for issued patents); (ii) failing to have in place reasonable procedures to corroborate information on which he relied to sign micro entity certification forms or otherwise present entity status claims to the USPTO on behalf of applicant (e.g., at the time of filing applications and when paying issue fees for issued patents); (iii) presenting incorrect certifications of micro entity status to the USPTO at the time applications were filed; (iv) claiming micro entity status when authorizing the payment of micro entity issue fees even though the applicant was no longer entitled to micro entity status; and (v) not notifying the USPTO of applicants' loss of micro entity status and not paying the required issue fee in the small entity or undiscounted amount, as appropriate.
- 59. Respondent has freely and voluntarily agreed, and it is hereby ORDERED that:
 - a. Respondent is publicly reprimanded;
- b. The OED Director shall electronically publish the Final Order at the OED's electronic FOIA

Reading Room, which is publicly accessible through the Office's website at: https://foiadocuments.uspto.gov/oed/;

c. The OED Director shall publish a notice in the Official Gazette. . .

- (3) Respondent, Rumit Ranjit Kanakia, is the same person as the Rumit R. Kanakia, who is the subject of the Final Order Pursuant to 37 C.F.R. § 11.26 (redacted); and
- (4) The public reprimand entered by the United States Patent and Trademark Office Before the Director of the United States Patent and Trademark Office is final.

Conclusions of Law. Based upon the foregoing findings of facts the Board of Disciplinary

Appeals makes the following conclusions of law:

- (1) This Board has jurisdiction to hear and determine this matter. TEX. RULES DISCIPLINARY P. R. 7.08(H).
- (2) Reciprocal discipline identical, to the extent practicable, to that imposed by the United States Patent and Trademark Office Before the Director of the United States Patent and Trademark Office is warranted in this case.

It is, accordingly, **ORDERED**, **ADJUDGED**, **AND DECREED** that Respondent, Rumit Ranjit Kanakia, State Bar Card No. 24124286, is hereby PUBLICLY REPRIMANDED as an attorney at law in the State of Texas.

Signed this 31st day of July	2023.
	CHAIR PRESIDING

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APPROVED AS TO FORM AND CONTENT:

Rumit Ranjit Kanakia
Rumit Ranjit Kanakia (Jul 13, 2023 11:11 GMT+5.5)

Rumit Ranjit Kanakia State Bar No. 24124286 Respondent

Judith Gres DeBerry

Assistant Disciplinary Counsel State Bar No. 24040780

Attorney for Petitioner